

Timothy A. Davis



MATLAB[®]
Primer
Eighth Edition



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A CHAPMAN & HALL BOOK

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Timothy A. Davis
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USA



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Contents

| | |
|---|-------------|
| Preface | xiii |
| Introduction | xv |
| 1 Getting Started | 1 |
| 2 The MATLAB Desktop | 1 |
| 2.1 Command Window | 3 |
| 2.2 Command History window | 7 |
| 2.3 Current Folder window | 7 |
| 2.4 Workspace window | 9 |
| 2.5 Help window | 10 |
| 2.6 File Exchange window | 12 |
| 2.7 Variable Editor window | 12 |
| 3 Matrices and Matrix Operations | 13 |
| 3.1 Referencing individual entries | 13 |
| 3.2 Matrix operators | 14 |
| 3.3 Matrix division (slash and backslash) | 15 |
| 3.4 Entry-wise operators | 16 |
| 3.5 Relational operators | 16 |
| 3.6 Complex numbers | 17 |
| 3.7 Strings | 18 |
| 4 Submatrices and Colon Notation | 19 |
| 4.1 Generating vectors | 19 |
| 4.2 Accessing submatrices | 20 |
| 5 MATLAB Functions | 22 |
| 5.1 Constructing matrices | 22 |
| 5.2 Scalar functions | 24 |
| 5.3 Vector functions and data analysis | 25 |
| 5.4 Matrix functions | 26 |
| 5.5 The linsolve function | 27 |
| 5.6 The find function | 28 |

| | | |
|-----------|---|-----------|
| 5.7 | 1-D indexing and the reshape function | 29 |
| 5.8 | Logical indexing | 30 |
| 5.9 | The bsxfun and repmat functions | 31 |
| 6 | M-Files | 34 |
| 6.1 | M-File Editor window | 34 |
| 6.2 | Script files | 36 |
| 6.3 | Function files | 39 |
| 6.4 | Multiple inputs and outputs | 40 |
| 6.5 | Variable arguments | 41 |
| 6.6 | Unused arguments | 42 |
| 6.7 | Comments and documentation | 42 |
| 6.8 | The MATLAB path | 43 |
| 7 | Control Flow Statements | 44 |
| 7.1 | The for loop | 44 |
| 7.2 | The while loop | 46 |
| 7.3 | The if statement | 47 |
| 7.4 | The switch statement | 48 |
| 7.5 | The try/catch statement | 49 |
| 7.6 | Matrix expressions (if and while) | 50 |
| 7.7 | Infinite loops | 52 |
| 8 | Advanced Data Structures | 52 |
| 8.1 | Cell arrays | 53 |
| 8.2 | Structs | 53 |
| 8.3 | Sets | 55 |
| 8.4 | Other data types | 56 |
| 9 | Object-Oriented Programming | 57 |
| 9.1 | Object methods | 59 |
| 9.2 | Object inheritance and abstract classes | 61 |
| 9.3 | Object attributes | 64 |
| 9.4 | A more extensive example | 66 |
| 9.5 | Object handle classes | 66 |
| 10 | Advanced M-file Features | 67 |

| | | |
|-----------|--|------------|
| 10.1 | Function handles and anonymous functions | 67 |
| 10.2 | Name resolution | 71 |
| 10.3 | Error and warning messages | 71 |
| 10.4 | User input | 72 |
| 10.5 | Performance measures | 73 |
| 10.6 | Efficient code | 75 |
| 11 | Code Development Tools | 77 |
| 11.1 | Code Analyzer (M-Lint) report | 77 |
| 11.2 | Advanced Editor features | 79 |
| 11.3 | TODO/FIXME report | 80 |
| 11.4 | Help report | 81 |
| 11.5 | Contents report | 83 |
| 11.6 | Dependency report | 84 |
| 11.7 | Profiler tool and Coverage report | 85 |
| 11.8 | File and Folder Comparison tool | 85 |
| 12 | Calling C from MATLAB | 86 |
| 12.1 | A simple example | 87 |
| 12.2 | C versus MATLAB arrays | 88 |
| 12.3 | A matrix computation in C | 89 |
| 12.4 | MATLAB mx and mex routines | 93 |
| 12.5 | Online help for MEX routines | 95 |
| 12.6 | Larger examples on the web | 95 |
| 13 | Calling Fortran from MATLAB | 95 |
| 13.1 | Solving a transposed system | 96 |
| 13.2 | A Fortran mexFunction with %val | 97 |
| 13.3 | If you cannot use %val | 99 |
| 14 | Calling Java from MATLAB | 100 |
| 14.1 | A simple example | 100 |
| 14.2 | Encryption/decryption | 100 |
| 14.3 | Java class path | 102 |
| 14.4 | Calling your own Java methods | 103 |
| 14.5 | Loading a URL as a matrix | 104 |

| | | |
|-----------|---|------------|
| 15 | Two-Dimensional Graphics | 106 |
| 15.1 | Planar plots | 106 |
| 15.2 | Multiple figures | 107 |
| 15.3 | Graph of a function | 108 |
| 15.4 | Parametrically defined curves | 108 |
| 15.5 | Titles, labels, text in a graph | 109 |
| 15.6 | Control of axes and scaling | 110 |
| 15.7 | Multiple plots | 110 |
| 15.8 | Line types, marker types, colors | 111 |
| 15.9 | Subplots and specialized plots | 112 |
| 15.10 | Graphics hard copy | 112 |
| 16 | Three-Dimensional Graphics | 113 |
| 16.1 | Curve plots | 113 |
| 16.2 | Mesh and surface plots | 114 |
| 16.3 | Parametrically defined surfaces | 115 |
| 16.4 | Volume and vector visualization | 116 |
| 16.5 | Color shading and color profile | 116 |
| 16.6 | Perspective of view | 117 |
| 17 | Advanced Graphics | 118 |
| 17.1 | Handle Graphics | 118 |
| 17.2 | Graphical user interface | 118 |
| 17.3 | Images | 119 |
| 18 | Sparse Matrix Computations | 120 |
| 18.1 | Storage modes | 120 |
| 18.2 | Generating sparse matrices | 121 |
| 18.3 | Computation with sparse matrices | 123 |
| 18.4 | Permutation vectors and matrices | 124 |
| 18.5 | Visualizing matrices | 125 |
| 19 | The Symbolic Math Toolbox | 125 |
| 19.1 | Symbolic variables | 126 |
| 19.2 | Calculus | 127 |
| 19.3 | Variable precision arithmetic | 132 |
| 19.4 | Numeric and symbolic substitution | 133 |

| | | |
|-----------|--|------------|
| 19.5 | Algebraic simplification | 135 |
| 19.6 | Two-dimensional graphs | 136 |
| 19.7 | Three-dimensional surface graphs | 138 |
| 19.8 | Three-dimensional curves | 140 |
| 19.9 | Symbolic matrix operations | 141 |
| 19.10 | Symbolic linear algebraic functions | 143 |
| 19.11 | Solving algebraic equations | 145 |
| 19.12 | Solving differential equations | 148 |
| 19.13 | Further MuPAD access | 149 |
| 20 | Polynomials, Interpolation, and Integration | 150 |
| 20.1 | Representing polynomials | 150 |
| 20.2 | Evaluating polynomials | 151 |
| 20.3 | Polynomial interpolation | 151 |
| 20.4 | Numeric integration (quadrature) | 153 |
| 21 | Solving Equations | 154 |
| 21.1 | Symbolic equations | 154 |
| 21.2 | Linear systems of equations | 154 |
| 21.3 | Polynomial roots | 155 |
| 21.4 | Nonlinear equations | 155 |
| 21.5 | Ordinary differential equations | 157 |
| 21.6 | Other differential equations | 159 |
| 22 | Displaying Results | 159 |
| 23 | Cell Publishing | 163 |
| A | Appendix: The MATLAB Top 500 | 165 |
| B | Desktop Tools and Development Environment | 165 |
| B.1 | Command Window and History | 165 |
| B.2 | Help for Using MATLAB | 166 |
| B.3 | Workspace | 166 |
| B.4 | Managing Files | 166 |
| B.5 | Programming Tools | 167 |
| C | Data Import and Export | 168 |

| | | |
|----------|---|------------|
| C.1 | File Name Construction | 168 |
| C.2 | File Opening, Loading, and Saving | 168 |
| C.3 | Low-Level File I/O | 168 |
| C.4 | Text Files | 169 |
| C.5 | Audio and Video | 169 |
| C.6 | Images | 169 |
| D | Mathematics | 170 |
| D.1 | Arrays and Matrices | 170 |
| D.2 | Linear Algebra | 174 |
| D.3 | Elementary Math | 176 |
| D.4 | Polynomials | 178 |
| D.5 | Interpolation and Computational Geometry . | 179 |
| D.6 | Nonlinear Numerical Methods | 179 |
| D.7 | Specialized Math | 180 |
| D.8 | Sparse Matrices | 180 |
| D.9 | Math Constants | 181 |
| E | Data Analysis | 182 |
| E.1 | Basic Operations | 182 |
| E.2 | Descriptive Statistics | 182 |
| E.3 | Filtering and Convolution | 183 |
| E.4 | Interpolation and Regression | 183 |
| E.5 | Fourier Transforms | 183 |
| E.6 | Derivatives and Integrals | 183 |
| F | Programming and Data Types | 184 |
| F.1 | Data Types | 184 |
| F.2 | Data Type Conversion | 188 |
| F.3 | Operators and Special Characters | 190 |
| F.4 | Strings | 192 |
| F.5 | Bit-Wise Operations | 194 |
| F.6 | Logical Operations | 194 |
| F.7 | Relational Operations | 195 |
| F.8 | Set Operations | 195 |
| F.9 | Date and Time Operations | 196 |

| | | |
|----------|--|------------|
| F.10 | Programming in MATLAB | 196 |
| G | Object-Oriented Programming | 201 |
| G.1 | Classes and Objects | 201 |
| G.2 | Handle Classes | 201 |
| H | Graphics | 202 |
| H.1 | Basic Plots and Graphs | 202 |
| H.2 | Plotting Tools | 202 |
| H.3 | Annotating Plots | 203 |
| H.4 | Specialized Plotting | 203 |
| H.5 | Bit-Mapped Images | 204 |
| H.6 | Printing | 204 |
| H.7 | Handle Graphics | 204 |
| I | 3-D Visualization | 207 |
| I.1 | Surface and Mesh Plots | 207 |
| I.2 | View Control | 207 |
| I.3 | Lighting | 208 |
| I.4 | Volume Visualization | 208 |
| J | GUI Development | 209 |
| J.1 | Predefined Dialog Boxes | 209 |
| J.2 | User Interface Deployment | 209 |
| J.3 | User Interface Development | 209 |
| J.4 | User Interface Objects | 210 |
| J.5 | Objects from Callbacks | 210 |
| J.6 | Program Execution | 210 |
| K | External Interfaces | 211 |
| K.1 | Shared Libraries | 211 |
| K.2 | Java | 211 |
| K.3 | Component Object Model and ActiveX | 211 |
| L | Symbolic Math Toolbox | 212 |
| L.1 | Calculus | 212 |
| L.2 | Linear Algebra | 212 |
| L.3 | Simplification | 213 |

| | | |
|-----|-----------------------------|-----|
| L.4 | Special Functions | 213 |
| L.5 | Conversions | 213 |
| L.6 | Basic Operations | 213 |

Preface

This eighth edition of the *MATLAB*[®] *Primer* highlights the new features of MATLAB 7.10 (R2010a), and expands on many existing features. New and expanded topics include:

- A new chapter on object-oriented programming.
- The MATLAB File Exchange window, which provides direct access to over 10,000 submissions by MATLAB users (as of March 2010).
- Major changes to the MATLAB Editor, such as code folding and the integration of the Code Analyzer (M-Lint) into the Editor.
- More powerful Help tools, such as quick help popups for functions via the Function Browser.
- The new `bsxfun` function.
- The Help chapter in the seventh edition gave a one-line description of every function, keyword, and operator. The number of functions and keywords has grown, and it has become impractical to keep up. This edition presents the *MATLAB Top 500*, and gives a longer synopsis of each of them. The list was determined via a MATLAB script that counted the occurrences of all functions and keywords in the entire File Exchange, with a few editorial modifications.
- Motivated by the MATLAB Top 500, several useful features not covered in the seventh edition have been added (such as sets, logical indexing, `isequal`, `repmat`, `reshape`, `varargin`, and `varargout`).

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Introduction

How to use this book: The purpose of this *MATLAB[®] Primer* is to help you begin to use MATLAB. Additional help is available inside MATLAB itself, and online at www.mathworks.com. The primer is best used hands on. You are encouraged to work at the computer as you read the primer and freely experiment with the examples. This primer, along with the MATLAB help facility, usually suffices for students in a class requiring the use of MATLAB.

Start with the examples at the beginning of each chapter. In this way, you will create all of the matrices and M-files used in the examples. Some examples depend on code you write in previous chapters and sections.

Larger examples (M-files and MEX-files) are posted on the web page for this book, at www.crcpress.com and www.cise.ufl.edu/~davis/MATLABPrimer8E.

Pull-down menu selections are described using the following style. Selecting the Desktop menu, and then the Desktop Layout submenu, and then the Default menu item is written as Desktop ► Desktop Layout ► Default.

MATLAB code and expressions are written in a fixed width font, like+this.

You should liberally use the online help facility for more detailed information. Selecting Help ► Product Help brings up the Help window. You can also type `help` or `doc` in the Command Window. See Section 2.5 for more information on how to use the online help.

In the Help window, navigate to MATLAB ► Functions. This gives you a categorical list of all functions, keywords, operators, and special characters in MATLAB. The outline of this list is repeated in Chapters A through K of the

Appendix of this book. Chapter L of the Appendix is an outline of the Symbolic Math Toolbox ► Functions categorical list. The Appendix describes the *Top 500* functions in MATLAB and The Symbolic Toolbox, which is a list of the most frequently used functions. Sometimes less is more, since you do not have to ponder over whether or not you need an obscure function when what you are looking for is a well-known and well-used function instead. In the interest of completeness, a few functions are described in the text of the book (Chapters 1-23), but which do not make it into the Top 500 list (`linsolve` is one example, in Section 5.5).

How to obtain MATLAB: Version 7.10 (Release R2010a) of MATLAB is available for Microsoft Windows[®] (XP, Server 2003 or 2008, Vista, and 7), Mac[®] (OS X 10.5.5 Leopard[®] and above 10.6.x Snow Leopard[®]), and most versions of Linux[®]. The Student Version of MATLAB includes MATLAB, Simulink[®], the Symbolic Math Toolbox[™], and six other Toolboxes. Everything discussed in this book can be done in the Student Version of MATLAB.

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1 Getting Started

MATLAB offers engineers, scientists, and mathematicians an intuitive language for expressing problems and their solutions mathematically and graphically. It integrates computation, visualization, and programming in a flexible, open environment. Complex numeric and symbolic problems can be solved in a fraction of the time required with other languages such as C, Fortran, or Java.

The *MATLAB Primer* is a hands-on introduction to this powerful tool developed by The MathWorks, Inc. Double-click the MATLAB icon to get started.



You can also launch MATLAB with the system command `matlab`. If you are running MATLAB across a network, it can be faster to run MATLAB without its desktop user-interface, using the `matlab -nodesktop` command. Not all MATLAB features are available if you use this option.

When you are finished, the `quit` or `exit` commands terminate MATLAB. You might be prompted to save any files you are editing. Before exiting, use the `save` command to save any variables in your workspace that you want to keep.


2 The MATLAB Desktop

MATLAB has an extensive graphical user interface. When MATLAB starts, the main MATLAB window appears,

containing several windows and menu bars. Not all windows appear in the default configuration. The Desktop menu controls the layout and appearance of the windows and gives you a list of the windows you can use. This list is shown below, alongside the sections and page numbers in this book where they are discussed. The first four appear by default, the first time you use MATLAB. If you reconfigure your Desktop windows, MATLAB remembers what you have modified and displays the same configuration the next time you start MATLAB.

| | | |
|-----------------------------|---|------------------------------|
| Command Window | Section 2.1 | p. 3 |
| Command History | Section 2.2 | p. 7 |
| Current Folder | Section 2.3 | p. 7 |
| Workspace | Section 2.4 | p. 9 |
| Help | Section 2.5 | p. 10 |
| Profiler | Section 11.7 | p. 85 |
| File Exchange | Section 2.6 | p. 12 |
| Editor | Section 6.1, Section 6.2, Section 11.2, Chapter 23 | pp. 34, 36, 79, 163 |
| Figures | Section 15.2 | p. 107 |
| Web Browser | Section 23 | p. 163 |
| Variable Editor | Section 2.7 | p. 12 |
| File and Folder Comparisons | Section 11.8 | p. 85 |

The Start button in the bottom left corner of the MATLAB Desktop brings up demos, tools, and other windows. Try Start ► MATLAB ► Demos and run one of the demos from the MATLAB Demo window.

All MATLAB windows are docked in the default desktop, which means that they are tiled on the main MATLAB window. You can undock a window by selecting the menu item Desktop ►  Undock or by clicking its undock button:



Dock it with Desktop ►  Dock... or the dock button:



Close a window by clicking its close button:



Reshape the window tiling by clicking on and dragging the window edges.

The menu bar at the top of the MATLAB window contains a set of buttons and pull-down menus for working with M-files, windows, preferences and other settings, web resources for MATLAB, and online MATLAB help. If a window is docked and selected, its menu bar appears at the top of the main MATLAB window.

2.1 Command Window

MATLAB expressions and statements are evaluated as you type them in the Command Window, and results of the computation are displayed there too. Expressions and statements are also used in M-files (more on this in Chapter 6). They are usually of the form:

variable = expression

or simply:

expression

Expressions are usually composed from operators, functions, and variable names. Evaluation of the expression produces a matrix (or other data type), which is then displayed on the screen or assigned to a variable for future use. If the variable name and = sign are omitted, a variable

ans (for answer) is automatically created to which the result is assigned.

A statement is normally terminated at the end of the line. However, a statement can be continued to the next line with three periods (. . .) at the end of the line. Several statements can be placed on a single line separated by commas or semicolons. If the last character of a statement is a semicolon, display of the result is suppressed, but the assignment is still carried out. This is essential in suppressing unwanted display of intermediate results.

In the default configuration, the Workspace window in the top right of the MATLAB Desktop gives you a list of the variables you create. Type this command in the Command Window:

```
A = [1 2 3 ; 4 5 6 ; -1 7 9]
```

or this one:

```
A = [  
1 2 3  
4 5 6  
-1 7 9]
```

Either one creates a 3-by-3 matrix and assigns it to a variable A. Try it. You will see the array A in your Workspace window (Section 2.4 gives more details on this window). MATLAB is case-sensitive in the names of commands, functions, and variables, so A and a are two different variables. A comma or blank separates the elements within a row of a matrix (sometimes a comma is necessary to split the expressions, because a blank can be ambiguous). A semicolon ends a row. When listing a number in exponential form (e.g., 2.34e-9), blank spaces must be avoided in the middle (before the e, for example). Matrices can also be constructed from other matrices. If A is the 3-by-3 matrix shown above, then:

```
C = [A, A' ; [12 13 14], zeros(1,3)]
```

creates a 4-by-6 matrix. Try it to see what C is. The quote mark in A' means the transpose of A. Be sure to use the correct single quote mark (just to the left of the enter or return key on most keyboards). Since a blank separates elements in a row, parentheses are sometimes needed around expressions if they would otherwise be ambiguous. Parentheses are also used for passing parameters to functions, such as the `zeros` function in this example. See Section 5.1 for more on the `zeros` function.

When you typed the last two commands, the matrices A and C were created and displayed in the Workspace window.

You can save the Command Window dialog with the `diary` command:

```
diary filename
```

This causes what appears subsequently in the Command Window to be written to the named file (if the *filename* is omitted, it is written to a default file named `diary`) until you type the command `diary off`; the command `diary on` causes writing to the file to resume. When finished, you can edit the file as desired and print it out. For hard copy of graphics, see Section 15.10.

The command line in MATLAB can be easily edited in the Command Window. The cursor can be positioned with the left and right arrows and the Backspace (or Delete) key used to delete the character to the left of the cursor.

A convenient feature is use of the up and down arrows to scroll through the stack of previous commands. You can recall a previous command line, edit it, and execute the revised line. Try this by first modifying the matrix A by adding one to each of its elements:

```
A = A + 1
```

You can change `C` to reflect this change in `A` by retyping the lengthy command `C = ...` above, but it is easier to hit the up arrow key until you see the command you want, and then hit enter.

Tab completion is another helpful shortcut. It works in both the Command Window and the Editor (see Section 6.1). Start typing a command name, a variable name, or a file name. Before you type it all in, hit the tab key. Try typing `z` then a tab. A list of all the functions and variables that start with `z` will pop up. Select one from the list, or keep typing to narrow down the selection. Type `e` and then tab to narrow down the selection to `zeros`.

Tab completion can be disabled in the Keyboard section of the File ► Preferences menu. You can also use that menu to change your keyboard shortcuts.

You can clear the Command Window with the `clc` command or with Edit ► Clear Command Window.

Beginning MATLAB users often wonder why MATLAB seems to compute its results in only 5 digits. Try this.

`pi`

No, MATLAB does not know a mere 5 digits of `pi`. It keeps track of more digits than this, but only displays 5 digits by default. MATLAB typically does its computations in IEEE double precision floating point arithmetic, which is about 16 decimal digits. To see more digits, or to display numbers in different formats, try these commands:

| | |
|-----------------------------|---|
| <code>format short</code> | fixed point, 5 digits |
| <code>format long</code> | fixed point, 15 digits |
| <code>format short g</code> | fixed or scientific notation, 5 digits |
| <code>format long g</code> | fixed or scientific notation, 15 digits |
| <code>format rat</code> | approximate integer ratio |

`format short` is the default. Once invoked, the chosen format remains in effect until changed. These commands

only modify the display, not the precision of the number or its computation. To compute results in more digits you need to use variable precision arithmetic (Section 19.3).

The command `format compact` suppresses most blank lines, allowing more information to be placed on the screen. The command `format loose` returns to the non-compact format. These two commands are independent of the other format commands.

You can pause the output in the Command Window with the `more on` command. Type `more off` to turn this feature off.

2.2 Command History window

This window lists the commands typed in so far. You can re-execute one more commands from this window by double-clicking or dragging the command(s) into the Command Window. Try double-clicking on the command:

```
A = A + 1
```

shown in your Command History window. For more options, select and right-click on a line of the Command Window.

2.3 Current Folder window



The Current Folder window displays a list of the files in your current folder. The name of this folder also appears at the top of the main MATLAB window, in the MATLAB Toolbar. Your current folder is the first place MATLAB looks for your M-files, and for workspace (`.mat`) files containing data that you load and save. MATLAB also looks in all the folders in your MATLAB path (see Section 6.8). Folders that are not on your MATLAB path are shown in gray.

You can also load and save matrices as ASCII files and edit them with your favorite text editor. The file should consist of a rectangular array of just the numeric matrix entries. Use a text editor to create a file in your current folder called `mymatrix.txt` (or type `edit mymatrix.txt`) that contains these 2 lines:

```
22 67
12 33
```

Type the command `load mymatrix.txt`, and the file will be loaded from the current folder to the variable `mymatrix`. The file extension (`.txt` in this example) can be anything except `.mat`.

You can use the menus and buttons in the Current Folder window to peruse your files, or you can use commands typed in the Command Window. The command `pwd` returns the name of the current folder, and `cd` changes the current folder. Use `cd ..` to go to the parent folder. The command `dir` lists the contents of the current folder, whereas the command `what` lists only the MATLAB-specific files in the folder, grouped by file type. The MATLAB commands `delete` and `type` can be used to delete a file and display a file in the Command Window, respectively.

The Current Folder window can create and manage zip files. Right-click the `mymatrix.txt` file, and select `Create Zip File`. You can also create a zip file with multiple input files by selecting a set of files first. Double-clicking on the new `mymatrix.zip` file extracts its contents into a folder called `mymatrix`, containing the single file `mymatrix.txt`. Delete your original `mymatrix.txt` file. Clicking on the  symbol beside the `mymatrix` folder (or the  symbol on the Mac) expands the contents of that folder. The name of the `mymatrix.txt` file it contains is grayed out, which tells you that MATLAB will not find that file if you type

load mymatrix.txt (try it). Right-click the mymatrix folder and select Add to Path ► Selected Folders and try it again.

The Current Folder window includes a suite of useful code development tools for writing your own M-files. At this point in the book, you have yet to write your own M-files, so these tools are fully described later on (Chapter 11).

2.4 Workspace window

The Workspace window lists variables that you have either entered or computed in your MATLAB session.

There are many fundamental data types (or classes) in MATLAB, each one a multidimensional array. The classes you will use most are rectangular numerical arrays with possibly complex entries, and possibly sparse. An array of this type is called a matrix. A matrix with only one row or one column is called a vector (row vectors and column vectors behave differently; they are more than mere one-dimensional arrays). A 1-by-1 matrix is called a scalar.

Arrays can be introduced into MATLAB in several different ways. They can be entered as an explicit list of elements (as you did for matrix A), generated by statements and functions (as you did for matrix C), created in a file with your favorite text editor, or loaded from external data files or applications. You can also write your own functions (M-files and mexFunctions in C, Fortran, or Java) that create and operate on matrices. All the matrices and other variables that you create, except those internal to M-files, are shown in your Workspace window. Double-clicking on a variable in the Workspace window pulls up the Variable Editor (Section 2.7).

The command `whos` lists the variables currently in the workspace. Try typing `whos`; you should see a list of

variables including `A` and `C`, with their type and size. A variable or function can be cleared from the workspace with the command `clear variablename` or by right-clicking the variable in the Workspace window and selecting Delete. The command `clear` alone clears all variables from the workspace.

When you log out or exit MATLAB, all variables are lost. However, invoking the command `save` before exiting writes all variables to a binary file named `matlab.mat` in the current folder. When you later reenter MATLAB, the command `load` restores the workspace to its former state. Commands `save` and `load` take file names and variable names as optional arguments. Type `doc save` and `doc load`, to bring up the documentation on these functions in the Help window described in the next section. Try typing the commands `save`, `clear`, and then `load`, and watch what happens in the Workspace window after each command.

2.5 Help window

This window is the most useful window for beginning MATLAB users, and you will continue to use it as you become an expert. Select `Help ► Product Help` or type `doc` in the Command Window. The Help window has most of the features you would see in any web browser (clickable links, a back button, and a search tool, for example). The left panel shows where you are in the MATLAB online documentation. This book refers to Help sections in this window as `Help:MATLAB ► Getting Started ► Introduction` (for example), which means to select the MATLAB heading, then the Getting Started heading, and then the Introduction item under that heading. Clicking on the `☐` symbol beside MATLAB in the left panel (or the `►` symbol on the Mac) expands the MATLAB Contents.

Printable versions of the documentation are available from the Help:MATLAB page, under the heading *Printable (PDF) Documentation on the Web*. These are handy to download, read, and search when you are not running MATLAB, but you might hesitate to actually print them all out (they total nearly 12,000 pages in length). The *Getting Started Guide* is a gentle introduction to MATLAB and a mere 272 pages in length.

You can also use the `help` command, typed in the Command Window. For example, the command `help eig` tells about the eigenvalue function `eig`. See the list of functions in the Appendix for a brief summary of help for a function. `doc eig` shows you the full documentation of the `eig` function in the Help window.

The F1 key is a quick shortcut to getting help on a function. Inside the Command Window or Editor, after typing in a command, hit the F1 key. The Help window for that function will pop up.

For a quick index of all MATLAB functions, try the Function Browser. Select Help ► Function Browser (or type Shift-F1), and then drill down into one of the categories. For example, the `eig` function is found under MATLAB ► Mathematics ► Linear Algebra ► Eigenvalues and Singular Values ► `eig`. Selecting a function brings up a short description of the `eig` function, with a link for more help.

When you type a function name in the Command Window or in the Editor, followed by the left parenthesis, a small popup appears. Try typing `eig(`, but do not hit the Enter/Return key. The popup shows you the possible inputs to the function, and a link for more help.

You can also preview some of the features of MATLAB by first entering the command `demo` or by selecting Help ► Demos, and then selecting from the options offered. Most

of the major features of MATLAB have their own demo. Some are videos, and some are interactive. Most demos are M-files that run step-by-step in the Command Window. The list of demos includes videos on the major new features for each release of MATLAB. These are very useful for keeping up-to-date on what MATLAB can do.

2.6 File Exchange window

The MathWorks, Inc., maintains a web site called MATLAB Central (www.mathworks.com/matlabcentral). It includes a Newsgroup, blogs, the Link Exchange, Webinars, programming contests, and the File Exchange.

The File Exchange is a place where any MATLAB user can post their MATLAB files for others to use. Quite often, if you want to solve a problem, someone else may have already solved it (avoid using this for homework solutions without your instructor's permission, of course). Users can rate the files, which helps you weed out the mediocre ones (a bad solution to a problem is worse than no solution at all).

With the File Exchange window, you can search for files from the File Exchange, download them, install them, and try them out. Select the Desktop ► File Exchange menu option on the Desktop. If you do not have a MathWorks Account, you will be asked to create one. Try downloading the code that created the cover of this book by searching for “seashell” in the search box. Click on the green arrow to the right, download it, then type `seashell` in the Command Window. You can download all the codes in this book by searching for “MATLAB Primer.”

2.7 Variable Editor window

Once an array or variable exists, it can be modified with the Variable Editor, which acts like a spreadsheet for matrices.

Go to the Workspace window and double-click on the matrix C. Click on an entry in C and change it, and try changing the size of C. Go back to the Command Window and type:

```
C
```

and you will see your new array C. You can also edit the matrix C by typing the command `openvar('C')`.

3 Matrices and Matrix Operations

You have now seen most of the windows in MATLAB and what they can do. Now take a look at how you can use MATLAB to work on matrices and other data types.

3.1 Referencing individual entries

Individual matrix and vector entries can be referenced with indices inside parentheses. For example, `A(2,3)` denotes the entry in the second row, third column of matrix A. Try:

```
A = [1 2 3 ; 4 5 6 ; -1 7 9]
```

```
A(2,3)
```

Next, create a column vector, x, with:

```
x = [3 2 1]'
```

or equivalently:

```
x = [3 ; 2 ; 1]
```

With this vector, `x(3)` denotes the third coordinate of vector x, with a value of 1. Higher dimensional arrays are similarly indexed. An array accepts positive integers as indices. You can also use logical indices, discussed in Section 5.8.

An array with two or more dimensions can be indexed as if it were a one-dimensional vector. If A is m-by-n, then `A(i,j)` is the same as `A(i+(j-1)*m)`. This feature is most often used with the `find` function (see Sections 5.6 and 5.7).

3.2 Matrix operators

The following matrix operators are available in MATLAB:

- + addition or unary plus
- subtraction or negation
- * multiplication
- ^ power
- ' matrix transpose
- .' array transpose
- \ left division (backslash or `mldivide`)
- / right division (slash or `mrdivide`)

These matrix operators apply, of course, to scalars (1-by-1 matrices) as well. If the sizes of the matrices are incompatible for the matrix operation, an error message will result, except in the case of scalar-matrix operations. With addition, subtraction, division, and multiplication of a matrix and a scalar, each entry of the matrix is operated on by the scalar, as in `A=A+1`. The scalar 1 is expanded in size to match the size of the matrix A. You can also expand non-scalars with the `bsxfun` function (see Section 5.9).

Not all scalar-matrix operations are valid. For example, `magic(3)/pi` is valid but `pi/magic(3)` is not. Also try the commands:

```
A^2
A*x
```

If `x` and `y` are both column vectors, then `x'*y` is their inner (or dot) product, and `x*y'` is their outer product. Try these commands:

```
y = [1 2 3]';
x'*y
x*y'
```

3.3 Matrix division (slash and backslash)

The matrix “division” operations deserve special comment. If A is an invertible square matrix and b is a compatible column vector or, respectively, a compatible row vector, then $x=A \backslash b$ is the solution of $A * x = b$, and $x = b / A$ is the solution of $x * A = b$. These are informally called the backslash (\backslash) and slash operators ($/$); they are also referred to as the `mldivide` and `mrdivide` functions. If A is square and non-singular, then $A \backslash b$ and b / A are mathematically the same as respectively, where `inv(A)` computes the inverse of A . The left and right division operators do not compute the inverse and are more accurate and efficient than `inv(A) * b`. In left division, if A is square, then it is factorized (if necessary), and these factors are used to solve $A * x = b$. If A is not square, the under- or over-determined system is solved in the least squares sense. Right division is defined in terms of left division by $b / A = (A' \backslash b')'$. Try this:

```
A = [1 2 ; 3 4]
b = [4 10]'
x = A \ b
```

The solution to $A * x = b$ is the column vector $x = [2; 1]$. Backslash is a very powerful general-purpose method for solving linear systems. Depending on the matrix, it selects forward or back substitution for triangular matrices (or permuted triangular matrices), Cholesky factorization for symmetric matrices, LU factorization for square matrices, or QR factorization for rectangular matrices. It has a special solver for Hessenberg matrices. It can also exploit sparsity, with either sparse versions of the above list, or special-case solvers when the sparse matrix is diagonal, tridiagonal, or banded. It selects the best method automatically (sometimes trying one method and then another if the first method fails).

This can be overkill if you already know what kind of matrix you have. It can be much faster to use the `linsolve` function described in Section 5.5.

3.4 Entry-wise operators

Matrix addition and subtraction already operate entry-wise, but the other matrix operations do not. These other operators (`*`, `^`, `\`, and `/`) can be made to operate entry-wise by preceding them by a period. For example, either:

```
[1 2 3 4] .* [1 2 3 4]
[1 2 3 4] .^ 2
```

yields `[1 4 9 16]`. Try it. This is particularly useful when using MATLAB graphics. Also compare `A^2` with `A.^2`.

3.5 Relational operators

The relational operators in MATLAB are:

| | |
|--------------------|-----------------------|
| <code><</code> | less than |
| <code>></code> | greater than |
| <code><=</code> | less than or equal |
| <code>>=</code> | greater than or equal |
| <code>==</code> | equal |
| <code>~=</code> | not equal |

They all operate entry-wise. Note that `=` is used in an assignment statement whereas `==` is a relational operator.

Relational operators may be connected by logical operators:

| | |
|-------------------------|-------------------|
| <code>&</code> | and |
| <code> </code> | or |
| <code>~</code> | not |
| <code>&&</code> | short-circuit and |
| <code> </code> | short-circuit or |

The result of a relational operator is of type `logical`, and is either `true` (one) or `false` (zero). Thus, `~0` is 1, `~3` is 0, and `4&5` is 1, for example. When applied to scalars, the result is a scalar. Try entering `3<5`, `3>5`, `3==5`, and `3==3`. When applied to matrices of the same size, the result is a logical matrix of ones and zeros giving the value of the expression between corresponding entries. You can also compare elements of a matrix with a scalar. Try:

```
A = [1 2 ; 3 4]
A >= 2
B = [1 3 ; 4 2]
A < B
```

The short-circuit operator `&&` acts just like its non-short-circuited counterpart (`&`), except that it evaluates its left expression first, and does not evaluate the right expression if the first expression is false. This is useful for partially-defined functions. Suppose `f(x)` returns a logical value but generates an error if `x` is zero. The expression `(x~=0) && f(x)` returns false if `x` is zero, without calling `f(x)` at all. The short-circuit or (`||`) acts similarly. It does not evaluate the right expression if the left is true. Both `&&` and `||` require their operands to be scalar and convertible to logical, while `&` and `|` can operate on arrays.

3.6 Complex numbers

MATLAB allows complex numbers in most of its operations and functions. Three convenient ways to enter complex matrices are:

```
clear i
B = [1 2 ; 3 4] + i*[5 6 ; 7 8]
B = [1+5i, 2+6i ; 3+7i, 4+8i]
B = complex([1 2 ; 3 4], [5 6 ; 7 8])
```

Either `i` or `j` may be used as the imaginary unit. You can use `i` and `j` as variables and overwrite their values, since they are also commonly used as loop indices (this is why the example above starts with `clear i`). You may generate a new imaginary unit with, say, `ii=sqrt(-1)`. The simplest thing to do is to always use the constants `1i` or `1j`, which cannot be reassigned and are always equal to the imaginary unit. Thus,

```
B = [1 2 ; 3 4] + 1i*[5 6 ; 7 8]
```

generates the same matrix `B`, even if `i` has been reassigned. See Section 10.2 for how to find out if `i` has been reassigned.

3.7 Strings

Enclosing text in single quotes forms strings with the `char` data type:

```
S = 'I love MATLAB'
```

To include a single quote inside a string, use two of them together, as in:

```
S = 'Green''s function'
```

A 2-D array of strings can represent multiple lines of text. For example,

```
S = [ 'I love MATLAB'  
      'It''s powerful' ]
```

Then `S(1, :)` is the first line of text and `S(2, :)` is the second (colon notation is discussed in the next chapter).

Strings, numeric matrices, and all other data types can be displayed with the function `disp`. Try `disp(S)` and `disp(B)`.

Since all rows in an array must have the same number of entries, the strings must all be the same length, so you must pad shorter strings with spaces. Cell arrays avoid this problem (see Section 8.1).

Use `strcmp` and `strcmpi` to compare strings for equality. `strcmp('A','a')` is false, while `strcmpi('A','a')` is true because the latter ignores case.

To convert a number displayed a string into a number, use `str2double`, `str2num`, or `sscanf`. `str2double('3.14')` is the number 3.14. The `str2num` function can extract multiple numbers from a single string, but it evaluates the string as if it were a MATLAB expression. The string might include calls to a function, which may cause unintended side effects. The `sscanf` function provides more control over how numbers are parsed from strings. See also `fscanf` for reading numbers from text files.

4 Submatrices and Colon Notation

Vectors and submatrices are often used in MATLAB to express simple yet powerful matrix computations and data manipulations. Colon notation (which is used to both generate vectors and reference submatrices) and subscripting by integral vectors are keys to efficient manipulation of these objects. Creative use of these features minimizes the use of loops (which can slow down MATLAB) and makes code simple and readable. Make a special effort to become familiar with them.

4.1 Generating vectors

The expression `1:5` is the row vector `[1 2 3 4 5]`. The numbers need not be integers, and the increment need not

be 1. For example, `0:0.2:1` gives `[0 0.2 0.4 0.6 0.8 1]` with an increment of 0.2 and `5:-1:1` gives `[5 4 3 2 1]` with an increment of -1. These vectors are commonly used in for loops, described in Section 7.1. Be careful how you mix the colon operator with other operators. Compare `1:5-3` with `(1:5)-3`.

In general, the expression `lo:hi` is the sequence `[lo, lo+1, lo+2, ..., hi]` except that the last term in the sequence is always less than or equal to `hi` if either one are not integers. Thus, `1:4.9` is `[1 2 3 4]` and `1:5.1` is `[1 2 3 4 5]`. The sequence is empty if `lo>hi`.

If an increment is provided, as in `lo:inc:hi`, the sequence is `[lo, lo+inc, lo+2*inc, ..., lo+m*inc]` where `m=fix((hi-lo)/inc)` and `fix` is a function that rounds a real number towards zero. The length of the sequence is `m+1`, and the sequence is empty if `m<0`. Thus, the sequence `5:-1:1` has `m=4` and is of length 5, but `5:1:1` has `m=-4` and is thus empty. The default increment is 1.

If you want specific control over how many terms are in the sequence, use `linspace` instead of the colon operator. The expression `linspace(lo,hi)` is identical to `lo:inc:hi`, except that `inc` is chosen so that the vector always has exactly 100 entries (even if `lo` and `hi` are equal). The last entry in the sequence is always `hi`. To generate a sequence with `n` terms instead of the default of 100, use `linspace(lo,hi,n)`. Compare `linspace(1,5.1,5)` with `1:5.1`.

4.2 Accessing submatrices

Colon notation can be used to access submatrices of a matrix. To try this out, first type the two commands:

```
A = rand(6,6)
B = rand(6,4)
```

which generate a random 6-by-6 matrix A and a random 6-by-4 matrix B.

$A(1:4,3)$ is the column vector consisting of the first four entries of the third column of A.

A colon by itself denotes an entire row or column: $A(:,3)$ is the third column of A, and $A(1:4,:)$ is the first four rows of A.

Arbitrary integral vectors can be used as subscripts.

$A(:, [2\ 4])$ is a matrix with two columns: columns 2 and 4 of A. This subscripting can be used on both sides of an assignment statement:

$$A(:, [2\ 4\ 5]) = B(:, 1:3)$$

replaces columns 2, 4, 5 of A with the first three columns of B. Try it. The entire altered matrix A is displayed because the statement is not terminated with a semicolon. Columns 2 and 4 of A can be multiplied on the right by the matrix $[1\ 2 ; 3\ 4]$:

$$A(:, [2\ 4]) = A(:, [2\ 4]) * [1\ 2 ; 3\ 4]$$

Once again, the entire altered matrix is displayed.

Submatrix operations are a convenient way to perform many useful computations. For example, a Givens rotation of rows 3 and 5 of the matrix A to zero out the $A(3,1)$ entry can be written as:

$$a = A(5,1)$$

$$b = A(3,1)$$

$$G = [a\ b ; -b\ a] / \text{norm}([a\ b])$$

$$A([5\ 3], :) = G * A([5\ 3], :)$$

(assuming $\text{norm}([a\ b])$ is not zero). You can also assign a scalar to all entries of a submatrix. Try:

$$A(:, [2\ 4]) = 99$$

You can delete rows or columns of a matrix by assigning the empty matrix (`[]`) to them. Try:

```
A(:, [2 4]) = []
```

In an array index expression, `end` denotes the index of the last element. Try:

```
x = rand(1,5)
x = x(end:-1:1)
```

MATLAB is a powerful and expressive language. To appreciate the usefulness of these features, compare these MATLAB statements with the equivalent code in C, Fortran, or Java.

5 MATLAB Functions

MATLAB has a wide assortment of built-in functions. You have already seen some of them, such as `zeros`, `rand`, and `sqrt`. This chapter describes the more common matrix manipulation functions. For a more complete list, see the Appendix (p. 165), or `Help:MATLAB ►Functions`.

5.1 Constructing matrices

Convenient matrix building functions include:

| | |
|-----------------------|--------------------------------------|
| <code>eye</code> | identity matrix |
| <code>zeros</code> | matrix of zeros |
| <code>ones</code> | matrix of ones |
| <code>diag</code> | create or extract diagonals |
| <code>triu</code> | upper triangular part of a matrix |
| <code>tril</code> | lower triangular part of a matrix |
| <code>rand</code> | randomly generated matrix |
| <code>hilb</code> | Hilbert matrix |
| <code>magic</code> | magic square |
| <code>toeplitz</code> | Toeplitz matrix |
| <code>gallery</code> | a wide range of interesting matrices |

The command `rand(n)` creates an n -by- n matrix with randomly generated entries distributed uniformly between 0 and 1 while `rand(m,n)` creates an m -by- n matrix (m and n are non-negative integers). Try:

```
A = rand(3)
```

Use `reset(RandStream.getDefaultStream)` to reset the random number generator, which is useful for reproducing the results from `rand`. Use `randn` for random numbers with normal distribution, and `randi` for random integers. All three functions (`rand`, `randn`, and `randi`) use the same underlying generator. `randi([0 9],3)` creates a random 3-by-3 matrix with integer entries in the range 0 to 9.

`zeros(m,n)` produces an m -by- n matrix of zeros, and `zeros(n)` produces an n -by- n one. If A is a matrix, then `zeros(size(A))` produces a matrix of zeros having the same size as A . The `ones` function is the same as `zeros`, except that it returns a matrix of all ones.

For a regular scalar, vector, or matrix, `size(A)` returns a vector of length 2 with the number of rows and columns of A . For a scalar x , `size(x)` is `[1 1]`. With two outputs (or more) the dimensions of A are returned in the two (or more) scalars. A matrix A is *empty* if `min(size(A))` is zero; `isempty(A)` is true for an empty matrix A .

If x is a vector, `diag(x)` is the diagonal matrix with x down the diagonal; if A is a matrix, then `diag(A)` is a vector consisting of the diagonal of A . Try:

```
x = 1:3
diag(x)
diag(A)
diag(diag(A))
```

Matrices can be built from blocks. Try creating this 5-by-5 matrix.


```
B = [A zeros(3,2) ; pi*ones(2,3), eye(2)]
```

`eye(2)` is the 2-by-2 identity matrix. `magic(n)` creates an n -by- n matrix that is a magic square (rows, columns, and diagonals have common sum). Matrices can also be generated with a for loop (see Section 7.1). `triu` and `tril` extract upper and lower triangular parts of a matrix. Try:

```
triu(A)
triu(A) == A
```

The `gallery` function can generate a matrix from any one of over 60 different matrix classes. Many have interesting eigenvalue or singular value properties, provide interesting counter-examples, or are difficult matrices for various linear algebraic methods. The Parter matrix has many singular values close to :

```
A = gallery('parter', 6)
svd(A)
```

Additional test matrices are available in other functions. For example, the Rosser matrix challenges many eigenvalue solvers:

```
A = rosser ;
eig(A)
eigs(A)
```

The `eig`, `eigs`, and `svd` functions are discussed in Section 5.4.

5.2 Scalar functions

Certain MATLAB functions operate essentially on scalars but operate entry-wise when applied to a vector or matrix. The most common ones are:

| | | | | | |
|------|-------|-------|-------|-------|------|
| abs | atan2 | exp | log10 | rem | sqrt |
| acos | ceil | floor | log2 | round | tan |
| asin | conj | imag | mod | sign | |
| atan | cos | log | real | sin | |

The following statements generate a sine table:

```
x = (0:0.1:2)';
y = sin(x)
[x y]
```

Note that because `sin` operates entry-wise, it produces a vector `y` from the vector `x`.

5.3 Vector functions and data analysis

Other MATLAB functions operate essentially on a vector (row or column) but act on an m -by- n matrix ($m > 2$) in a column-by-column fashion to produce a row vector containing the results of their application to each column. Row-by-row action can be obtained by using the transpose (`mean(A')'`, for example) or by specifying the dimension along which to operate (`mean(A,2)`, for example). Most of these functions perform basic statistical computations (`std` computes the standard deviation and `prod` computes the product of the elements in the vector, for example). The primary functions are:

| | | | | | |
|-----|------|--------|-----|------|------|
| max | sum | median | any | sort | var |
| min | prod | mean | all | std | mode |

The maximum entry in a matrix `A` is given by `max(max(A))` rather than `max(A)`. Try it. The `any` and `all` functions are discussed in Section 7.6.

5.4 Matrix functions

Much of the power of MATLAB comes from its matrix functions. Here is a partial list of the most important ones:

| | |
|-----------------------|---|
| <code>eig</code> | eigenvalues and eigenvectors |
| <code>eigs</code> | like <code>eig</code> , for large sparse matrices |
| <code>chol</code> | Cholesky factorization |
| <code>svd</code> | singular value decomposition |
| <code>svds</code> | like <code>svd</code> , for large sparse matrices |
| <code>lu</code> | LU factorization |
| <code>qr</code> | QR factorization |
| <code>poly</code> | characteristic polynomial |
| <code>det</code> | determinant |
| <code>size</code> | size of an array |
| <code>length</code> | length of a vector |
| <code>norm</code> | 1-norm, 2-norm, Frobenius-norm, ∞ -norm |
| <code>cond</code> | condition number in the 2-norm |
| <code>condest</code> | condition number estimate |
| <code>rank</code> | rank |
| <code>kron</code> | Kronecker tensor product |
| <code>find</code> | find indices of nonzero entries |
| <code>linsolve</code> | solve a special linear system |

MATLAB functions may have single or multiple output arguments. Square brackets are used to the left of the equal sign to list the outputs. For example,

```
lambda = eig(A)
```

finds a column vector with the eigenvalues of A , whereas:

```
[V, Lambda] = eig(A)
```

produces a matrix V whose columns are the eigenvectors of A and a diagonal matrix D with the eigenvalues of A on its diagonal, so that $A*V$ is equal to $V*Lambda$. Try it.

5.5 The `linsolve` function

The matrix divide operators (`\` or `/`) are usually enough for solving linear systems. They look at the matrix and try to pick the best method. The `linsolve` function acts like `\`, except that you can tell it about your matrix. Try:

```
A = [1 2 ; 3 4]
b = [4 10]'
A\b
linsolve(A,b)
```

In both cases, you get solution $x=[2;1]$ to the linear system $A*x=b$.

If A is symmetric and positive definite, one explicit solution method is to perform a Cholesky factorization, followed by two solves with triangular matrices. Try:

```
C = [2 1 ; 1 2]
x = C\b
```

Here is an equivalent method:

```
R = chol(C)
y = R'\b
x = R\y
```

The matrix R is upper triangular, but MATLAB must explicitly determine this by itself. You can save MATLAB some work by using `linsolve` with an optional third argument, `opts`. Try this:

```
opts.UT = true
opts.TRANSR = true
y = linsolve(R,b,opts)
```

which gives the same answer as $y=R'\backslash b$. The fields for the `opts` struct are `UT` (upper triangular), `LT` (lower triangular), `UHESS` (upper Hessenberg), `SYM` (symmetric), `POSDEF`

(positive definite), RECT (rectangular), and TRANSA (whether to solve $A*x=b$ or $A'*x=b$). All opts fields are either true or false. Not all combinations are supported (type doc linsolve for a list). linsolve does not work on sparse matrices.

This example uses a struct called opts. Structs are covered in detail in Section 8.2.

5.6 The find function

The find function is unlike the other matrix and vector functions. find(x), where x is a vector, returns an array of indices of nonzero entries in x. This is often used in conjunction with relational operators. Suppose you want a vector y that consists of all the values in x greater than 1. Try:

```
x = 2*rand(1,5)
y = x(find(x > 1))
```

With three output arguments, you get more information:

```
A = rand(3)
[i,j,x] = find(A)
```

returns three vectors, with one entry in i, j, and x for each nonzero in A (row index, column index, and numerical value, respectively). With this matrix A, try:

```
[i,j,x] = find(A > .5)
[i j x]
```

and you will see a list of pairs of row and column indices where A is greater than .5. However, x is a vector of values from the matrix expression $A > .5$, not from the matrix A. Getting the values of A that are larger than .5 without a loop requires one-dimensional array indexing.

5.7 1-D indexing and the reshape function

One-dimensional or linear indexing accesses a multi-dimensional array with a single index.

```
A = rand(5)
k = find(A > .5)
A(k)
A(k) = A(k) + 99
```

Section 7.1 shows the loop-based version of this code.

If A is m -by- n , then $A(i, j)$ is the same as the one-dimensional index $A(i + (j - 1) * m)$. Think of one-dimensional indexing starting at $A(1, 1)$, which is the same as $A(1)$, and then counting down the first column. So $A(m, 1)$ is the same as $A(m)$. Next, count down the second column, so that $A(1, 2)$ is the same as $A(m + 1)$. Try this example, where $m = 3$.

```
A = rand(3)
A(1, 2)
A(4)
```

A single colon converts a matrix into a vector, one column following another. Try this:

```
A(:)
```

One-dimensional indexing works differently depending on which side of the assignment ($=$) it is on. On the right of the equal sign, $A(k)$ returns the values given by the indices in k . The result is the same size and shape as k . Try these examples:

```
A = magic(5)
A([1 2 ; 5 6])
A(1:7)
A([1:7]')
```

On the left side of the equal sign, A is modified but it retains its shape and size. The result of $A(k)=...$ does not depend on the shape of k , just the number of entries it contains. If k is a matrix or row vector, it is converted into the single column $k(:)$. Try this:

```
A([1 2 ; 5 6]) = 101:104
A(1:7) = 0
A([1:7]') = -1
```

The example above where k is 2-by-2 may seem out of order until you see it expanded like this:

```
A = magic(5)
k = [1 2 ; 5 6]
k = k(:)
A(k) = 101:104
```

The expression $A(:)$ unravels A into a single column vector, starting with entries in the first column, then the second, and so on. The resulting column vector can then be reshaped into a matrix with different dimensions using `reshape`. $C=\text{reshape}(A,m,n)$ unravels $A(:)$ and then reshapes the result into an m -by- n matrix C . Try this example.

```
A = reshape(1:16, 4, 4)
C = reshape(A, 2, 8)
```

5.8 Logical indexing

Logical indexing is an alternative to one-dimensional indexing and the `find` function. You can index into an array using a list of indices (such as those from `find`), or you can index into it with a logical array directly. If S is a logical array, $A(S)$ is the set of entries in A where S is true. Here is the same example as the first one in Section 5.7, but using logical indexing instead of `find`.

```

A = rand(5)
S = A > .5
A(S)
A(S) = A(S) + 99

```

The logical array S contains values that are true (1) or false (0). The expression $x=A(S)$ returns a list x of values $A(i, j)$ where $S(i, j)$ is 1. S can be the same size as A , or smaller. Unlike one-dimensional indexing, the result x is always a vector and never a matrix. If S is a matrix or column vector, then $x=A(S)$ is a column vector. If S is a row vector, then so is $x=A(S)$. If S is smaller than A , $S(:)$ gets padded with zeros to give it the same number of entries as A . S cannot be larger than A .

Merely creating a matrix with 1's and 0's does not make it a logical array. Try this example. S is an array of type `double`, not `logical`, so $A(S)$ fails. You must convert it into a logical array with $S\sim=0$, or `logical(S)`.

```

S = randi([0 1], 5)
A(S)           % fails
A(S==1)       % OK

```

5.9 The `bsxfun` and `repmat` functions

Scalars, vectors, and matrices work together in specific ways according to the well-defined rules of linear algebra. Entry-wise operations (such as adding two matrices $A+B$) can only be done if A and B have the same size. MATLAB can implicitly expand a scalar so that it takes on the same size as a matrix, as in $A+1$. This example subtracts a scalar (the mean of all entries in A) from the whole matrix:

```

A = rand(5)
C = A - mean(A(:))

```


Sometimes these rules are too restrictive. For example, subtracting the mean of each column from a matrix is a common operation in statistics. MATLAB cannot do that directly ($A - \text{mean}(A)$ fails, even though the meaning of that expression is clear).

The `repmat` function is one approach for solving this problem. `repmat(A, m, n)` replicates and tiles the matrix A , m times along the rows and n times along the columns. `repmat(A, 1, 2)` is $[A \ A]$ and `repmat(A, 2, 1)` is $[A; A]$. `repmat(A, 2, 3)` results in $[A \ A \ A; A \ A \ A]$. To subtract the mean of each column from A , you can use `repmat` to replicate the row vector `mean(A)`.

```
n = size(A,1)
C = A - repmat(mean(A), n, 1)
```

`repmat` is useful in its own right, but a better solution to this problem is `bsxfun` (short for Binary Singleton eXpansion Function).

`C=bsxfun(f, A, B)` applies the function f entry-wise to each pair of entries in A and B . See Sections 3.4 and 3.5 for most of the entry-wise functions in MATLAB. The dimensions of A and B must either match, or either can be equal to one (a singleton dimension). A singleton dimension in one matrix is expanded (implicitly replicating the matrix) to match a non-singleton dimension in the other matrix.

This example subtracts the mean of A from each column. All the columns of the new matrix C have a mean of zero (or nearly so, ignoring floating-point roundoff).

```
C = bsxfun(@minus, A, mean(A))
mean(C)
```

Here is how the above example works. `x=mean(A)` returns a row vector with `x(k)` equal to the mean of $A(:, k)$. If A is m -by- n , then x is 1-by- n , so `bsxfun` expands the first

dimension by implicitly replicating the row vector x into a matrix of m rows. Subtracting this from A subtracts the mean of column k from $A(:, k)$, for each column k .

To subtract the mean of row k from the k th row $A(k, :)$, for each row k , try this:

```
C = bsxfun(@minus, A, mean(A,2))
mean(C,2)
```

The `@` symbol creates a *function handle*, which is described in more detail in Section 10.1. Each MATLAB operator has a function name associated with it. You must add `@` to the name to create a function handle, which can then be passed to `bsxfun`. Here is a list of the most common entry-wise functions to use with `bsxfun` (see `doc bsxfun` for a complete list):

| | | | |
|-----------------------|----------------------|-----------------------|----------------------|
| <code>@plus</code> | <code>+</code> | <code>@minus</code> | <code>-</code> |
| <code>@times</code> | <code>.*</code> | <code>@power</code> | <code>.^</code> |
| <code>@rdivide</code> | <code>./</code> | <code>@ldivide</code> | <code>.\</code> |
| <code>@lt</code> | <code><</code> | <code>@gt</code> | <code>></code> |
| <code>@le</code> | <code><=</code> | <code>@ge</code> | <code>>=</code> |
| <code>@eq</code> | <code>==</code> | <code>@ne</code> | <code>~=</code> |
| <code>@max</code> | <code>maximum</code> | <code>@min</code> | <code>minimum</code> |

For example, to subtract the smallest entry of each column k from $A(:, k)$, try this:

```
C = bsxfun(@minus, A, min(A))
min(C)
```

Another application of `bsxfun` is row equilibration, which scales each row of a matrix by dividing it by the largest entry in that row. It can be written as $C = D^{-1}A$ where D is a diagonal matrix with $d_{ii} = \max_j |a_{ij}|$. This gives each row of C the same ∞ -norm, which can improve the solution of a linear system. After row equilibration, the largest entry in

each row of C has a magnitude of 1. Here are three ways of doing it. The first uses `bsxfun`, and the other two use backslash with a full and sparse scaling matrix D , respectively.

```
d = max(abs(A), [], 2)
C = bsxfun(@ldivide, d, A)
C = diag(d) \ A
n = size(A,1)
C = spdiags(d,0,n,n) \ A
```

For large matrices, the second method is much slower than the other two. The advantage of `bsxfun` is that it can handle many kinds of matrix operations that backslash cannot handle. Sparse matrices are discussed in Chapter 18.

6 M-Files

Most of the examples you have typed in so far are short. For solving more complex problems, you need to create a sequence of statements stored in a file so that you can easily edit and re-use them. These are called M-files because they must have the file type `.m` as the last part of their filename.

6.1 M-File Editor window

Much of your work with MATLAB will be in creating and refining M-files. M-files are usually created using with the M-file Editor or your favorite text editor. See also [Help:MATLAB ► User Guide ► Desktop Tools and Development Environment ► Editing and Debugging M-Files](#). There are two types of M-files: script files and function files. In this exercise, you will incrementally develop and debug a script and then a function for making a matrix diagonally dominant. A matrix is diagonally dominant if the absolute value of each diagonal is larger than the sum of the

absolute values of the off-diagonal entries in its row. That is, $|a_{ii}| > \sum_{j \neq i} |a_{ij}|$ for all i .

Create a new M-file, either with the `edit` command, by selecting the File ► New ► Script menu item, or by clicking the new-file button:



Type in these lines in the Editor.


```
f = sum(A, 2) ;  
A = A + diag(f) ;
```

The semicolons are there because you normally do not want to see the results of every line of a script or function. Save the file as `ddom.m` by clicking:



You have just created your first MATLAB script file.

See the web page for this book for the M-files and MEX-files used in this book.

You might find it convenient to dock the Editor window (click ) because in the exercise below you will be going back and forth between the Editor window and the Command Window.

The Editor has many features that are introduced slowly in this book as you build up experience in MATLAB. More discussion on some of the advanced features of the Editor is found in Section 11.2 (*Advanced Editor features*) and Chapter 23 (*Cell Publishing*). The next section describes how to debug your code via breakpoints in the Editor.

6.2 Script files

A script file consists of a sequence of MATLAB statements that you could also type directly into the Command Window. Typing `ddom` in the Command Window causes the statements in the script file `ddom.m` to be executed. Variables in a script file refer to variables in the main workspace, so changing them changes your workspace variables. Type:

```
A = rand(3)
ddom
A
```

in the Command Window. It seems to work; the matrix `A` is now diagonally dominant. If you type this in the Command Window, though,

```
A = [1 -2 ; -1 1]
ddom
A
```

then the diagonal of `A` just got worse. What happened? Look at `f` in the Workspace window (or double-click on it to open `f` for editing); it is a column vector with the values `[-1 ; 0]`. Oops. `f` is supposed to be a sum of absolute values, so it cannot be negative. Change the first line of `ddom.m` to:

```
f = sum(abs(A), 2) ;
```

save the file, and run it again on the original matrix `A=[1 -2; -1 1]` (hit the up arrow key, or double-click the command in your Command History window). This time, instead of typing in the command, try running the script by clicking:



in the Editor window. This is a shortcut to typing `ddom` in the Command Window. The matrix `A` is now diagonally dominant. Run the script again, though, and you will see that `A` is modified even if it is already diagonally dominant. Fix this by modifying only those rows that violate diagonal dominance.

Set `A` to `[1 -2; -1 1]` again and modify `ddom.m` so that it looks like this:

```
d = diag(A) ;
a = abs(d) ;
f = sum(abs(A), 2) - a ;
i = find(f >= a) ;
A(i,i) = A(i,i) + diag(f(i)) ;
```

Save and run the script by clicking:



Run it again. This time the matrix does not change.

However, if you try it on the matrix `A=[-1 2; 1 -1]`, the result is wrong. To fix it, try another debugging method: setting breakpoints. A breakpoint causes the script to pause, and allows you to enter commands in the Command Window, while the script is paused (it acts just like the keyboard command). Click on line 5 and select **Debug ► Set/Clear Breakpoint** in the Editor or click:



A red dot appears in a column to the left of line 5. You can also set and clear breakpoints by clicking on the red dots or dashes in this column. To see the line numbers in the Editor, select **File ► Preferences**, select **Editor/Debugger ► Display** in that window, and check the **Show line numbers** option.

In the Command Window, type:

```
clear
A = [-1 2 ; 1 -1]
ddom
```

A green arrow appears at line 5, and the prompt `K>>` appears in the Command Window. Execution of the script has paused, just before line 5 is executed. Look at the variables `A` and `f`. Since the diagonal is negative, and `f` is an absolute value, we should subtract `f` from `A` to preserve the sign. Type the command:

```
A = A - diag(f)
```

The matrix is now correct, although this works only if all of the rows need to be fixed and all diagonal entries are negative. Stop the script by selecting `Debug ► Exit Debug Mode` or by clicking:



Alternatively, you can continue where you left off with the command `return` or by clicking the `Continue` icon:



Clear the breakpoint. Replace line 5 with:

```
s = sign(d(i)) ;
A(i,i) = A(i,i) + diag(s .* f(i)) ;
```

Type `A=[-1 2;1 -1]` and run the script. The script seems to work, but it modifies `A` more than is needed. It also fails on the matrix `A=zeros(4)` because `sign(0)` is zero. Fix the script so that it looks like this:

```
d = diag(A) ;
a = abs(d) ;
f = sum(abs(A), 2) - a ;
```

```

i = find(f >= a) ;
[m n] = size(A) ;
k = i + (i-1)*m ;
tol = 100 * eps ;
s = 2 * (d(i) >= 0) - 1 ;
A(k) = (1+tol) * s .* max(f(i), tol) ;

```

The variable `eps` (epsilon) gives the smallest value such that $1+\text{eps} > 1$, about 10^{-16} on most computers. It is useful in specifying tolerances for convergence of iterative processes and in problems like this one. The odd-looking statement that computes `s` is nearly the same as `s=sign(d(i))`, except that here we want `s` to be one when `d(i)` is zero.

6.3 Function files

Function files provide extensibility to MATLAB. You can create new functions specific to your problem, which then have the same status as other MATLAB functions. Variables in a function file are local by default. A variable can, however, be declared global (see `doc global`). Use global variables with caution; they can be a symptom of bad program design and can lead to hard-to-debug code.

Convert your `ddom.m` script into a function by adding these lines at the beginning of `ddom.m`:

```

function B = ddom(A)
% B = ddom(A) returns a diagonally
% dominant matrix B by modifying the
% diagonal of A.

```

and add this line at the end of your new function:

```

B = A ;

```

You now have a MATLAB function, with one input argument and one output argument. To see the difference between global and local variables as you do this exercise, type `clear`. Functions do not modify their inputs, so:


```
C = [1 -2 ; -1 1]
D = ddom(C)
```

returns a matrix D that is diagonally dominant. The matrix C in the workspace does not change, although a copy of it, local to the `ddom` function, called A , is modified as the function executes. Note that the other variables, a , d , f , i , k and s no longer appear in your main workspace. Neither do A and B . These are local to the `ddom` function.

The first line of the function declares the function name, input arguments, and output arguments; without this line the file would be a script file. The MATLAB statement `D=ddom(C)` causes the matrix C to be passed as the variable A in the function and causes the output result to be passed out to the variable D . Since variables in a function file are local, their names are independent of those in the current MATLAB workspace. Your workspace has only the matrices C and D . If you want to modify C itself, then use `C=ddom(C)`.

Lines that start with `%` are comments; more on this in Section 6.7. An optional `return` statement causes the function to finish and return its outputs (this happens implicitly if execution reaches the end of the M -file). An M -file can reference other M -files, including itself recursively.

6.4 Multiple inputs and outputs

A function may also have multiple input and output arguments. For example, it would be useful to provide the caller of the `ddom` function some control over how strong the diagonal should be and to provide more results, such as the list of rows (the variable i) that violated diagonal dominance. Try changing the first line to:

```
function [B,i] = ddom(A, tol)
```

and add a % at the beginning of the line that computes `tol`. Single assignments can also be made with a function having multiple output arguments. For example, with this version of `ddom`, the statement `D=ddom(C,0.1)` assigns the modified matrix to the variable `D` without returning the vector `i`. Try it on `C=[1 -2 ; -1 1]`.

6.5 Variable arguments

Not all inputs and outputs of a function need be present when the function is called. The variables `nargin` and `nargout` can be queried to determine the number of inputs and outputs present. For example, we could use a default tolerance if `tol` is not present. Add these statements in place of the line that computed `tol`:

```
if (nargin == 1)
    tol = 100 * eps ;
end
```

Section 10.1 gives an example of `nargin` and `nargout`. Section 7.3 describes the `if` statement.

Use `varargin` to create a function that can accept an arbitrary number of inputs. Include a parameter with the exact name `varargin` as the last input parameter. Any extra arguments are collected in a cell array with the name `varargin` (see Section 8.1 for a discussion of cell arrays). Try creating this simple function.

```
function x = f(a, b, varargin)
    x = a+b ;
    if (nargin > 2)
        disp (varargin) ;
        c = varargin{1}
    end
end
```

Now try `f(2,3)` and `f(2,3,pi, 'whatever')`. In the latter case, `c` is set to `pi`, the first element in `varargin` and the third input to `f`.

Similarly, `varargout` creates a function with a variable number of outputs. Add `varargout` as the last output parameter to your function.

```
function [x,varargout] = f(a,b,varargin)
    x = a+b ;
    if (nargin > 2)
        varargout{1} = cos(c) ;
    end
end
```

Now try `[x,y]=f(2,3,pi)`, which computes `x=2+3` and `y=cos(pi)`. For a simple yet powerful example of `varargin` and `varargout`, see the built-in `deal` function (enter type `deal` in the Command Window).

6.6 Unused arguments

Not all output arguments of a function are needed every time the function is used. To ignore arguments that appear at the end of the list of outputs, simply remove them from the list. For example, with your new 2-output `ddom` function, `D=ddom(C)` returns only the first argument. The second output `i` is ignored.

To ignore an argument, use a tilde (`~`) in its place. For example, to obtain just the indices of the diagonal entries that `ddom` would modify, use `[~,i]=ddom(C)`. Try it.

6.7 Comments and documentation

The `%` symbol indicates that the rest of the line is a comment; MATLAB ignores the rest of the line. The first contiguous comment lines are used to document the M-file.

They are available to the online help facility and are displayed if `help ddom` or `doc ddom` are entered. Always include this in your functions. Since you have modified the function to add new inputs and outputs, edit your function to describe the variables `i` and `tol`, and state the default value of `tol`. Next, type `help ddom` or `doc ddom`.

Block comments are useful for lengthy comments or for disabling code that you might want to use later. A block comment starts with a line containing only `%{` and ends with a line containing only `%}`. Block comments in an M-file are not printed by the `help` or `doc` commands.

A line starting with two percent signs (`%%`) denotes the beginning of a MATLAB code cell. This type of cell has nothing to do with cell arrays (discussed in Chapter 8), but defines a section of code in an M-file. Cells can be executed by themselves, and cell publishing (see Chapter 23) creates reports whose sections are defined by the cells of an M-file.

6.8 The MATLAB path

M-files must be in a folder accessible to MATLAB. M-files in the Current Folder, displayed at the top of the main MATLAB window, are always accessible. The current list of directories in the MATLAB search path is obtained by the command `path`. This command can also be used to add or delete directories from the search path. See `doc path`. The command `which` locates functions and files on the path. For example, type `which hilb`. You can modify your MATLAB path with the command `path`, or `pathtool`, which brings up another window.

You can also select **File ► Set Path**. To add a specific folder to your path, right-click a grayed-out folder in the Current Folder window and select **Add to Path ► Selected Folders**.

7 Control Flow Statements

In their basic forms, these MATLAB flow control statements operate like those in most computer languages. Indenting the statements of a loop or conditional statement is optional, but it helps readability to follow a standard convention.

You can type a control flow statement in the Command Window, but this can be difficult to manage. Using an M-file is the easiest way to try these examples.

7.1 The for loop

This loop:

```
n = 10 ; x = []
for i = 1:n
    x = [x, i^2]
end
```

produces a vector of length 10, and

```
n = 10 ; x = []
for i = n:-1:1
    x = [i^2, x]
end
```

produces the same vector. Try them. The vector x grows in size at each iteration. Note that a matrix may be empty (such as $x=[]$). The statements:

```
m = 6 ; n = 4
for i = 1:m
    for j = 1:n
        H(i,j) = 1/(i+j-1) ;
    end
end
H
```

produce and display in the Command Window the 6-by-4 Hilbert matrix. The last H displays the final result. The semicolon on the inner statement is essential to suppress the display of unwanted intermediate results. If you leave off the semicolon, you will see that H grows in size as the computation proceeds. This can be slow if m and n are large. It is more efficient to preallocate the matrix H with the statement `H=zeros(m,n)` before computing it. Type the command `doc hilb` and `type hilb` to see a more efficient way to produce a square Hilbert matrix.

Here is the counterpart of the one-dimensional indexing exercise from pages 29 and 31. It adds 99 to each entry of the matrix that is larger than .5. It is slower than using `find` or logical indexing.

```
A = rand(5)
[m n] = size(A) ;
for j = 1:n
    for i = 1:m
        if (A(i,j) > .5)
            A(i,j) = A(i,j) + 99 ;
        end
    end
end
A
```

The `for` statement permits any matrix expression to be used instead of `1:n`. The index variable consecutively assumes the value of each column of the expression. For example,

```
s = 0 ;
for c = H
    s = s + sum(c) ;
end
```

computes the sum of all entries of the matrix H by adding its column sums (of course, `sum(sum(H))` does it more efficiently; see Section 5.3). Each iteration of the `for` loop assigns a successive column of H to the variable `c`. In fact, since `1:n = [1 2 3 ... n]`, this column-by-column assignment is what occurs with `for i = 1:n`.

In most statements, the colon operator creates a list of numbers in a MATLAB array. It works differently in a `for` loop. The assignment `i = 1:inf` fails because the list is too big to be created. The statement `for i=1:inf` does not create the list, and becomes just another way of specifying an infinite loop.

7.2 The while loop

The general form of a `while` loop is:

```
while expression
    statements
end
```

The *statements* are repeatedly executed as long as the *expression* remains true. For example, for a given number a , the following computes and displays the smallest nonnegative integer n such that $2^n > a$:

```
a = 1e9
n = 0
while 2^n <= a
    n = n + 1 ;
end
n
```

Note that you can compute the same value n more efficiently by using the `log2` function:

```
[f,n] = log2(a)
```

You can terminate a `for` or `while` loop with the `break` statement and skip to the next iteration with the `continue` statement. Here is an example for both. It prints the odd integers from 1 to 7 by skipping over the even iterations and then terminates the loop when `i` is 7.

```
for i = 1:10
    if (mod(i,2) == 0)
        continue
    end
    i
    if (i == 7)
        break
    end
end
```

7.3 The if statement

The general form of a simple `if` statement is:

```
if expression
    statements
end
```

The statements are executed only if the expression is true. Multiple conditions also possible:

```
for n = -2:5
    if n < 0
        parity = 0 ;
    elseif rem(n,2) == 0
        parity = 2 ;
    else
        parity = 1 ;
    end
    disp([n parity])
end
```


The `else` and `elseif` are optional. If the `else` part is used, it must come last. The `elseif` part is tested only when the `if` test is false. The `else` part is executed only when the `if` test and any `elseif` tests are all false.

7.4 The switch statement

The `switch` statement is much like the `if` statement. If you have one expression that you want to compare against several others, then a `switch` statement can be more concise than the corresponding `if` statement. Here is the general format:

```
switch expression
  case expression2
    statements
  otherwise
    statements
end
```

The `case` statement can be repeated as many times as you want. The `otherwise` clause is optional. The *expression* in the `switch` statement must be a scalar or a string. It is compared with each `case` expression (*expression2*, above), and the statements of the first case that matches are selected and executed. If nothing matches, the `otherwise` statements are executed. Scalars and strings can be mixed together.

The `case` expression can be a cell array (discussed in Section 8.1), which is a list of expressions enclosed in curly brackets and separated by commas. The `switch` expression is compared with each of the expressions in the list, and if any one of them matches, the corresponding statements are executed. Try the example on the next page.

The gallery and why functions have more examples of `switch` statements. Type `edit gallery` or `edit why` to

take a look. See `help switch` or `doc switch` for more examples.

```
switch x
    case 0
        disp ('x is zero') ;
    case {2, 4, 6, 8}
        disp ('x is 2,4,6, or 8') ;
        x = x / 2 ;
    otherwise
        disp ('x is something else') ;
        x = 0 ;
end
```

7.5 The try/catch statement

Computations can fail for many reasons. MATLAB variables can morph between matrices, strings, cells, and other types, and not all MATLAB functions work on all kinds of types. MATLAB can run out of memory. Files that you try to read might not exist. These are just a few examples. It can be very difficult to catch all these cases before trying an operation that might fail.

If a statement in your MATLAB function fails, you might want that error to terminate the function. This is what happens with no `try/catch` block. Alternatively, you might want to try a computation optimistically, and then take corrective action if something goes wrong. This is where the `try/catch` statement is useful. The general form is:

```
try
    statements
catch ME
    error handling statements
    rethrow (ME)
end
```

The first block of statements is executed. If an error occurs, those statements are terminated, and the second block of statements is executed.

Here is a simple example. These MATLAB statements attempt to load a matrix from a `.mat` file. If the MAT-file is not found, a default matrix is used instead.

```
try
    A = load ('mymatrix.txt') ;
catch
    disp ('could not find mymatrix') ;
    A = magic (5) ;
end
```

The `ME` variable in the `catch` statement is not required, but it is recommended. This variable keeps a record of what the error is. The name `ME` is not special, just frequently used (short for MATLAB Exception). If the error-handling code cannot recover, you can use `rethrow(ME)` to reissue the error, which acts as if the error was not caught in the first place.

See `doc try` for more information. More examples of `try/catch` are covered in Sections 9.2 and 14.5.

7.6 Matrix expressions (if and while)

A matrix expression is interpreted by `if` and `while` to be `true` if every entry of the matrix expression is nonzero. Enter these two matrices:

```
A = [ 1 2 ; 3 4 ]
B = [ 2 3 ; 3 5 ]
```

If you wish to execute a statement when matrices `A` and `B` are equal, you could type:

```
if A == B
    disp('A and B are equal')
end
```

If you wish to execute a statement when A and B are not equal, you would type:

```
if any(any(A ~= B))
    disp('A and B are not equal')
end
```

or, more simply,

```
if A == B else
    disp('A and B are not equal')
end
```

Note that the seemingly obvious:

```
if A ~= B
    disp('not what you think')
end
```

does not give what is intended because the statement would execute only if each of the corresponding entries of A and B differ. The functions `any` and `all` can be used creatively to reduce matrix expressions to vectors or scalars. Two `any`'s are required above because `any` is a vector operator (see Section 5.3). In logical terms, `any` and `all` correspond to the existential (\exists) and universal (\forall) quantifiers, respectively, applied to each column of a matrix or each entry of a row or column vector. Like most vector functions, `any` and `all` can be applied to dimensions of a matrix other than the columns. An `if` statement with a two-dimensional matrix expression is equivalent to:

```
if all(all(expression))
    statement
end
```

Two matrices A and B can be checked for equality with `all(all(A==B))`, but `isequal(A,B)` is simpler. The `isequal` function works on any kind of variables, such as strings of different lengths, structs, or cell arrays. Structs and cell arrays are discussed in the next chapter. Try this example.

```
isequal('a', 'bb')
all('a' == 'bb')
```

The comparison `'a'=='bb'` results in an error, since the two strings must have the same length.

7.7 Infinite loops

With loops, it is possible to execute a command that never stops. Typing Ctrl-C stops a runaway display or computation. Try:

```
i = 1
while i > 0
    i = i + 1
end
```

then type Ctrl-C to terminate this loop.

8 Advanced Data Structures

Standard arrays are useful data structures for storing lots of things of the same type, such as an array of numbers or characters. They cannot be used if you want to store a number in one position and a string in another. This is where cell arrays, structs, and objects are useful.

Object-oriented programming is a lengthy topic discussed in its own chapter (Chapter 9).

8.1 Cell arrays

Cell arrays are collections of other arrays or variables of varying types and are formed using curly braces. For example,

```
c = {[3 2 1] , 'I love MATLAB'}
```

creates a cell array. The expression `c{1}` is a row vector of length 3, while `c{2}` is a string. `cell(2,3)` creates a 2-by-3 array of empty cells (not unlike `zeros(2,3)`).

A cell array of strings can contain strings of different lengths, or any variable at all – including another cell array. Try this:

```
d = {'Why do' , 'I love MATLAB?' , c}
```

8.2 Structs

The downside of standard arrays and cell arrays is that each item is known only by its row and/or column index (`A(1,1)`, `A(2,3)`, and so on). Use a struct to create a data structure where each part has its own unique name. Try:

```
clear z
z.particle = 'electron'
z.position = [2 0 3]
z.spin = 'up'
```

The variable `z` describes a variable with several characteristics, each with its own type. A cell array can also include three parts, just like this struct, but they would be called just `z{1}`, `z{2}`, and `z{3}`.

The `clear z` statement in the example above makes sure `z` does not already exist. If `z` already exists and is a struct, then the old struct `z` is augmented with the new fields, which might not be what you want.

Cell arrays, structs, and standard arrays can be combined and nested within a struct. You can create an array of structs or a struct containing an array. The next example causes `z` to become a 2-by-3 array of structs, where `z(1,1)` is the electron above. `z(2,3)` is a proton with 'unknown' position and no specified spin. The other entries are empty. Try it.

```
z(2,3).particle = 'proton'  
z(2,3).position = 'unknown'
```

Try typing `z(1,1)`, `z(2,2)`, and `z(2,3)`. Every entry in the struct `z` has the same three fields, but some are empty since they have not been assigned to anything. Note that `z(1,1).position` and `z(2,3).position` are not the same data type. Structs are very flexible data structures for representing all kinds of data.

The `struct` command builds a struct array all at once, using one cell array for each field in the struct. This next one-line statement creates the same struct `z`. Since it is rather long, try it in your own M-file script, rather than trying to type it in the Command Window.

```
z = struct ( ...  
'particle', {'electron', [], [] ;  
             [], [], 'proton' }, ...  
'position', {[2 0 3],   [], [] ;  
             [], [], 'unknown'}, ...  
'spin',     {'up',      [], [] ;  
             [], [], []}) ;
```

Since structs can contain variables of any type, they can contain structs, giving a nested struct. If you type

```
z(1,1).a.b = 42
```

then every entry in the struct array is given a field with the name `a`, with `z(1,1).a` containing a scalar `b` equal to 42.

The a fields of other entries of `z` are all equal to the empty matrix, `[]`. To access a field defined by a string, use `s='a'; z.(s)`, for example.

Structs are a nice way to keep together all the variables and arrays that define a single problem. For example, in a linear programming problem the goal is to find the vector x that minimizes $c^T x$ where $Ax = b$ and $l \leq x \leq h$, and where A is an m -by- n matrix with $m < n$. These components of the problem are of different sizes, but all can be held in a single struct as (for example) `Problem.A`, `Problem.c`, and so on.

8.3 Sets

Sets are represented as vectors, character arrays, or cell arrays of strings. Set operations include:

| | |
|------------------------|-----------------------------------|
| <code>ismember</code> | test membership, $x \in A$ |
| <code>intersect</code> | set intersection, $A \cap B$ |
| <code>union</code> | set union, $A \cup B$ |
| <code>setdiff</code> | set difference, $A \setminus B$ |
| <code>unique</code> | removes duplicates from a set |
| <code>setxor</code> | $(A \cup B) \setminus (A \cap B)$ |
| <code>issorted</code> | checks if a set is sorted |

Try these examples. Their results are shown to the right.

```
A = [1 2 5 6 8 8 9]
B = [1 2 3 5 7]
ismember(3,A)      % 0
ismember(3,B)      % 1
intersect(A,B)     % [1 2 5]
setdiff(A,B)       % [6 8 9]
union(A,B)         % [1 2 3 5 6 7 8 9]
setxor(A,B)        % [3 6 7 8 9]
unique(A)          % [1 2 5 6 8 9]
```


The inputs to a set operation do not need to be sorted, and they may include duplicate entries. Set operations always return their results with sorted entries and with no duplicates. Note that `A` includes a duplicate entry, but `union(A,B)` removes it.

A matrix represents a set with each row being a single element. Character arrays represent sets of strings, all of the same length. For sets of strings with different lengths, use a cell array of strings. A third argument (' rows ') must be added if a set (numeric or character) is represented with a matrix instead of a vector.

8.4 Other data types

MATLAB supports many other data types, including logical variables (used for logical indexing in Section 5.8), integers of various sizes, single-precision floating-point variables, sparse matrices, multidimensional arrays, and objects.

The default data type is `double`, a 64-bit IEEE floating-point number. The `single` type is a 32-bit IEEE floating-point number which should be used only if you are desperate for memory. A `double` can represent integers in the range -2^{53} to 2^{53} without any roundoff error, and a `double` holding an integer value is typically used for loop and array indices. An integer value stored as a `double` is nicknamed a *flint*. Integer types are typically needed for special cases such as signal processing, image processing, encryption, and bit string manipulation. Integers come in signed and unsigned flavors, and in sizes of 8, 16, 32, and 64 bits. Integer arithmetic is not modular, but saturates on overflow. See `doc int8`, `doc uint8`, and `doc single` for more information.

A sparse matrix is not actually its own data type, but an attribute of the `double` and `logical` matrix types. Sparse

matrices are stored in a special way that does not require space for zero entries. MATLAB has efficient methods of operating on sparse matrices. Type `doc sparse`, and `doc full`, look in Help:MATLAB ► User Guide ► Mathematics ► Sparse Matrices, or see Chapter 18. Sparse matrices are allowed as arguments for most, but not all, MATLAB operators and functions where a normal matrix is allowed.

`D=zeros(3,5,4,2)` creates a 4-dimensional array of size 3-by-5-by-4-by-2. Multidimensional arrays may also be built up using `cat` (short for concatenation). The first input of `cat` specifies the dimension along which the matrices are to be concatenated, and the next inputs are the matrices to concatenate. Try this example, which creates a 3-by-4-by-2 matrix `C`.

```
A = ones(3,4)
B = rand(3,4)
C = cat(3, A, B)
```

9 Object-Oriented Programming

Structs are very flexible data structures, but sometimes too flexible. Suppose you want to set `z(1,2).particle` to `neutron`, but you make a mistake and type this instead:

```
z(1,2).Particle = 'neutron'
```

Oops. You created an entirely new field in the struct, rather than modifying the existing one. Worse yet, every time you pass `z` to a function, a robust function would need to make sure all the required fields are present. What should it do with fields such as `z.Particle` that it does not recognize? And there is no way to get a list of all functions that can take this struct `z` as input, or produce one on output.

Enter the MATLAB *object*. Think of it as a specialized struct with fields that cannot change unless you change a

file that defines the object (the `classdef`, or class definition file). The `classdef` file specifies everything you can do with or to the object (these are called methods). Fields in an object act like the fields of a struct, but you cannot add or remove them arbitrarily.

For this exercise, we will create an object class that represents a matrix factorization. This first version has no methods, just the L and U factors themselves ($LU = A$, where L is lower triangular and U is upper triangular). Open the M-File Editor with a blank `classdef` file (File ► New ► Class) and create an M-file called `factor0.m`. The filename and the `classdef` name must match:

```
classdef factor0
    %FACTOR0 my first object
    properties
        L, U ;
    end
end
```

Now in the Command Window, create an object F of class `factor0`, and populate its L and U fields:

```
A = rand(4)
F = factor0
[F.L F.U] = lu(A)
whos
```

Try to create a new field by typing `F.x=0` (for example) in the Command Window. MATLAB refuses to do it, and gives you a warning. Click on the underlined **Methods** in the display of F . This gives a list of the methods that can be used on F . The only method is `factor0`, which creates an empty object with the fields L and U . Clicking on the `factor0` link displayed in the Command Window displays the help for the class.

To add new properties, you must edit the `factor0.m` file. A word of caution: if you edit `factor0.m` when you still

have `factor0` objects in your workspace, you may get a warning message saying that those objects must be cleared first before the changes can be applied. Use `clear classes` to clear all your variables and classes. This can make it difficult to edit and test your code, since you must clear all your test data. Create another M-file that starts with `clear classes`, creates some test data, and then tests your new object class.

The simple `factor0` class acts just like a struct except that it is not as flexible (which is sometimes a good thing). If `G` is not already defined, `[G.L G.U]=lu(A)` acts just like `F` in this example, except that `G` is a struct, not an object of the `factor0` class. So `G.x=0` works, but `F.x=0` does not.

9.1 Object methods

An object with properties but no methods is useful, but it usually makes more sense to create a class that contains methods which operate on objects of that class. Create a file `factor1.m` that contains the following:

```
classdef factor1
    %FACTOR1 my first useful object
    properties
        L, U ;
    end
    methods
        function F = factor1 (A)
            [F.L F.U] = lu (A) ;
        end
        function x = mldivide (F,b)
            x = F.U \ (F.L \ b) ;
        end
    end
end
```

The first method has the same name as the class, and is called the *constructor*. It creates a `factor1` object, and

must return an object of the class. The constructor for `factor0` was implicit; it just did `F.L=[] ; F.U=[]`.

The second method illustrates an important concept in object-oriented programming: *overloading*. This overloaded `mldivide` method defines what happens when you do `x=F\b` when `F` is a `factor1` object. `mldivide` is the name of the function that does the backslash (`\`) operator. Creating an `mldivide` method overloads the `\` operator to mean something new for objects of the `factor1` class.

```
A = rand(4)
b = rand(4,1)
F = factor1(A)
F\b
A\b
```

Both `F\b` and `A\b` solve $Ax = b$, but the first one reuses a previously computed factorization. This is handy, because you can solve `A\c` for a new right-hand-side `c` without recomputing the LU factorization of `A`. The `mldivide` (`\`) operator cannot do that. Try the example above with a larger matrix, and use `tic` and `toc` (see Section 10.5) to compare the performance of `x=F\b`; and `x=A\b`; . The latter takes much more time.

You can of course create new methods which do not overload existing functions. For example, to create a method that returns the largest and smallest entries the diagonal of `U`, add this function to the `methods` block of `factor1`:

```
function d = maxdiag (F)
    d = sort (abs (diag (F.U))) ;
    d = d ([1 end]) ;
end
```

The ratio $d(1)/d(2)$ is a (very) rough estimate of the condition number of the matrix A whose factorization is held in the object F (see also `cond`, `rcond`, and `condest`).

As an alternative to placing all methods in a single file (as in the `factor1.m` example), you can create a folder name that begins with `@`, such as `@factor1`. The parent folder of `@factor1` must be on your MATLAB path. Put your `factor1.m` file inside `@factor1`. Remove the `mldivide` and `maxdiag` functions and place them in files called `mldivide.m` and `maxdiag.m` in the `@factor1` folder. The two techniques specify the same class, just with a different file and folder structure. Using an `@folder` is helpful if your methods involve a lot of code.

9.2 Object inheritance and abstract classes

Objects can build on one another to create new objects from old. More precisely, an object class can *inherit* methods and properties from another class. The new class is called a *subclass*, or synonymously a *derived class*, and the class it inherits from is called the *superclass*.

This allows you to write code once (for the superclass) to be used by all the different classes that inherit from the superclass.

This is best illustrated with an example. *LU* factorization is just one kind of factorization. What we would really like is a generic factorization class that can represent any kind of factorization, and select the right one depending on the matrix.

For now, however, let us consider a simpler example which uses just `lu` and `chol` for square dense matrices, and which only provides the $A \setminus b$ function.

The *abstract* class `factor2_generic` specifies a generic object. You cannot create an object of this class. It just

provides a framework to write methods and properties used by its subclasses. It defines an `Abstract` method called `mldivide` that must be implemented in any class that inherits from this class. That is done by the two subclasses, `factor2_lu` and `factor2_chol`.

```

classdef factor2_generic
    properties
        L, U ;
    end
    methods (Abstract)
        x = mldivide (F,b) ;
    end
end

classdef factor2_lu < factor2_generic
    %FACTOR2_LU for LU factorization
    methods
        function F = factor2_lu (A)
            [F.L F.U] = lu (A) ;
        end
        function x = mldivide (F,b)
            x = F.U \ (F.L \ b) ;
        end
    end
end

classdef factor2_chol < factor2_generic
    %FACTOR2_CHOL for Cholesky
    methods
        function F = factor2_chol (A)
            F.U = chol (A) ;
        end
        function x = mldivide (F,b)
            x = F.U \ (F.U' \ b) ;
        end
    end
end

```

These two methods inherit all of their properties from `factor2_generic`, using the `<` syntax in the `classdef` statement. Each of them could also declare additional

properties of their own, but they do not need to for this example. They both use the properties from their common superclass, `factor2_generic`, except that `factor2_chol` does not need `L`, so `F.L` is `[]`.

Using these methods to factorize a matrix requires that you select between the two methods, depending on the matrix.

Try this:

```
A = rand(4) ;  
C = A'*A ; % symmetric pos. definite  
b = rand(4,1) ;  
F = factor2_lu(A) ;  
F\b  
A\b  
G = factor2_chol(C) ;  
G\b  
C\b
```

Type `F` and `G`. Click on the underlined link `Superclasses` in the display of these two objects in the Command Window, and you will see that both `F` and `G` inherit from the `factor2_generic` superclass.

Rather than requiring you to select the right method (`lu` or `chol`), a better approach is to select it automatically with an old-fashioned M-file function (it is not an object-oriented method, but one that returns a `factor2_lu` or `factor2_chol` object). See `factor2.m` on the next page.

Just like the built-in `mldivide`, the `factor2` function tries `chol` if the matrix is symmetric with a real positive diagonal. If that condition does not hold, or if the matrix is not symmetric positive definite, `lu` is used instead. In either case, `F\b` with the resulting `F` (using `lu` or `chol`) solves $Ax = b$ with the factorization of `A`. Now you can create `F` and `G` without having to choose the method yourself:

```
F = factor2(A)  
G = factor2(C)
```



```

function F = factor2 (A)
%FACTOR2 LU or CHOL factorization
chol_ok = false ;
d = diag (A) ;
if (all(d>0) && nnz(imag(d))==0 ...
    && nnz(A-A')== 0)
    try
        F = factor2_chol (A) ;
        chol_ok = true ;
    catch
    end
end
if (~chol_ok)
    F = factor2_lu (A) ;
end

```

9.3 Object attributes

By default, the properties of a class can be read and modified from methods outside the class, and they are all displayed by `disp(F)` if `F` is an object. That is, properties act just like the fields of a struct.

This might not be what you want. Try typing `F.L='gunk'` with the `factor2` object `F` from the last example. If the user of the `factor2` object has no valid reason to modify a property, then you should not allow that to happen. This restriction helps prevent bugs from appearing in your code that might otherwise go undetected.

There are many property attributes you can modify. The three most common ones are

- `GetAccess`, which controls how the property can be read,
- `SetAccess`, which controls how the property can be modified, and
- `Hidden`, which controls whether or not the property is displayed by `disp` or when you leave off the semicolon at the end of an assignment statement.

`GetAccess` and `SetAccess` can be `public` (the default), `protected` (access from the class itself or from derived classes), or `private` (access from just the class itself). `Hidden` is either `true` or `false`.

For the `factor2_generic` class, a good choice would be `SetAccess = protected`. This allows subclasses to modify `L` and `U`, but it does not allow you to type `F.L='gunk'` at the Command Window, since commands typed there do not appear in the class definition itself. Leave `GetAccess` alone, so that `L` and `U` can be extracted. Perhaps you might want to solve $x = F \cdot L \backslash b$, or extract the diagonal of `U` with `diag(F.U)`. Those operations make sense, but `F.L='gunk'` does not. If you change `GetAccess = protected`, then $x = F \cdot L \backslash b$ would not be allowed. Which attributes to use depends on how you want the object to be used.

To make this change, modify the `properties` line in `factor2_generic` to look like this:

```
properties (SetAccess = protected)
```

If you want to set more than one attribute, separate them with commas:

```
properties (SetAccess = protected, ...  
           GetAccess = public)
```

If you have multiple properties with different attributes, simply include multiple `properties` blocks in the class definition.

With these changes, try `F.L='gunk'` again. It fails gracefully, with a warning that changing the `L` property is not allowed.

9.4 A more extensive example

A more powerful object for factorizing matrices and solving linear systems can be found in the File Exchange (Section 2.6) by searching for the keyword `factorize`, for the submission entitled

*Don't let that INV go past your eyes;
To solve that system FACTORIZE.*

The `factorize` object is over 1000 lines long and uses 8 different kinds of factorization methods. It provides methods for `A\b`, `b/A`, `disp`, `plus` and `minus` for a low-rank update/downdate, an `inverse` that does not actually compute the inverse, `subref` for getting entries from `A` or its inverse, `double` for returning `A` or `inv(A)` as a matrix, and `mtimes` for computing `inv(A)*b` or `b*inv(A)` without computing `inv(A)`.

It is a useful exercise to go through that lengthy example, since it is a powerful and real-world example of what a MATLAB object can do. It is also posted on the web page for this book.

9.5 Object handle classes

By default, variables in MATLAB have a pass-by-value behavior, including objects. If you pass a variable as an input to a method or to a function, you can change that variable inside the method or function, but the changes do not appear in the caller. Objects that behave like this are from *value* classes.

Handle objects derive from the built-in `handle` class, and behave differently from objects from value classes. Copying an object in the `handle` class does not create an entirely new instance of the object, but just another reference to the same

contents of the object. The new object is just an alias, or another name for the same underlying data. This behavior is useful when the object encapsulates something that cannot be copied, or something you do not want to copy.

For example, suppose an object refers to a person's contact information in a database. If the person's phone number changes, you would like that change to be reflected in all objects that refer to that person. An object that encapsulates the contact information should be a handle class.

Handle classes are also used for MATLAB graphics (Handle Graphics[®], discussed in Section 17.1). Copying a figure object does not display a new figure on your screen, but rather gives you another object that refers to the same displayed figure.

For examples of handle classes, see Help:MATLAB ► User Guide ► Object-Oriented Programming ► Value or Handle Class – Which to Use.

10 Advanced M-file Features

This chapter describes advanced M-file techniques, such as how to pass a function as an argument to another function and how to write high-performance code in MATLAB.

10.1 Function handles and anonymous functions

A function handle (@) is a reference to a function that can be treated as a variable. It can be copied, placed in cell array, and evaluated just like a regular function. For example,

```
f = @sqrt
f(2)
sqrt(2)
```

The `str2func` function converts a string to a function handle. For example,

```
f = str2func('sqrt')
f(2)
```

Function handles can refer to built-in MATLAB functions, to your own function in an M-file, or to anonymous functions. An anonymous function is defined with a one-line expression, rather than by an M-file. Try:

```
g = @(x) x^2-5*x+6-sin(9*x)
g(1)
```

Some MATLAB functions that operate on function handles need to evaluate the function on a vector, so it is often better to define an anonymous function (or M-file) so that it can operate entry-wise on scalars, vectors, or matrices. Try this instead:

```
g = @(x) x.^2-5*x+6-sin(9*x)
g([0 1])
```

The general syntax for an anonymous function is

```
handle = @(arg1, arg2, ...) expression
```

Here is an example with two input arguments, which computes the 2-norm of a vector of length 2.

```
norm2 = @(x,y) sqrt(x^2 + y^2)
norm2(4, 5)
norm([4 5])
```

One advantage of anonymous functions is that they can implicitly refer to variables in the workspace or the calling function without having to use the `global` statement. Try this example:

```
A = [3 2 ; 1 3]
b = [3 ; 4]
y = A\b
resid = @(x) A*x-b
resid(y)
A*y-b
```

In this case, `x` is an argument, but `A` and `b` are defined in the calling workspace. To find out what a function handle refers to, use `func2str` or `functions`. Try these examples:

```
func2str(f)
func2str(g)
func2str(norm2)
func2str(resid)
functions(f)
```

Cell arrays can contain function handles. They can be indexed and the function evaluated in a single expression. Try this:

```
h{1} = f
h{2} = g
h{1}(2)
f(2)
h{2}(1)
g(1)
```

Here is a more useful example. The `bisect` function, on the next page, solves the nonlinear equation $f(x)=0$. It takes a function handle or a string as one of its inputs. If the function is a string, it is converted to a function handle with `str2func`. `bisect` also gives you an example of `nargin` and `nargout` (see also Section 6.5). Compare `bisect` with the built-in `fzero` discussed in Section 21.4.

Type in `bisect.m`, or download it from the web page for this book.

```

function [b, steps] = bisect(f,x,tol)
% BIASECT: zero of a function of one variable via
% the bisection method. bisect(f,x) returns a
% zero of the function f. f is a function handle
% or a string with the name of a function. x is
% an array of length 2; f(x(1)) and f(x(2)) must
% differ in sign. An optional third input argument
% sets a tolerance for the relative accuracy of the
% result. The default is eps. An optional second
% output argument gives a matrix containing a trace
% of the steps; the rows are of the form [c f(c)].

if (nargin < 3)
    % default tolerance
    tol = eps ;
end
trace = (nargout == 2) ;
if (ischar(f))
    f = str2func(f) ;
end
a = x(1) ;
b = x(2) ;
fa = f(a) ;
fb = f(b) ;
if (trace)
    steps = [a fa ; b fb] ;
end

while (abs(b-a) > 2*tol*max(abs(b),1))
    c = a + (b-a)/2 ;
    fc = f(c) ;
    if (trace)
        steps = [steps ; [c fc]] ;
    end
    if (fb > 0) == (fc > 0)
        b = c ;
        fb = fc ;
    else
        a = c ;
        fa = fc ;
    end
end
end

```

Try:

```
bisect(@sin, [3 4])
bisect('sin', [3 4])
bisect(g, [0 3])
g(ans)
```

Some MATLAB functions are built in; others are distributed as M-files. The actual listing of any M-file, those in MATLAB or your own, can be viewed with the MATLAB command `type`. Try entering `type eig`, `type vander`, and `type rank`.

10.2 Name resolution

When MATLAB comes upon a new name, it resolves it into a specific variable or function by checking to see if it is a variable, a built-in function, a file in the current folder, or a file in the MATLAB path (in order of the folders listed in the path). MATLAB uses the first variable, function, or file it encounters with the specified name. You can use the command `which` to find out what a name is. Try this:

```
clear
which i
i = 3
which i
which i -all
```

10.3 Error and warning messages

Error messages are best displayed with the function `error`. For example:

```
A = rand(4,3)
[m n] = size(A) ;
if m ~= n
    error('A must be square') ;
end
```


This aborts execution of an M-file if the matrix `A` is not square, and is a useful thing to add to the `ddom` function that you developed in Chapter 6, since diagonal dominance is only defined for square matrices. Try adding it to `ddom` (excluding the `rand` statement, of course), and see what happens if you call `ddom` with a rectangular matrix.

If you want to print a warning, but continue execution, use the `warning` statement instead, as in:

```
warning('A singular; computing anyway')
```

See Section 7.5 (`try/catch`) for one way to recovering from errors. An `error` statement inside a `try` block of a `try/catch` statement causes the `catch` part to be executed.

10.4 User input

In an M-file the user can be prompted to interactively enter input data, expressions, or commands. When, for example, the statement:

```
iter = input('iteration count: ') ;
```

is encountered, the prompt message is displayed and execution pauses while the user keys in the input data (or, in general, any MATLAB expression). Upon pressing the return or entry key, the data is assigned to the variable `iter` and execution resumes. You can also input a string; see `help input`.

An M-file can be paused until a key is typed with the `pause` command. It is a good idea to display a message, as in:

```
disp('Hit enter to continue: ') ;  
pause
```

A `Ctrl-C` terminates the script or function that is paused. A more general command, `keyboard`, allows you to type any number of MATLAB commands. See `doc keyboard`.

10.5 Performance measures

Time and space are the two basic measures of an algorithm's efficiency. In MATLAB, this translates into the number of floating-point operations (flops) performed, the elapsed time, the CPU time, and the memory space used. MATLAB no longer provides a flop count because it uses high-performance block matrix algorithms that make it difficult to count the actual flops performed. On current computers with deep memory hierarchies, flop count is less useful as a performance predictor than it once was.

The elapsed time (in seconds) can be obtained with `tic` and `toc`; `tic` starts the timer and `toc` returns the elapsed time since the last `tic`. Hence:

```
tic ; statement ; t = toc
```

returns the elapsed time `t` for execution of the statement.

Type it as one line in the Command Window. Otherwise, the timer records the time you took to type the statement. The elapsed time for solving a linear system above can be obtained, for example, with:

```
n = 1000 ;  
A = rand(n) ;  
b = rand(n,1) ;  
tic ; x = A\b ; t = toc  
r = norm(A*x-b)  
(2/3)*n^3 / t
```

The norm of the residual is also computed, and the last line reports the approximate flop rate. You may wish to compare `x=A\b` with `x=inv(A)*b` for solving the linear system. Try it. You will find `A\b` to be faster and more accurate.

If there are other programs running at the same time on your computer, elapsed time might not be an accurate measure of performance. Try `cputime` instead.

MATLAB runs faster if you can restructure your computations to use less time and memory. Here is one practical example. Below are five different ways of applying a Householder transformation to a matrix A , in increasing order of cost. Given a vector x as its second argument, the `gallery` function for 'house' returns a scalar β and a column vector v to construct the orthogonal Householder matrix $H = \text{eye}(m) - \beta * v * v'$, so that $H * x$ is all zero except for the first entry (which is equal to s). Try it.

```

m = 4000 ; n = 1000 ;
A = rand (m,n) ;
[v,beta,s] = gallery('house',A(:,1)) ;
tic ; C = A - v*(beta*(v'*A)) ; toc
tic ; C = A - beta*(v*(v'*A)) ; toc
tic ; C = A - beta*v*v'*A ; toc
tic ; C = A - beta*(v*v')*A ; toc
tic ; H = eye(m)-beta*v*v' ; C=H*A ; toc

```

In practice, H is not formed explicitly. The first two methods do not compute H and require very little time and memory. The third method leaves the order of evaluation for MATLAB to decide, but as of MATLAB 7.10 (R2010a), it computes an m -by- m temporary matrix. The fourth method also computes a matrix of that size ($v * v'$). The last method explicitly computes the m -by- m matrix H .

Try setting m large enough so that the first two methods succeed but the last three fail by running out of memory or by taking an excessive amount of time. See `doc gallery` for more information on the 'house' function.

MATLAB does not provide a measure of its peak memory usage, which typically occurs in the middle of a statement, function, or operator. There is no way to determine the memory usage of temporary variables created inside a MATLAB function or operator.

Between MATLAB statements, you can find out the total size of your workspace, in bytes, with the command `whos`.

```
s = whos
space = sum([s.bytes])
```

The `memory` function (available only on Microsoft Windows) is another option. However, like `whos`, it only provides memory usage information in between MATLAB statements.

10.6 Efficient code

The `ddom` function that you wrote in Chapter 6 illustrates some of the MATLAB features that can be used to produce efficient code. All operations are *vectorized*, so that loops are avoided. You could have written the `ddom` function using nested `for` loops, much like you would write the function in C, Fortran, or Java. Type in the `ddomloops` function on the next page, or download it from the web site for this book.

The non-vectorized `ddomloops` function is only slightly slower than the vectorized `ddom` (at least for full matrices). In earlier versions of MATLAB, the non-vectorized version would be very slow. MATLAB 6.5 and subsequent versions include a built-in accelerator that greatly improves the performance of non-vectorized code.

Try:

```
A = rand(1000) ;
tic ; B = ddom(A) ; toc
tic ; B = ddomloops(A) ; toc
```

Only simple `for` loops can be accelerated. Loops that operate on sparse matrices are not accelerated, for example (sparse matrices are discussed in Chapter 18).

```

function B = ddomloops(A,tol)
% B = ddomloops(A) returns a diagonally
% dominant matrix B by modifying the
% diagonal of A.
[m, n] = size(A) ;
if (nargin == 1)
    tol = 100 * eps ;
end
for i = 1:n
    d = A(i,i) ;
    a = abs(d) ;
    f = 0 ;
    for j = 1:n
        if (i ~= j)
            f = f + abs(A(i,j)) ;
        end
    end
    if (f >= a)
        aii = (1 + tol) * max(f, tol) ;
        if (d < 0)
            aii = -aii ;
        end
        A(i,i) = aii ;
    end
end
B = A ;

```

Try:

```

A = sparse(A) ;
tic ; B = ddom(A) ; toc
tic ; B = ddomloops(A) ; toc

```

Since not every loop can be accelerated, writing code that has no `for` or `while` loops is still important. As you become practiced in writing without loops and reading loop-free MATLAB code, you will also find that the loop-free version is often easier to read and understand.

If you cannot vectorize a loop, you can speed it up by preallocating any vectors or matrices in which output is stored. For example, by including the second statement

below, which uses the function `zeros`, space for storing `E` in memory is preallocated. Without this, MATLAB must resize `E` one column larger in each iteration, slowing execution.

```
M = magic(6) ;
E = zeros(6,50) ;
for j = 1:50
    E(:,j) = eig(M^j) ;
end
```

11 Code Development Tools


The Current Folder window provides a pull-down Actions menu on its top right corner, which looks like this:



The menu gives you options for creating new M-files of various types, and options for how files and folders are viewed in the window (what characteristics are shown, and how they are sorted and grouped). The Show ► Description option is particularly useful. It displays the H1 line of each M-file.

The menu gives you access to six different reports that provide useful information about your M-files. These tools are described below in this chapter. Also described is the File and Folder Comparison tool, which you can find in the Desktop ► File and Folder Comparisons menu.

11.1 Code Analyzer (M-Lint) report

Navigate to the folder where you created the `ddomloops` M-file (see Section 10.6), and select  ► Reports ► Code Analyzer Report in the Current Folder window. This report examines all M-files in the folder and checks them for

suspicious constructs. Scroll down to the report on `ddomloops.m`, and note that one warning is listed:

5: The value assigned here to 'm' appears to be unused. Consider replacing it with `~`.

Click on the underlined 5:. The Editor window opens the `ddomloops.m` file and highlights the variable `m` in line 5:

```
[m, n] = size(A) ;
```

The little orange block near the top right of the Editor window tells you the M-Lint Code Analyzer has found problems with your code.

The variable `m` is assigned by this statement, but not used. This is not an error, just a warning. You could ask MATLAB to fix it automatically. If you hover your mouse over the error and click Fix, and MATLAB will replace `m` with a tilde (`~`) to denote an unused output argument. However, this warning should remind you that `ddomloops` is only intended for square matrices. This is a helpful reminder, because no test is made to ensure the matrix is square. Try:

```
ddomloops(ones(2,3))
```

An obscure error occurs because the non-existent entry `A(3,3)` is referenced. The function should fail with this input, but the error message is useless.

Save a copy of your original `ddomloops.m` file and call it `ddomloops_orig.m`. You will need it for the exercise in Section 11.8.

Add the following code to `ddomloops` just after line 5:

```
if (m ~= n)
    error('A must be square') ;
end
```

Rerun the Code Analyzer report by clicking this button at the top of the report:



The warning has gone away and your code is more robust. Try `ddomloops (ones (2,3))` again. It correctly reports an error that `A` must be square.

The Code Analyzer can also analyze a single file. In the Editor, select **Tools ► Show Code Analyzer Report**. This option is useful if you have many M-files in a single folder and want to examine just one file at a time. Alternatively, type `mlint ddomloops` in the Command Window.

11.2 Advanced Editor features

The Editor is a powerful tool for writing, testing, and documenting your M-files. You have already used it to create basic M-file scripts and functions, by working through the examples in Sections 6.1 and 6.2.

Two additional features of the Editor described in this section are *code folding* and *M-file configuration*.

Open one or both of the `bisect.m` and `ddomloops.m` files that you worked through earlier in this book (Section 10.1 on page 70, and Section 10.6 on page 76, respectively). Notice the thin lines to the left of the code, with minus signs inside little boxes:



Click one of the boxes. The Editor collapses a region of your code into a single line, such as the body of a `for` or `while` loop, or a region of comments. Your code is not gone, of course, just not displayed. This code-folding feature helps you navigate through a large M-file. By

default, cells (Chapter 23), `if/else` blocks, and `switch/case` blocks cannot be folded, but you can change this in the File ► Preferences menu, under the Editor/Debugger ► Code Folding tab.

An *M-file configuration* is a way of providing a basic stand-alone method for testing your M-file functions. Normally, a function requires inputs. Try clicking the “run” icon to run one of these functions:



MATLAB complains that the inputs are not defined. The same thing happens if you type `bisect` or `ddomloops` at the command line. You can provide a default set of inputs to your functions by selecting the Debug ► Run Configuration for `bisect.m` menu option (for example). Modify the line with just the word `bisect`, replacing it with this test case:

```
bisect(@sin, [3 4])
```


Now click the “run” button once more. The `bisect` function is called with the two inputs you specified in its M-file configuration, above. This gives you a quick way to test your M-files, without having to write a separate test code.

Chapter 23 (*Cell Publishing*) shows you how to use the Editor to create elegant reports with text, MATLAB code, results, and figures, which can be recomputed and recreated with a single push of a button.

11.3 TODO/FIXME report

The TODO/FIXME Report lists all lines in an M-file containing the words `TODO`, `FIXME`, or `NOTE`, along with the line numbers in which they appear. Clicking the line number brings up the Editor at that line. This is useful during incremental development of a large project.

11.4 Help report

The Help Report examines each M-file in the current folder for the comment lines that appear when you type `help` or `doc` followed by the M-file name. Select  ► Reports ► Help Report. Here is the report for `ddomloops`:

```
B = ddomloops(A) returns a diagonally
```

```
B = ddomloops(A) returns a diagonally  
dominant matrix B by modifying the  
diagonal of A.
```

```
No examples
```


```
No See Also line
```

```
No copyright line
```

The first line in the report is the description line, which is the first line after the function statement itself (if the line is a comment line). The MATLAB convention is for the first comment line to be a stand-alone one-line description of the function, starting with the name of the function in all capital letters. This is called the H1 line of an M-file. The first token in this line should be the function name, in capital letters and with no space between the % and the function name.

Edit `ddomloops` and add a new description line, as the second line in the file:

```
%DDOMLOOPS make matrix diagonally dominant
```

Make sure the option  ► Show ► Description is checked in the Current Folder window. Take a look at your newly modified `ddomloops.m` function in the window. The helpful one-line H1 description now appears below the filename.

Now go back to the Help Report window. This report complains that there is no example, no *See Also* line, and no copyright line for `ddomloops.m`. An example starts with a comment line that starts with the word `example` or `Example` and ends at the next blank comment line. The *See Also* line is a comment line that starts with the words `See also`, and is followed by a list of functions related to this function. The copyright line is a comment that starts with the word `Copyright`, followed by the year and your name. These constructs are all optional, of course, but adding them to the M-file makes the code easier to use. After the last comment line, add the following comments:

```
%  
% Example  
%     A = [1 0 ; 4 1]  
%     B = ddomloops(A)  
%     B is the same as A, except B(2,2)  
%     is slightly greater than 4.  
%  
% See also DDOM.
```

Finally, add a blank line (not a comment), and then the line:

```
% Copyright 2010, Me.
```

The function name `DDOM` appears in upper case, telling MATLAB to recognize it as the name of a function. Rerun the Help Report. All these these constructs are listed in the report. Type `help ddomloops` or `doc ddomloops` in the Command Window. Since MATLAB recognizes `DDOM` as a function name, it underlines the word `ddom` in blue as an active link. Clicking the link displays the corresponding help or doc for `ddom` (assuming you created the function when working through the examples in Chapter 6).

Even if you do not plan on sharing your code with someone else, get into the habit of carefully documenting your code

with an H1 line and examples. Six months later, when you look at your code again, *you* will be the “someone else” trying to understand your code.

11.5 Contents report


The Contents Report generates a special file called `Contents.m` that summarizes all of the M-files in a single folder. The `Contents.m` file is a very useful index when you have lots of M-files in a single folder, particularly if all those files are part of a single large project.

Create a folder entitled `diagonal_dominance` and place all of the related M-files in this folder (just `ddom.m` and `ddomloops.m`, for now). Add the `diagonal_dominance` folder to your path (see Section 6.8). Now that this folder is on your path, whatever your current folder is, the command `help diagonal_dominance` lists the `Contents.m` index, and the `ddom` and `ddomloops` functions are always available to you. You can use them in the Command Window, or in other M-files, no matter what your current folder is.

Navigate to this folder in the Current Folder window, and select the Contents Report (⚙️ ► Reports ► Contents Report). Click yes if MATLAB prompts you to create a new `Contents.m` file, then scroll down until you see your modified `ddomloops` function. Its name is followed by its one-line description, generated automatically from the description line in `ddomloops.m`. You can edit `Contents.m` to add more description, and then click the refresh button to generate a new Contents Report. Any discrepancies are reported to you. For example, if you edit the one-line description in `Contents.m`, but not in the corresponding M-file, a warning appears and MATLAB offers to fix the discrepancy.

Type the command `help diagonal_dominance`. This use of the `help` command prints the `Contents.m` listing in the `diagonal_dominance` folder, and highlights the name of each function. Click on `ddomloops` in the list; the `help ddomloops` information appears. Many functions in MATLAB are implemented as M-files and are documented in the same way that you have documented your current folder. For example, `help general` lists the `Contents.m` file of the folder `MATLAB/toolbox/matlab/general` (where `MATLAB` is the folder in which MATLAB is installed).

11.6 Dependency report

If you would like to share your MATLAB project with someone else, you need to make sure that none of the required M-files in your project are left out. This is where the Dependency Report comes in handy. Assuming that your current folder is `diagonal_dominance`, select  ► **Reports** ► **Dependency Report in the Current Folder** window. For each M-file in the current folder, the Dependency Report lists the M-files and mexFunctions that it relies on, and which M-files rely on it. If you see something that your code relies on, but which is *not* in the right place, then you should move it into the `diagonal_dominance` folder before you share that folder with someone else.

Since `ddom` and `ddomloops` are such simple functions, the Dependency Report is not very interesting. Create an M-file script in the `diagonal_dominance` folder called `simple.m`:

```
A = [1 2 ; 3 0]
B = ddomloops(A)
C = ddom(A)
```

Re-run the dependency report. `simple` is listed as a parent of its child functions `ddomloops` and `ddom`.

11.7 Profiler tool and Coverage report

MATLAB provides an M-file Profiler that lets you see how much computation time each line of an M-file uses.

Try this example. Create a short M-file script, `ddomtest.m`, ideally in your `diagonal_dominance` folder:

```
A = rand(1000) ;  
B = ddomloops(A) ;
```

Then select Tools ► Open Profiler in the Editor window, select Desktop ► Profiler, or type `profile viewer` in the Command Window. Type `ddomtest` in the text box entitled Run this code and hit enter (or click Start Profiling). A short table appears with the number of calls and time spent in each function. Most of the time is spent in `ddomloops`. Click on the function name and you are given a lengthy description of the time spent in each line of code of `ddomloops`. This report is useful for improving code performance and for debugging. Untested lines of code could harbor a bug.

The Coverage Report provides a short overview of the profile coverage of each file in a folder. Selecting it shows that `ddomtest` was fully exercised (100% coverage), but a few lines of code in `ddomloops` were not tested. The code you added to check for rectangular matrices was not tested, and the case when the diagonal entry $A(i, i)$ is negative was not tested.

11.8 File and Folder Comparison tool

The File and Folder Comparisons tool is very useful for tracking changes to your code as you develop it, particularly

for large projects. Select Desktop ► File and Folder Comparisons, and click the New File Comparison button.



Select `ddomloops_orig.m` as one file, and `ddomloops.m` as the second file. A color-coded side-by-side display of these two functions is displayed, showing you the lines that match and the lines that differ. You may need to adjust the Columns visible setting to fit both files on your screen. The up and down arrows to the right of this box navigate to the next region of code that differs between the two files. The report should show a single difference, with three lines appearing in `ddomloops.m` that do not appear in `ddomloops_orig.m`.

You can also select two different folders for comparison, or two different MAT files.

12 Calling C from MATLAB

There are times when a MATLAB M-file itself is not enough. You may have a large application or library written in another language that you would like to use from MATLAB, or it might be that the performance of your M-file is not what you would like. Harnessing the strengths of both C and M is a powerful problem-solving combination (see page 232).

MATLAB can call routines written in C, Fortran, or Java. Similarly, programs written in C and Fortran can call MATLAB. In this chapter, we will just look at how to call a C routine from MATLAB. For more information, see Help:MATLAB ► User Guide ► External Interfaces, or see the online PDF documents *External Interfaces* and *C and Fortran API Reference*. The discussion in this chapter assumes that you already know C.

12.1 A simple example

A routine written in C that can be called from MATLAB is called a MEX-file. The routine must always have the name `mexFunction`, and the arguments to this routine are always the same, regardless of what the `mexFunction` does. Here is a very simple MEX-file; type it in as the file `hello.c` in the MATLAB Editor or in your favorite text editor.

```
#include "mex.h"
void mexFunction
(
    int nargout,
    mxArray *pargout [ ],
    int nargin,
    const mxArray *pargin [ ]
)
{
    mexPrintf ("hello world\n") ;
}
```

Compile and run it by typing:

```
mex hello.c
hello
```

If this is the first time you have compiled a C MEX-file on a PC with Microsoft Windows, you will be prompted to select a C compiler.

The arguments `nargout` and `nargin` are the number of outputs and inputs to the function (just as an M-file function), and `pargout` and `pargin` are pointers to the arguments themselves (of type `mxArray`).

The `mexPrintf` function is just the same as `printf` in C. You can also use `printf` itself; the `mex` command redefines it as `mexPrintf` with a `#define` when the program is compiled. This way, you can write a routine that can be used from MATLAB or from a stand-alone C application, without MATLAB.

Although this `hello.c` MEX-file does not have any inputs or outputs, you could modify it to print out `nargin` and `nargout`, and try this:

```
[a,b] = hello('there')
```

MATLAB complains that the two outputs are not assigned, but this is just a starter example.

12.2 C versus MATLAB arrays

MATLAB stores its arrays in column major order, while the convention for C is to store them in row major order. Also, the number of columns in an array is not known until the `mexFunction` is called. Thus, two-dimensional arrays in MATLAB must be accessed with one-dimensional indexing in C (see also Section 5.7). In the example in the next section, the `INDEX` macro helps with this translation.

Array indices also appear differently. MATLAB is written primarily in C/C++, and it stores all of its arrays internally using zero-based indexing in which an m -by- n matrix has rows 0 to $m-1$ and columns 0 to $n-1$. However, when accessed via an M-file or via commands in the Command Window, MATLAB variables use one-based indexing, where an m -by- n matrix has rows 1 to m and columns 1 to n . When you type the MATLAB command `x=A(i,j)`, MATLAB subtracts one from `i` and `j` to reflect this difference. Likewise, indices returned by `mexFunctions` must start with one, not zero. In the example below, one is added to the `List` array returned by `diagdom` to account for this difference. As an author of C `mexFunctions`, you will need to constantly translate between zero-based and one-based indexing.

12.3 A matrix computation in C

In Chapters 6 and 10, you wrote the function `ddom.m`. Here is the same function written as an ANSI C MEX-file.

Download the code from the web site for the book, or type in these three files: `diagdom.c`, `diagdom.h`, and `diagdom_mex.c`.

Below is the `diagdom.h` definitions file. You should use either `ptrdiff_t` or `mwSignedIndex` as the default integer type inside a MATLAB mexFunction. This type is 32 bits in size on 32-bit computers, and 64 bits on a 64-bit computer. If you are using a 32-bit version of MATLAB on a 64-bit computer, then change the `#define` to `mwSignedIndex`.

```
#include <float.h>
#include <stddef.h>
#define INDEX(i,j,m) ((i)+(j)*(m))
#define ABS(x) ((x) >= 0 ? (x) : -(x))
#define MAX(x,y) (((x)>(y)) ? (x):(y))
#define INT ptrdiff_t
```

The main computational routine, `diagdom.c`, is on the next page. Compare it with with the loop-based version `ddomloops.m` in Section 10.6. The logic is very similar, since C has no vectorized expressions. The `diagdom_mex.c` file is the gateway routine that connects MATLAB with your computational routine. Appending `_mex` to this filename is not required; it is just a useful practice so that you can easily tell the two files apart. MATLAB `mx` and `mex` routines are described in Section 12.4. Place the files in your `diagonal_dominance` folder, and try this example:

```
mex diagdom.c diagdom_mex.c
A = rand(6) ;
B = ddom(A) ;
C = diagdom(A) ;
```

```

#include "diagdom.h"
void diagdom
(
    double *A, INT n, double *B,
    double tol, INT *List, INT *nList
)
{
    double d, a, f, bij, bii ;
    INT i, j, k ;
    for (k = 0 ; k < n*n ; k++)
    {
        B [k] = A [k] ;
    }
    if (tol < 0)
    {
        tol = 100 * DBL_EPSILON ;
    }
    k = 0 ;
    for (i = 0 ; i < n ; i++)
    {
        d = B [INDEX (i,i,n)] ;
        a = ABS (d) ;
        f = 0 ;
        for (j = 0 ; j < n ; j++)
        {
            if (i != j)
            {
                bij = B [INDEX (i,j,n)] ;
                f += ABS (bij) ;
            }
        }
        if (f >= a)
        {
            List [k++] = i ;
            bii = (1 + tol) * MAX (f, tol) ;
            if (d < 0)
            {
                bii = -bii ;
            }
            B [INDEX (i,i,n)] = bii ;
        }
    }
    *nList = k ;
}

```

The `diagdom_mex.c` file is listed on the next two pages.

```

#include "mex.h"
#include "diagdom.h"

void error (char *s)
{
    mexPrintf
    ("Usage: [B,i] = diagdom (A,tol)\n") ;
    mexErrMsgTxt (s) ;
}

void mexFunction
(
    int nargout, mxArray *pargout [ ],
    int nargin,  const mxArray *pargin [ ]
)
{
    double tol, *A, *B, *I ;
    INT n, k, *List, nList ;

    /* get inputs A and tol */
    if (nargout > 2 || nargin > 2 || nargin==0)
    {
        error ("Wrong number of arguments") ;
    }
    if (mxIsSparse (pargin [0]))
    {
        error ("A cannot be sparse") ;
    }
    n = mxGetN (pargin [0]) ;
    if (n != mxGetM (pargin [0]))
    {
        error ("A must be square") ;
    }
    A = mxGetPr (pargin [0]) ;
    tol = -1 ;
    if (nargin > 1)
    {
        if (!mxIsEmpty (pargin [1]) &&
            mxIsDouble (pargin [1]) &&
            !mxIsComplex (pargin [1]) &&
            mxIsScalar (pargin [1]))
        {
            tol = mxGetScalar (pargin [1]) ;
        }
        else
        {
            error ("tol must be scalar") ;
        }
    }
}

```

```

}

/* create output B */
pargout [0] =
    mxCreateDoubleMatrix (n, n, mxREAL) ;
B = mxGetPr (pargout [0]) ;

/* get temporary workspace */
List = (INT *) mxMalloc (n * sizeof (INT)) ;

/* do the computation */
diagdom (A, n, B, tol, List, &nList) ;

/* create output I */
pargout [1] =
    mxCreateDoubleMatrix (nList, 1, mxREAL);
I = mxGetPr (pargout [1]) ;
for (k = 0 ; k < nList ; k++)
{
    I [k] = (double) (List[k] + 1) ;
}

/* free the workspace */
mxFree (List) ;
}

```

The matrices B and C are the same (round-off error might cause them to differ slightly). On my MacBook Air, the C mexFunction `diagdom` is about twice as fast than the M-file `ddom` for large matrices. However, `ddom.m` is much easier to write and maintain. The performance gap between C and MATLAB continually drops in newer versions of MATLAB, so switching to a mexFunction is less and less a requirement. Use a mexFunction only if the programming effort is worth the payoff.

The Profiler (Section 11.7) comes in handy for finding performance bottlenecks in your code. If you are unable to optimize your M-file to a sufficient degree, you can try replacing select bottlenecks with mexFunctions. Be advised that for some operations, the built-in functions can be many times faster than a simple C mexFunction. For example, $x=A\backslash b$ for large and/or sparse matrices can be an orders of

magnitude faster than a simple C (or Fortran) mexFunction for computing the same thing.

12.4 MATLAB mx and mex routines

In the last example, the C gateway routine (in `diagdom_mex.c`) calls several MATLAB routines with the prefix `mx` or `mex`. Routines with `mx` prefixes operate on MATLAB matrices and include:

| | |
|-----------------------------------|--|
| <code>mxIsEmpty</code> | 1 if the matrix is empty, 0 otherwise |
| <code>mxIsSparse</code> | 1 if the matrix is sparse, 0 otherwise |
| <code>mxGetN</code> | number of columns of a matrix |
| <code>mxGetM</code> | number of rows of a matrix |
| <code>mxGetPr</code> | pointer to the real values of a matrix |
| <code>mxGetScalar</code> | the value of a scalar |
| <code>mxCreateDoubleMatrix</code> | create MATLAB matrix |
| <code>mxMalloc</code> | like <code>malloc</code> in ANSI C |
| <code>mxFree</code> | like <code>free</code> in ANSI C |

Routines with `mex` prefixes operate on the MATLAB environment and include:

| | |
|---------------------------|---------------------------------|
| <code>mexPrintf</code> | like <code>printf</code> in C |
| <code>mexErrMsgTxt</code> | like MATLAB error statement |
| <code>mexFunction</code> | the gateway routine from MATLAB |

Many other `mx` and `mex` routines are available. The memory management routines in MATLAB (`mxMalloc`, `mxFree`, and `mxCalloc`) are much easier to use than their ANSI C counterparts. If a memory allocation request fails, the `mexFunction` terminates and control is passed backed to MATLAB. Any workspace allocated by `mxMalloc` that is not freed when the `mexFunction` returns or terminates is automatically freed by MATLAB. This is why no memory allocation error checking is included in `diagdom_mex.c`; it is not necessary.

Note that all of the references to MATLAB `mx` and `mex` routines are limited to the `mexFunction` gateway routine (`diagdom_mex.c`). This is not required; it is just a good idea, because you can use your `diagdom.c` function in other codes, not just in a MATLAB `mexFunction`.

In this example, no memory allocation was required in `diagdom.c` itself. If you need to allocate memory, using the C `malloc` function is dangerous because it can lead to memory leaks. MATLAB does not know about memory allocated by `malloc`, but just `mxMalloc` instead.

This leads to a conundrum. How do you avoid using `mx` functions in your computational routine, while at the same time use `mxMalloc`? The solution is to exploit the `MATLAB_MEX_FILE` macro, which is only defined when compiling with the MATLAB `mex` command. If you add the following code to `diagdom.h`, then you can use `MALLOC` and `FREE` instead of the C or MATLAB versions of these functions.

```
#ifdef MATLAB_MEX_FILE
#include "mex.h"
#define MALLOC mxMalloc
#define FREE mxFree
#else
#include <stdlib.h>
#define MALLOC malloc
#define FREE free
#endif
```

Alternatively, if you have an existing set of files in C and do not want to replace `malloc` with the `MALLOC` macro throughout your code, try this, which replaces `malloc` with a macro.

```
#ifdef MATLAB_MEX_FILE
#include "mex.h"
#define malloc mxMalloc
#define free mxFree
#endif
```

You can do the same with `calloc` and `realloc`, if you need the MATLAB versions of those standard C functions.

12.5 Online help for MEX routines

Create an M-file called `diagdom.m` and place it in your `diagonal_dominance` folder:

```
function [B,i] = diagdom(A,tol)
%DIAGDOM:  modify the matrix A.
% [B,i] = diagdom(A,tol) returns a
% diagonally dominant matrix B by
% modifying the diagonal of A.  i is a
% list of modified diagonal entries.
error('diagdom mexFunction not found');
```

Now type `help diagdom` or `doc diagdom`. This is a simple method for providing online help for your own MEX-files.

If both `diagdom.m` and the compiled `diagdom mexFunction` are on the MATLAB path, then the `diagdom mexFunction` is called. If only the M-file is in the path, it is called instead; thus the error statement in `diagdom.m` above. This usually means that the `diagdom mexFunction` has not yet been compiled. Triggering an error in this M-file is much better than returning silently.

12.6 Larger examples on the web

You can find many C/C++ mexFunctions in the File Exchange at MATLAB Central (see Section 2.6). The site www.cise.ufl.edu/research/sparse contains many mexFunctions for sparse matrix computations (see Chapter 18).

13 Calling Fortran from MATLAB

C and C++ are great languages for numerical calculations, particularly if the data structures are complicated. MATLAB itself is written primarily in C/C++, except for

many of the core dense matrix computations (LAPACK and the BLAS), which are written in Fortran. In this chapter we will look at how to call a Fortran subroutine from MATLAB. A Fortran subroutine is accessed via a mexFunction in much the same way as a C subroutine is called. Normally, the mexFunction acts as a gateway routine that gets its input arguments from MATLAB, calls a computational routine, and then returns its output arguments to MATLAB, just like the C example in the previous chapter.

13.1 Solving a transposed system

The `linsolve` function was introduced in Section 5.5, with an example that solves $x=U'\backslash b$ where U is dense, square, real, and upper triangular. Here is a computational routine written in Fortran that computes the same thing. It has no calls to MATLAB-specific `mx` or `mex` routines. Both `linsolve` (with the right `opts` input) and `utsolve` solve the system $x=U'\backslash b$ without explicitly forming the transpose, U' .

```
subroutine utsolve (n, x, A, b)
integer n
real*8 x(n), A(n,n), b(n), xi
integer i, j
do 1 i = 1,n
  xi = b(i)
  do 2 j = 1,i-1
    xi = xi - A(j,i) * x(j)
2  continue
  x(i) = xi / A(i,i)
1  continue
return
end
```

13.2 A Fortran mexFunction with %val

To call this computational subroutine from MATLAB as `x=utsolve(A,b)`, we need a gateway routine, the first lines of which must be:

```
subroutine mexFunction
$ (nargout, pargout, nargin, pargin)
integer nargout, nargin
integer pargout (*), pargin (*)
```

where the `$` is in column 6. These lines must be the same for any Fortran mexFunction (you do not need to split the first line). Note that `pargin` and `pargout` are arrays of integers. If you have a 64-bit version of MATLAB, use `integer*8` for all integers except `nargin` and `nargout`.

MATLAB passes its inputs and outputs as pointers to objects of type `mxArray`, but Fortran cannot handle pointers. Most Fortran compilers can convert integer “pointers” to references to Fortran arrays via the non-standard `%val` construct. We will use this in our gateway routine. The next two lines of the gateway routine declare some MATLAB mx routines.

```
integer mxGetN, mxGetPr
integer mxCreateDoubleMatrix
```

This is required because standard Fortran has no include-file mechanism. The next lines determine the size of the input matrix and create the `n`-by-1 output vector `x`. The variable `one` is needed if you are on a 64-bit MATLAB and use `integer*8` variables.

```
integer n, one
one = 1
n = mxGetN (pargin (1))
pargout (1) =
$ mxCreateDoubleMatrix (n, one, 0)
```

We can now convert “pointers” into Fortran array references and call the computational routine.

```
call utsolve (n,  
$ %val (mxGetPr (pargout (1))),  
$ %val (mxGetPr (pargin (1))),  
$ %val (mxGetPr (pargin (2))))  
return  
end
```

The arrays in both MATLAB and Fortran are column-oriented and one-based, so translation is not necessary as it was in the C mexFunction.

Combine the two routines into a single file called `utsolve.f` and type:

```
mex utsolve.f
```

in the MATLAB Command Window. Error checking could be added to ensure that the two input arguments are of the correct size and type. The code would look much like the C example in Chapter 12, so it is not included. Test this routine on as large a matrix that your computer can handle.

```
n = 5000  
A = triu(rand(n,n)) ;  
b = rand(n,1) ;  
tic ; x = A'\b ; toc  
opts.UT = true  
opts.TRANSR = true  
tic ; x2 = linsolve(A,b,opts) ; toc  
tic ; x3 = utsolve(A,b) ; toc  
norm(x-x2)  
norm(x-x3)
```

The solutions should agree quite closely. In older versions of MATLAB (7.6 and earlier), `linsolve` and `utsolve` are an order of magnitude faster than `x=A'\b`. They require

less memory, as well, since they do not have to construct A' . Recent versions (7.9 and later) treat $'\backslash$ as a single operator, so that the transpose is not formed when computing $x=A'\backslash b$. In these versions of MATLAB, `utsolve`, `linsolve`, and $x=A'\backslash b$ have about the same performance. `linsolve` was introduced in MATLAB 7.0.

13.3 If you cannot use %val

If your Fortran compiler does not support the `%val` construct, then you will need to call MATLAB `mx` routines to copy the MATLAB arrays into Fortran arrays, and vice-versa. The GNU `f77` compiler supports `%val`, but issues a warning that you can safely ignore. In this `utsolve` example, add this to your `mexFunction` gateway routine:

```
integer nmax
parameter (nmax = 5000)
real*8 A(nmax,nmax), x(nmax), b(nmax)
```

where `nmax` is the largest dimension you want your function to handle. Unless you want to live dangerously, you should check `n` to make sure it is not too big:

```
if (n .gt. nmax) then
    call mexErrMsgTxt ("n too big")
endif
```

Replace the call to `utsolve` with this code.

```
call mxCopyPtrToReal8
$ (mxGetPr (pargin (1)), A, n**2)
call mxCopyPtrToReal8
$ (mxGetPr (pargin (2)), b, n)
call utsolve (n, x, A, b)
call mxCopyReal8ToPtr
$ (x, mxGetPr (pargout (1)), n)
```

This copies the input MATLAB arrays `A` and `b` to their Fortran counterparts, calls the `utsolve` routine, and then copies the solution `x` to its MATLAB counterpart. Although this is more portable, it takes more memory and is significantly slower. If possible, use `%val`.

14 Calling Java from MATLAB

While C and Fortran excel at numerical computations, Java is well-suited to web-related applications and graphical user interfaces. MATLAB can handle native Java objects in its workspace and can directly call Java methods on those objects. No `mexFunction` is required.

14.1 A simple example

Try this in the MATLAB Command Window:

```
t = 'hello world'
s = java.lang.String(t)
s.indexOf('w') + 1
find(s == 'w')
whos
```

You have just created a Java string in the MATLAB workspace, and determined that the character `'w'` appears as the seventh entry in the string using both the `indexOf` Java method and the `find` MATLAB function.

14.2 Encryption/decryption

MATLAB can handle strings on its own, without help from Java, of course. Here is a more interesting example. Type in the following as the M-file `getKey.m` or download it from the web site for this book.

```

function key = getkey(password)
%GETKEY: key = getkey(password)
% Converts a string into a key for use
% in the encrypt and decrypt functions.
% Uses triple DES.
import javax.crypto.spec.*
b = int8(password) ;
n = length(b) ;
b((n+1):24) = 0 ;
b = b(1:24) ;
key = SecretKeySpec(b, 'DESede') ;

```

The `getkey` routine takes a password string and converts it into a 24-byte triple DES key using the `javax.crypto` package. You can then encrypt a string with the `encrypt` function:

```

function e = encrypt(t, key)
%ENCRYPT: e = encrypt(t, key)
% Encrypt the plaintext string t into
% the encrypted byte array e using a key
% from getkey.
import javax.crypto.*
cipher = Cipher.getInstance('DESede') ;
cipher.init(Cipher.ENCRYPT_MODE, key) ;
e = cipher.doFinal(int8(t))' ;

```

Except for the function statement and the comments, this looks almost exactly the same as the equivalent Java code. This is not a Java program, however, but a MATLAB M-file that uses Java objects and methods. Finally, the `decrypt` function undoes the encryption:

```

function t = decrypt(e, key)
%DECRYPT: t = decrypt(e, key)
% Decrypt the encrypted byte array e
% into to plaintext string t using a key

```

```
% from getkey.  
import javax.crypto.*  
cipher = Cipher.getInstance('DESede') ;  
cipher.init(Cipher.DECRYPT_MODE, key) ;  
t = char(cipher.doFinal(e))' ;
```

With these three functions in place, try:

```
k = getkey('this is a secret password')  
e = encrypt('a hidden message',k)  
decrypt(e,k)
```

Now you encrypt and decrypt strings in MATLAB (a feature MATLAB does not provide on its own).

14.3 Java class path

If you define your own Java classes that you want to use within MATLAB, you need to modify your Java class path. This path is different than the path used to find M-files. You can add folders to the static Java path by editing the file `classpath.txt`, or you can add them to your dynamic Java path with the command

```
javaaddpath folder
```

where *folder* is the name of a folder containing compiled Java classes. `javaclasspath` lists the folders where MATLAB looks for Java classes. Use the command `which classpath.txt` to find where your static class path file is located. If you do not have write permission to `classpath.txt`, you need to type the `javaaddpath` command every time you start MATLAB. It is easier to do this automatically by creating an M-file script called `startup.m` and placing in it the `javaaddpath` command. Place your `startup.m` file in one of the folders in your MATLAB path, or in the folder in which you launch MATLAB, and it will be executed whenever MATLAB starts.

14.4 Calling your own Java methods

To write your own Java classes that you can call from MATLAB, you must first download and install the Java SDK (Software Development Kit) from java.sun.com. You may need to edit your operating system's PATH variable so that you can type the command `javac` in your operating system command prompt.

MATLAB includes two M-files that can download a web page into either a string (`urlread`) or a file (`urlwrite`). Try:

```
s = urlread('http://www.mathworks.com')
```

The `urlread` function is an M-file. You can take a look at it with the command `edit urlread`. It uses a Java package from The MathWorks called `mlwidgets.io.InterruptibleStreamCopier`, but only the compiled class file is distributed, not the Java source file. Create your own URL reader, a purely Java program, and put it in a file called `myreader.java`. The code appears on the next page.

The `geturl` method opens the URL given by the string `u`, and copies it into a file whose name is given by the string `f`. You can compile this Java program and run it by typing these commands at your operating system command prompt:

```
javac myreader.java
java myreader http://www.google.com my.txt
```

The second command copies Google's home page into your own file called `my.txt`. You can also type the commands in the MATLAB Command Window, as in:

```
!javac myreader.java
```



```

import java.io.* ;
import java.net.* ;
public class myreader
{
    public static void main (String [ ] args)
    {
        geturl (args [0], args [1]) ;
    }
    public static void geturl (String u, String f)
    {
        try
        {
            URL url = new URL (u) ;
            InputStream i = url.openStream () ;
            OutputStream o = new FileOutputStream (f) ;
            byte [ ] s = new byte [4096] ;
            int b ;
            while ((b = i.read (s)) != -1)
            {
                o.write (s, 0, b) ;
            }
            i.close ( ) ;
            o.close ( ) ;
        }
        catch (Exception e)
        {
            System.out.println (e) ;
        }
    }
}

```

Now that you have your own Java method, you can call it from MATLAB just as the `java.lang.String` and `javax.crypto.*` methods. In the MATLAB Command Window, type (as one line):

```

myreader.geturl
('http://www.google.com', 'my.txt')

```

14.5 Loading a URL as a matrix

An even more interesting use of the `myreader.geturl` method is to load a MAT-file or ASCII file from a web page directly into the MATLAB workspace as a matrix. Here is a

simple `loadurl` M-file that does just that. It can read compressed files; the Java method uncompresses the URL automatically if it is compressed.

```
function result = loadurl(url)
% result = loadurl(url)
% Reads the URL given by the input
% string, url, into a temporary file
% using myread.java, loads it into a
% MATLAB variable, and returns the
% result. The URL can contain a MATLAB-
% readable text file, or a MAT-file.
t = tempname ;
myreader.geturl(url, t) ;
try
    result = load(t) ;
catch
    try
        result = load('-mat', t) ;
    catch
        result = [ ] ;
    end
end
if (exist(t, 'file'))
    delete(t) ;
end
```

Try it with a simple text file (type this in as one line):

```
w = loadurl('http://www.cise.ufl.edu/
~davis/MATLABPrimer8E/w')
```

which loads in a 2-by-2 matrix. Also try it with this rather lengthy URL (type the string on one line). `spy` plots a sparse matrix (see Section 18.5).

```
s = loadurl('http://www.cise.ufl.edu/
research/sparse/mat/HB/west0479.mat')
prob = s.Problem
spy(prob.A)
title([prob.name ': ' prob.title])
```

15 Two-Dimensional Graphics

MATLAB is a powerful tool for creating beautiful plots with very little effort on your part. The primary command for creating two-dimensional plots is `plot`. Chapter 16 discusses three-dimensional graphics. To preview some of these capabilities, enter the command `demo` and select some of the visualization and graphics demos. See Chapter 19 for a discussion of how to plot symbolic functions.

15.1 Planar plots

The `plot` command creates linear x - y plots; if x and y are vectors of the same length, the command `plot(x, y)` opens a graphics window and draws an x - y plot of the elements of y versus the elements of x . You can, for example, draw the graph of the sine function over the interval -4 to 4 with the following commands:

```
x = -4:0.01:4 ;  
y = sin(x) ;  
plot(x, y) ;
```

Try it. The vector x is a partition of the domain with mesh size 0.01 , and y is a vector giving the values of sine at the nodes of this partition (recall that `sin` operates entry-wise). When plotting a curve, the `plot` routine is actually connecting consecutive points induced by the partition with line segments. Thus, the mesh size should be chosen sufficiently small so that the curve is smooth.

The next example draws the graph of $y = e^{-x^2}$ over the interval -3 to 3 . Note that you must precede `^` by a period to ensure that it operates entry-wise:

```
x = -3:.01:3 ;  
y = exp(-x.^2) ;  
plot(x, y) ;
```

Select **Tools ►Zoom In** or **Tools ►Zoom Out** in the Figure window to zoom in or out, or click these buttons (or see the **zoom** command):



Then click on the figure where you want to zoom in or out. The **Tools ►Pan** option allows you to click and drag the range and domain displayed by the Figure window.

15.2 Multiple figures

You can have several concurrent Figure windows, one of which will at any time be the designated current figure in which graphs from subsequent plotting commands will be placed. If, for example, Figure 1 is the current figure, then the command `figure(2)` (or simply `figure`) opens a second figure (if necessary) and makes it the current figure. The command `figure(1)` exposes Figure 1 and makes it again the current figure. The command `gcf` returns the current figure number, and `figure(gcf)` brings the current figure window to the front.

Just like any other window, a Figure window can be docked in the main MATLAB window. If you have many figures, try using the Figures window. It is available as a Desktop tool under the **Desktop ►Figures** menu item, and it also comes up automatically whenever you dock a Figure. Without this tool, all of your figures appear by default as separate undocked windows in MATLAB. If you have a lot of figures, your desktop can become hard to manage with many cluttered windows.

MATLAB does not draw a plot right away. It waits until all computations are finished, until a `figure` command is encountered, or until the script or function requests user input (see Section 10.4). To force MATLAB to draw a plot

right away, use the command `drawnow`. This command is very useful to animate the results of your computations as they are computed.

15.3 Graph of a function

MATLAB supplies a function `fplot` to plot the graph of a function. For example, to plot the graph of the function in the last example, you can first define the function in an M-file called, say, `expnormal.m` containing:

```
function y = expnormal(x)
y = exp(-x.^2) ;
```

Then:

```
fplot(@expnormal, [-3 3])
```

produces the graph over the indicated x -domain. Using an anonymous function gives the same result without creating `expnormal.m`:

```
f = @(x) exp(-x.^2)
fplot(f, [-3 3])
```

15.4 Parametrically defined curves

Plots of parametrically defined curves can also be made:

```
t = 0:.001:2*pi ;
x = cos(3*t) ;
y = sin(2*t) ;
plot(x, y) ;
```

15.5 Titles, labels, text in a graph

The graphs can be given titles, axes can be labeled, and text can be placed within the graph with the following commands, which take a string as an argument.

| | |
|---------------------|--|
| <code>title</code> | graph title |
| <code>xlabel</code> | <i>x</i> -axis label |
| <code>ylabel</code> | <i>y</i> -axis label |
| <code>gtext</code> | place text on graph using the mouse |
| <code>text</code> | position text at specified coordinates |

For example, the command:

```
title('A parametric cos/sin curve')
```

gives a graph a title. The command `gtext('The Spot')` lets you interactively place the designated text on the current graph by placing the mouse crosshair at the desired position and clicking the mouse. It is a good idea to prompt the user before using `gtext`. To place text in a graph at designated coordinates, use the command `text` (see `doc text`). These commands are also in the Insert menu in the Figure window. Select Insert ► `TextBox`, click on the figure, type something, and then click somewhere else to finish entering the text. If the Edit Plot button



is depressed (or select Tools ► `Edit Plot`), you can right-click on anything in the figure and see a pop-up menu that gives you options to modify the item you just clicked. You can click and drag objects on the figure. Selecting Edit ► `Axes Properties` brings up a window with many more options. For example, clicking the Grid: X, Y boxes adds grid lines (as does the `grid` command).

15.6 Control of axes and scaling

By default, MATLAB scales the axes itself (auto-scaling). This can be overridden by the command `axis` or by selecting **Edit ► Axes Properties**. Some features of the `axis` command are:

```
axis([xmin xmax ymin ymax]) sets the axes
axis manual    freezes the current axes for new plots
axis auto      returns to auto-scaling
v = axis       vector v shows current scaling
axis square    axes of same size (not same scale)
axis equal     same scale and tic marks on axes
axis off       removes the axes
axis on        restores the axes
```

The `axis` command should be given after the `plot` command. Try `axis([-2 2 -3 3])` with the current figure. Note that text entered on the figure using the `text` or `gtext` commands moves as the scaling changes (think of it as attached to the data you plotted). Text entered via **Insert ► TextBox** stays put.

15.7 Multiple plots

Here is one way to make multiple plots on a single graph:

```
x = 0:.01:2*pi;
y1 = sin(x) ;
y2 = sin(2*x) ;
y3 = sin(4*x) ;
plot(x, y1, x, y2, x, y3)
```

Another method uses a matrix `y` containing the functional values as columns:

```
x = (0:.01:2*pi)' ;
y = [sin(x), sin(2*x), sin(4*x)] ;
plot(x, y)
```

The `x` and `y` vectors must have the same length, but each pair can have different lengths. Try:

```
plot(x, y, [0 2*pi], [0 0])
```

The command `hold` on freezes the current graphics screen so that subsequent plots are superimposed on it. The axes may, however, become rescaled. Entering `hold off` releases the hold. `clf` clears the figure, and `close` closes it. `legend` places a legend in the current figure to identify the different graphs. See doc `legend`.

15.8 Line types, marker types, colors

You can override the default line types, marker types, and colors. For example,

```
x = 0:.01:2*pi ;
y1 = sin(x) ;
y2 = sin(2*x) ;
y3 = sin(4*x) ;
plot(x,y1, '--', x,y2, ':', x,y3, 'o')
```

renders a dashed line and dotted line for the first two graphs, whereas for the third the symbol `o` is placed at each node. The line types are:

| | | | |
|---------|--------|---------|---------|
| ' - ' | solid | ' : ' | dotted |
| ' - - ' | dashed | ' - . ' | dashdot |

and the marker types are:

| | | | |
|-------|----------------|-------|---------------|
| ' . ' | point | ' o ' | circle |
| ' x ' | x-mark | ' + ' | plus |
| ' * ' | star | ' s ' | square |
| ' d ' | diamond | ' v ' | triangle-down |
| ' ^ ' | triangle-up | ' < ' | triangle-left |
| ' > ' | triangle-right | ' p ' | pentagram |
| ' h ' | hexagram | | |

Colors can be specified for the line and marker types:

| | | | |
|-----|--------|-----|---------|
| 'y' | yellow | 'm' | magenta |
| 'c' | cyan | 'r' | red |
| 'g' | green | 'b' | blue |
| 'w' | white | 'k' | black |

Thus, `plot(x,y1,'r--')` plots a red dashed line.

15.9 Subplots and specialized plots

The command `subplot(m,n,p)` partitions a single figure into an m -by- n array of panes, and makes pane p the current plot. The panes are numbered left to right. A subplot can span multiple panes by specifying a vector p . Here is the last example with each data set plotted in a separate subplot:

```
subplot(2,2,1)
plot(x,y1, '--')
subplot(2,2,2)
plot(x,y2, ':')
subplot(2,2,[3 4])
plot(x,y3, 'o')
```

Other specialized planar plotting functions you may wish to explore via `help` are:

| | | | | |
|----------------------|----------------------|--------------------|---------------------|---------------------|
| <code>bar</code> | <code>feather</code> | <code>hist</code> | <code>quiver</code> | |
| <code>compass</code> | <code>fill</code> | <code>polar</code> | <code>rose</code> | <code>stairs</code> |

15.10 Graphics hard copy

Select **File** ► **Print** or click the print button



in the Figure window to send a copy of your figure to your default printer. Layout options and selecting a printer can be done with **File** ► **Page Setup** and **File** ► **Print Setup**.

You can save the figure as a file for later use in a MATLAB Figure window. Try the save button



or File ► Save. This saves the figure as a `.fig` file, which can be later opened in the Figure window with the open button



or with File ► Open. Selecting File ► Export Setup or File ► Save As allows you to convert your figure to many other formats.

16 Three-Dimensional Graphics

Primary commands for creating three-dimensional graphics of numerically-defined functions are `plot3`, `mesh`, `surf`, and `light`. Plotting of symbolic functions is discussed in Chapter 19. The menu options and commands for setting axes, scaling, and placing text, labels, and legends on a graph also apply for 3-D graphs. A `zlabel` can be added. The `axis` command requires a vector of length 6 with a 3-D graph.

16.1 Curve plots

Completely analogous to `plot` in two dimensions, the command `plot3` produces curves in three-dimensional space. If `x`, `y`, and `z` are three vectors of the same size, then the command `plot3(x, y, z)` produces a perspective plot of the piecewise linear curve in three-space passing through the points whose coordinates are the respective elements of `x`, `y`, and `z`. These vectors are usually defined parametrically. For example,

```

t = .01:.01:20*pi ;
x = cos(t) ;
y = sin(t) ;
z = t.^3 ;
plot3(x, y, z)

```

produces a helix that is compressed near the x - y plane (a “slinky”). Try it.

16.2 Mesh and surface plots

The `mesh` command draws three-dimensional wire mesh surface plots. The command `mesh(z)` creates a three-dimensional perspective plot of the elements of the matrix z . The mesh surface is defined by the z -coordinates of points above a rectangular grid in the x - y plane. Try `mesh(eye(20))`.

Similarly, three-dimensional faceted surface plots are drawn with the command `surf`. Try `surf(eye(20))`.

To draw the graph of a function $z = f(x,y)$ over a rectangle, first define vectors `xx` and `yy`, which give partitions of the sides of the rectangle. Then `[x,y]=meshgrid(xx,yy)` creates a matrix `x`, each row of which equals `xx` (whose column length is the length of `yy`) and similarly a matrix `y`, each column of which equals `yy`. A matrix `z`, to which `mesh` or `surf` can be applied, is then computed by evaluating the function `f` entry-wise over the matrices `x` and `y`. You can, for example, draw the graph of $z = e^{-x^2-y^2}$ over the square $[-2,2] \times [-2,2]$ as follows:

```

xx = -2:.2:2 ;
yy = xx ;
[x, y] = meshgrid(xx, yy) ;
z = exp(-x.^2 - y.^2) ;
mesh(z)

```

Try this plot with `surf` instead of `mesh`. Note that you must use `x.^2` and `y.^2` instead of `x^2` and `y^2` to ensure that the function acts entry-wise on `x` and `y`.

16.3 Parametrically defined surfaces

Plots of parametrically defined surfaces can also be made. See the MATLAB functions `sphere` and `cylinder` for example. The next example displays the cover of this book, with lighting, color, and viewpoint defined in Section 16.6. First, start a figure and set up the mesh:

```
figure(1) ; clf
t = linspace(0, 2*pi, 512) ;
[u,v] = meshgrid(t) ;
```

Next, define the surface:¹

```
a = -0.2 ; b = .5 ; c = .1 ;
n = 2 ;
x = (a*(1-v/(2*pi)).*(1+cos(u)) + c) ...
    .* cos(n*v) ;
y = (a*(1-v/(2*pi)).*(1+cos(u)) + c) ...
    .* sin(n*v) ;
z = b*v/(2*pi) + ...
    a*(1-v/(2*pi)) .* sin(u) ;
```

Plot the surface, using `y-2*x` to define the color, and turn off the mesh lines on the surface:

```
surf(x,y,z,y-2*x)
shading interp
```

Also try `a=-0.5`, which gives the back cover.

Other three-dimensional plotting functions you may wish to explore via `help` or `doc` are `meshz`, `surfsc`, `surfll`,

¹von Seggern, CRC Standard Curves and Surfaces, 2nd ed., CRC Press, 1993, pp. 306-307.

`contour`, and `pcolor`. For plotting symbolically defined parametric surfaces (including the same seashell you plotted above), see Section 19.7.

16.4 Volume and vector visualization

MATLAB has an extensive suite of volume and vector visualization tools. The following example evaluates a function of three variables, $v=f(x,y,z)$, that represents a fluid flow problem. It returns both v and the coordinates (x , y , and z) at which the function was evaluated.

```
[x,y,z,v] = flow ;
```

Now try visualizing it. The first method plots the surface at which v is `-3`; the second plots slices of the data:

```
figure(1) ; clf
isosurface(x, y, z, v, -3)
figure(2) ; clf
slice(x, y, z, v, [3 8], 0, 0)
```

Type `doc specgraph` for more volume and vector visualization tools.

16.5 Color shading and color profile

The color shading of surfaces is set by the `shading` command. There are three settings for shading: `faceted` (default), `interpolated`, and `flat`. These are set by the commands:

```
shading faceted
shading interp
shading flat
```

Note that on surfaces produced by `surf`, the settings `interpolated` and `flat` remove the superimposed mesh

lines. Experiment with various shadings on the surface produced above. The command `shading` (as well as `colormap` and `view` described below) should be entered after the `surf` command.

The color profile of a surface is controlled by the `colormap` command. Available predefined color maps include `hsv` (the default), `hot`, `cool`, `jet`, `pink`, `copper`, `flag`, `gray`, `bone`, `prism`, and `white`. For example, `colormap(cool)` sets the `cool` color profile for the current figure.

Experiment with various color maps on the surface produced above. See also `doc colorbar`.

16.6 Perspective of view

The Figure window provides a wide range of controls for viewing the figure. Select `View ► Camera Toolbar` to see these controls, or pull down the `Tools` menu. Try, for example, selecting `Tools ► Rotate 3D`, and then click the mouse in the Figure window and drag it to rotate the object. Some of these options can be controlled by the `view` and `rotate3d` commands, respectively.

The MATLAB function `peaks` generates an interesting surface on which to experiment with `shading`, `colormap`, and `view`. Type `peaks`, select `Tools ► Rotate 3D`, and click and drag the figure to rotate it.

In MATLAB, light sources and camera position can be set. Taking the `peaks` surface from the example above, select `Insert ► Light`, or type `light` to add a light source. See the online document *MATLAB 7 Graphics* for camera and lighting help.

This example defines the color, shading, lighting, surface material, and viewpoint for the cover of the book:

```
axis off
```

```
axis equal
colormap(hsv(1024))
shading interp
material shiny
lighting gouraud
lightangle(80, -40)
lightangle(-90, 60)
view([-120 0])
```

17 Advanced Graphics

MATLAB possesses a number of other advanced graphics capabilities. Significant ones are bitmapped images, object-based graphics (called Handle Graphics[®]), and Graphical User Interface (GUI) tools.

17.1 Handle Graphics

Beyond those just described, the MATLAB graphics system provides low-level functions that let you control virtually all aspects of the graphics environment to produce sophisticated plots. The commands `set` and `get` allow access to all the properties of your plots. Try `set(gcf)` to see some of the properties of a figure that you can control. `set(gca)` lists the properties of the current axes (see Section 17.3 for an example). This system is called Handle Graphics[®]. See *MATLAB 7 Graphics* for more information.

17.2 Graphical user interface

The graphics system in MATLAB also provides the ability to add sliders, push-buttons, menus, and other user interface controls to your own figures. For information on creating user interface controls, try `doc uicontrol`. This allows you to create interactive graphical-based applications. GUIs

use *callback* functions, which are called whenever a user-interface object is triggered (when the user presses a button, for example).

Try `guide` (short for Graphic User Interface Development Environment). This brings up the Layout Editor window that you can use to interactively design a graphic user interface. Also see the online document *Creating Graphical User Interfaces*.

17.3 Images

The `image` function plots a matrix, where each entry in the matrix defines the color of a single pixel or block of pixels in the figure. `image(K)` paints the (i, j) th block of the figure with color $K(i, j)$ taken from the colormap. Here is an example of the Mandelbrot set. The bottom left corner is defined as (x_0, y_0) , and the upper right corner is (x_0+d, y_0+d) . Try changing x_0 , y_0 , and d to explore other regions of the set ($x_0=-.38$, $y_0=.64$, $d=.01$ is also very pretty). This is also a good example of one-dimensional indexing:

```
x0 = -2 ; y0 = -1.5 ; d = 3 ; n = 512 ;
maxit = 256 ;
x = linspace(x0, x0+d, n) ;
y = linspace(y0, y0+d, n) ;
[x,y] = meshgrid(x, y) ;
C = x + y*1i ;
Z = C ;
K = ones(n, n) ;
for k = 1:maxit
    a = find((real(Z).^2+imag(Z).^2) < 4);
    Z(a) = (Z(a)).^2 + C(a) ;
    K(a) = k ;
end
figure(1) ; clf
```



```
colormap(jet(maxit)) ;
image(x0 + [0 d], y0 + [0 d], K) ;
set(gca, 'YDir', 'normal') ;
axis equal
axis tight
```

`image`, by default, reverses the y direction and plots the $K(1,1)$ entry at the top left of the figure (just like the `spy` function described in Section 18.5). The `set` function resets this to the normal direction, so that $K(1,1)$ is plotted in the bottom left corner. Try replacing the fourth argument in `surf`, for the seashell example, with K , to paint the seashell surface with the Mandelbrot set.

18 Sparse Matrix Computations

A sparse matrix is one with mostly zero entries. MATLAB provides the capability to take advantage of the sparsity of matrices.

18.1 Storage modes

MATLAB has two storage modes, full and sparse, with full the default. Currently, only `double` or `logical` vectors or two-dimensional arrays can be stored in the sparse mode. The functions `full` and `sparse` convert between the two modes. Nearly all MATLAB operators and functions operate seamlessly on both full and sparse matrices. For a matrix A , full or sparse, `nnz(A)` returns the number of nonzero elements in A .

An m -by- n sparse matrix is stored as a set of sparse columns, where each column is represented as a packed list of nonzero values and their row indices. Thus, if A is sparse, `x=A(9, :)` takes much more time than `x=A(:, 9)`, and `s=A(4, 5)` is also slow. To get high performance when

dealing with sparse matrices, use matrix expressions instead of `for` loops and vector or scalar expressions. If you must operate on the rows of a sparse matrix `A`, compute the transpose (`C=A'`) and work with the columns of `C` instead.

If a full tridiagonal matrix `F` is created via, say,

```
F = randi([0 9], 6)
F = triu(tril(F,1), -1)
```

then the statement `S=sparse(F)` converts `F` to sparse mode. Try it. Note that the output lists the nonzero entries in column major order along with their row and column indices because of how sparse matrices are stored. The statement `F=full(S)` returns `F` in full storage mode. You can check the storage mode of a matrix `A` with the command `issparse(A)`.

18.2 Generating sparse matrices

A sparse matrix is usually generated directly rather than by applying the function `sparse` to a full matrix. A sparse banded matrix can be easily created via the function `spdiags` by specifying diagonals. For example, a familiar sparse tridiagonal matrix is created by:

```
m = 6 ;
n = 6 ;
e = ones(n,1) ;
d = -2*e ;
T = spdiags([e d e], [-1 0 1], m, n)
```

Try it. The integral vector `[-1 0 1]` specifies in which diagonals the columns of `[e d e]` should be placed (use `full(T)` to see the full matrix `T` and `spy(T)` to view `T` graphically). Experiment with other values of `m` and `n` and, say, `[-3 0 2]` instead of `[-1 0 1]`. See doc `spdiags` for further features of `spdiags`.

The sparse analogs of `eye`, `zeros`, and `rand` for full matrices are, respectively, `speye`, `sparse`, and `sprand`. The `spones` and `sprand` functions take a matrix argument and replace only the nonzero entries with ones and uniformly distributed random numbers, respectively. `sparse(m,n)` creates a sparse zero matrix. `sprand` also permits the sparsity structure to be randomized. This is a useful method for generating simple sparse test matrices, but be careful. Random sparse matrices are not truly “sparse” because they experience catastrophic fill-in when factorized. Sparse matrices arising in real applications typically do not share this characteristic (see www.cise.ufl.edu/research/sparse/matrices).

The versatile function `sparse` also permits creation of a sparse matrix via listing its nonzero entries:

```
i = [1 2 3 4 4 4] ;
j = [1 2 3 1 2 3] ;
s = [5 6 7 8 9 10] ;
S = sparse(i, j, s, 4, 3)
full(S)
```

The last two arguments to `sparse` in the example above are optional. They tell `sparse` the dimensions of the matrix; if not present, then `S` is $\max(i)$ -by- $\max(j)$. If there are repeated entries in `[i j]`, then the entries are added together. The commands below create a matrix whose diagonal entries are 2, 1, and 1.

```
i = [1 2 3 1] ;
j = [1 2 3 1] ;
s = [1 1 1 1] ;
S = sparse(i, j, s)
full(S)
```

The entries in `i`, `j`, and `s` can be in any order (the same order for all three arrays, of course).

In general, if the vector `s` lists the nonzero entries of `S` and the integral vectors `i` and `j` list their corresponding row and column indices, then `sparse(i, j, s, m, n)` creates the desired sparse `m`-by-`n` matrix `S`. As another example try:

```
n = 6 ;
e = randi([0 9], n-1, 1) ;
E = sparse(2:n, 1:n-1, e, n, n)
```

Creating a sparse matrix by assigning values to it one at a time is exceedingly slow; never do it if you can avoid it.

The next example constructs the same matrix as `A=sparse(i, j, s, m, n)` (except for handling duplicate entries), but it should never be used because it is so slow:

```
A = sparse(m, n) ;
for k = 1:length(s)
    A(i(k), j(k)) = s(k) ;
end
```

18.3 Computation with sparse matrices

The arithmetic operations and most MATLAB functions can be applied independent of storage mode. The storage mode of the result depends on the storage mode of the operands or input arguments. Operations on full matrices always give full results. If `F` is a full matrix (not a scalar), `S` and `Z` are sparse matrices, and `n` is a (full) scalar, then these operations give sparse results:

| | | | |
|--------------------|----------------------|---------------------|----------------------|
| <code>S+S</code> | <code>S*S</code> | <code>S.*S</code> | <code>S.*F</code> |
| <code>S-S</code> | <code>S/Z</code> | <code>S\Z</code> | <code>-S</code> |
| <code>S'</code> | <code>S.'</code> | <code>inv(S)</code> | <code>chol(S)</code> |
| <code>lu(S)</code> | <code>diag(S)</code> | <code>max(S)</code> | <code>sum(S)</code> |
| <code>S*n</code> | <code>S/n</code> | <code>S^n</code> | <code>S.^n</code> |
| <code>n\S</code> | | | |

These give full results (even if `n` is `full(0)`):

| | | | |
|-----|-----|-----|-----|
| S+F | F\S | S/F | S+n |
| S*F | S\F | F/S | S-n |

A matrix built from blocks, such as $[A, B; C, D]$, is stored in sparse mode if any constituent block is sparse. To compute the eigenvalues or singular values of a sparse matrix S , you must convert S to a full matrix and then use `eig` or `svd`, as `eig(full(S))` or `svd(full(S))`. If S is a large sparse matrix and you wish only to compute some of the eigenvalues or singular values, then you can use the `eigs` or `svds` functions (`eigs(S)` or `svds(S)`).

18.4 Permutation vectors and matrices

Permuting a matrix in MATLAB is often essential for obtaining good performance and reliable numerical results. A permutation can be represented as an index vector or as a sparse matrix. Try this example, which uses the `west0479` test matrix included in MATLAB.

```
load west0479 ; A = west0479 ;
[L,U,P,Q] = lu(A) ;
[L,U,p,q] = lu(A, 'vector') ;
```

Both commands return an LU factorization so that $LU = PAQ$, where P is the row permutation and Q is the column permutation. The permutation matrices are P and Q , so that $L*U$ equals $P*A*Q$. The permutation vectors are p and q , so that $L*U$ equals $A(p, q)$. Sometimes you may have one representation of a permutation (vector or matrix) and need to compute the other one. Here are the rules for conversion, where A is m -by- n .

```
[p j x] = find(P')   converts P*A to A(p, :)
[q j x] = find(Q)    converts A*Q to A(:, q)
P=sparse(1:m, p, 1)  converts A(p, :) to P*A
Q=sparse(q, 1:n, 1)  converts A(:, q) to A*Q
```

18.5 Visualizing matrices

The `spy` function introduced in the last section plots the nonzero pattern of a sparse matrix. `spy` can also be used on full matrices. It is useful for matrix expressions coming from relational operators. Try this example (see Chapter 6 for the `ddom` function):

```
A = [  
-1  2  3 -4  
 0  2 -1  0  
 1  2  9  1  
-3  4  1  1]  
C = ddom(A)  
figure(1) ; spy(A ~= C)  
figure(2) ; spy(A > 2)
```

What you see is a picture of where `A` and `C` differ, and another picture of which entries of `A` are greater than 2.

19 The Symbolic Math Toolbox

The Symbolic Math Toolbox extends the numeric and graphic power of MATLAB by adding the capability of computing and manipulating symbolic mathematical expressions. The Symbolic Math Toolbox is included in the Student Version of MATLAB. Since the Symbolic Math Toolbox is not part of the Professional Version of MATLAB (by default), it may not be installed on your system, in which case this chapter will not apply.

Many of the functions in the Symbolic Math Toolbox have the same names as their numeric counterparts. MATLAB selects the correct one depending on the type of inputs to the function. Typing `doc eig` and `doc symbolic/eig` displays the help for the numeric eigenvalue function and its symbolic counterpart, respectively.

19.1 Symbolic variables

You can declare a variable as symbolic with the `syms` statement. For example,

```
syms x
```

creates a symbolic variable `x`. The statement:

```
syms x real
```

declares to MATLAB that `x` is a symbolic variable with no imaginary part.

You can also assert to MATLAB that `x` is always positive, with `syms x positive`. To clear the `real` status of `x`, use `syms x clear`. Now MATLAB must assume that `x` can be either real or complex.

Symbolic computations are handled by a separate symbolic engine called *MuPAD*, which keeps track of all your symbolic variables. The statement `clear x` deletes the variable from the MATLAB workspace, but not the MuPAD workspace. If you declare `x` as `real`, and then `clear` it and recreate it, MuPAD thinks that `x` is still limited to `real`. Thus, use `syms x clear` to clear the `real` status of `x`, or use `reset(symengine)` to reset the MuPAD symbolic engine and return all symbolic variables to their defaults. The statement `clear all` clears the MATLAB workspace and also resets the MuPAD symbolic engine.

Symbolic variables can be constructed from existing numeric variables using the `sym` function. Try:

```
z = 1/10  
a = sym(z)  
y = rand(1)  
b = sym(y, 'd')
```

although better ways to create `a` include:

```
a = sym('1/10')
a = 1 / sym(10)
```

If you want to ensure a precise symbolic expression, you must avoid numeric computations. Compare these three expressions. The first is only accurate to double-precision numeric computation (about 16 digits). The second and third avoid numeric computation completely.

```
sym(log(2))
sym('log(2)')
log(sym(2))
```

You can create a symbolic abstract function. This example declares $f(x)$ as some unknown function of x :

```
syms x
f = sym('f(x)')
```

The `syms` command and `sym` function have many more options. See `doc syms` and `doc sym`.

19.2 Calculus

The function `diff` computes the symbolic derivative of a function defined by a symbolic expression. First, to define a symbolic expression, you should create symbolic variables and then proceed to build an expression as you would mathematically. For example,

```
syms x
f = x^2 * exp(x)
diff(f)
```

creates a symbolic variable x , builds the symbolic expression $f = x^2 e^x$, and returns the symbolic derivative of f with respect to x : $x^2 \exp(x) + 2*x*\exp(x)$ in MATLAB notation. Try it. Next,


```
syms t
diff(sin(pi*t))
```

returns the derivative of $\sin(\pi t)$, as a function of t .

Here are examples of taking the derivative of an abstract function, illustrating the product, quotient, and reciprocal rules of calculus, and a special case of the chain rule. The function `pretty` displays a symbolic expression in an easier-to-read form resembling typeset mathematics. See Section 19.5 for `simple`.

```
syms x n
f = sym('f(x)')
g = sym('g(x)')
pretty(diff(f*g))
pretty(diff(f/g))
pretty(diff(1/f))
pretty(simple(diff(f^n)))
```

Formats in addition to `pretty` include `latex`, `ccode`, and `fortran`. Try, for example,

```
syms x a b
f = x/(a*x+b)
pretty(f)
g = int(f)
pretty(g)
latex(g)
ccode(g)
fortran(g)
int(g)
pretty(ans)
```

Partial derivatives can also be computed. Try:

```
syms x y
g = x*y + x^2
diff(g)           computes g/x
```

| | |
|-------------------------|-----------------------|
| <code>diff(g, x)</code> | also computes g / x |
| <code>diff(g, y)</code> | computes g / y |

To permit omission of the second argument for functions such as the above, MATLAB chooses a default symbolic variable for the symbolic expression. The `findsym` function returns the default choice. Its rule is to choose the variable whose name is nearest `x` in the alphabet. You can, of course, override the default choice as shown above. Try, for example,

```
syms x x1 x2 theta
F = x * (x1*x2 + x1 - 2)
findsym(F,1)
diff(F, x)           computes F / x
diff(F, x1)          computes F / x_1
diff(F, x2)          computes F / x_2
G = cos(theta*x)
diff(G, theta)       computes G/
```

`diff` can compute second or higher-order derivatives. The second derivative of $\sin(2x)$ is given by either of the following two examples:

```
diff(sin(2*x), 2)
diff(sin(2*x), x, 2)
```

With a numeric argument, `diff` is the difference operator of basic MATLAB, which can be used to numerically approximate the derivative of a function. See `doc diff` or `help diff` for the numeric function, and `doc symbolic/diff` or `help sym/diff` for the symbolic derivative function.

The function `int` attempts to compute the indefinite integral (antiderivative) of a function defined by a symbolic expression. Try, for example,

```

syms a b t x y z theta
int(sin(a*t + b))
int(sin(a*theta + b), theta)
int(x*y^2 + y*z, y)
int(x^2 * sin(x))

```

Note that, as with `diff`, when the second argument of `int` is omitted, the default symbolic variable (as selected by `findsym`) is chosen as the variable of integration. In some instances, `int` is unable to give a result in terms of elementary functions. Consider, for example,

```

int(exp(-x^2))
int(sqrt(1 + x^3))

```

In the first case the result is given in terms of the error function `erf`, whereas in the second, the result is given in terms of `ellipticF`, a function defined by an integral.

Here is a basic integral rule with an abstract function:

```

f = sym('f(x)')
int(diff(f) / f)

```

Definite integrals can also be computed by using additional input arguments. Try, for example,

```

int(sin(x), 0, pi)
int(sin(theta), theta, 0, pi)

```

In the first case, the default symbolic variable `x` was used as the variable of integration to compute:

$$\int_0^{\pi} \sin x dx$$

whereas, in the second, `theta` was chosen. Other definite integrals you can try are:

```
int(x^5, 1, 2)
int(log(x), 1, 4)
int(x * exp(x), 0, 2)
int(exp(-x^2), 0, inf)
```

It is important to realize that the results returned are symbolic expressions, not numeric ones. The function `double` converts these into MATLAB floating-point numbers, if desired. For example, the result returned by the first integral above is $21/2$. Entering `double(ans)` then returns the MATLAB numeric result `10.5000`.

Alternatively, you can use the function `vpa` (variable precision arithmetic; see Section 19.3) to convert the expression into a symbolic number of arbitrary precision. For example,

```
int(exp(-x^2), 0, inf)
```

gives the result:

```
pi^(1/2)/2
```

Then the statement:

```
vpa(ans, 25)
```

symbolically gives the result to 25 significant digits:

```
.8862269254527580136490837
```

You may wish to contrast these techniques with the MATLAB numerical integration functions `quad` and `quadl` (see Section 20.4).

The `limit` function is used to compute the symbolic limits of various expressions. For example,

```
syms h n x
limit((1 + x/n)^n, n, inf)
```

computes $\lim_{n \rightarrow \infty} (1 + x/n)^n$ as (`inf` represents ∞ in a MATLAB). You should also try:

```
limit(sin(x), x, 0)
limit((sin(x+h)-sin(x))/h, h, 0)
```

The `taylor` function computes the Maclaurin and Taylor series of symbolic expressions. For example,

```
taylor(cos(x) + sin(x))
```

returns the fifth order Maclaurin polynomial approximating $\cos x + \sin x$. This returns the eighth degree Taylor approximation to $\cos x^2$ centered at the point $x_0 = \pi$:

```
taylor(cos(x^2), 8, x, pi)
```

19.3 Variable precision arithmetic

Three kinds of arithmetic operations are available:

| | |
|----------|--|
| numeric | floating-point arithmetic in MATLAB |
| rational | exact symbolic arithmetic in MuPAD |
| VPA | variable precision arithmetic in MuPAD |

One can obtain exact rational results with, for example,

```
s = simple(sym('13/17 + 17/23'))
```

You are already familiar with numeric computations. For example, with `format long`,

```
pi*log(2)
```

gives the numeric result 2.17758609030360.

Numeric computations in MATLAB are done in approximately 16 decimal digit floating-point arithmetic. With `vpa`, you can obtain results to arbitrary precision, within the limitations of time and memory. Try:

```
vpa('pi * log(2)')
vpa(sym(pi) * log(sym(2)))
vpa('pi * log(2)', 50)
```

The default precision for `vpa` is 32. Hence, the two results are accurate to 32 digits, whereas the third is accurate to the specified 50 digits. Ludolf van Ceulen (1540-1610) calculated π to 36 digits. The Symbolic Math Toolbox can quite easily compute π to 10,000 digits or more. Try:

```
pretty(vpa('pi', 10000))
```

The default precision can be changed with the function `digits`. While the rational and VPA computations can be more accurate, they are in general slower than numeric computations. If you pass a numeric expression to `vpa`, MATLAB evaluates it numerically first, so use a symbolic expression or place the expression in quotes. Compare your results, above, with:

```
vpa(pi * log(2))
```

which is accurate to only about 16 digits (even though 32 digits are displayed). This is a common mistake with the use of `vpa` and the Symbolic Math Toolbox in general.

19.4 Numeric and symbolic substitution

Once you have a symbolic expression, you can modify it or evaluate it numerically with the `subs` function. The function `subs` replaces all occurrences of the symbolic variable in an expression by a specified second expression. This corresponds to the composition of two functions. Try, for example,

```
syms x s t
subs(sin(x), x, pi/3)
subs(sin(x), x, sym(pi)/3)
```

```

double(ans)
subs(g*t^2/2, t, sqrt(2*s))
subs(sqrt(1-x^2), x, cos(x))
subs(sqrt(1-x^2), 1-x^2, cos(x))

```

The general idea is that in the statement `subs(expr, old, new)` the third argument (`new`) replaces the second argument (`old`) in the first argument (`expr`). Compare the first two examples above. The result is numeric if all variables in the expression are substituted with numeric values, or symbolic otherwise.

You can substitute multiple symbolic expressions, numeric expressions, or any combination, using cell arrays of symbolic or numeric values. Try:

```

syms x y
S = x^y
subs(S, x, 3)
subs(S, {x y}, {3 2})
subs(S, {x y}, {3 x+1})

```

You can perform multiple substitutions for any one symbolic variable, which returns a matrix of symbolic expressions or numeric values. Try this, which constructs a function `F`, finds its derivative `G`, and evaluates `G` at `x=0:.1:1`.

```

syms x
F = x^2 * sin(x)
G = diff(F)
subs(G, x, 0:.1:1)

```

Also try:

```

a = subs(S, y, 1:9)
a(3)
a = subs(S, {x y}, {2*ones(9,1) (1:9)'})

```

The first expression returns a row vector containing the symbolic expressions x , x^2 , ... x^9 . The second substitution returns a numeric column vector containing the powers of 2 from 2 to 512. Each entry in the cell array must be of the same size.

Substitution acts just like composition in calculus. Taking the derivative of function composition illustrates the chain rule of calculus:

```
f = sym('f(x)')
g = sym('g(x)')
diff(subs(f, g))
pretty(ans)
```

19.5 Algebraic simplification

Convenient algebraic manipulations of symbolic expressions are available.

The function `expand` distributes products over sums and applies other identities, whereas `factor` attempts to do the reverse. The function `collect` views a symbolic expression as a polynomial in its symbolic variable (which may be specified) and collects all terms with the same power of the variable. To explore these capabilities, try the following:

```
syms a b x y z
expand((a + b)^5)
factor(ans)
expand(exp(x + y))
expand(sin(x + 2*y))
factor(x^6 - 1)
collect(x * (x * (x + 3) + 5) + 1)
horner(ans)
collect((x + y + z)*(x - y - z))
```



```

collect((x + y + z)*(x - y - z), y)
collect((x + y + z)*(x - y - z), z)
diff(x^3 * exp(x))
factor(ans)

```

The powerful function `simplify` applies many identities in an attempt to reduce a symbolic expression to a simple form. Try, for example,

```

simplify(sin(x)^2 + cos(x)^2)
simplify(exp(5*log(x) + 1))
d = diff((x^2 + 1)/(x^2 - 1))
simplify(d)

```

The alternate function `simple` computes several simplifications and chooses the shortest of them. It often gives better results on expressions involving trigonometric functions. Try the following commands:

```

simplify(cos(x) + (-sin(x)^2)^(1/2))
simple (cos(x) + (-sin(x)^2)^(1/2))
simplify((1/x^3+6/x^2+12/x+8)^(1/3))
simple ((1/x^3+6/x^2+12/x+8)^(1/3))

```

The function `factor` can also be applied to a numeric or symbolic integer argument to compute the prime factorization of the integer. Try, for example,

```

factor(4248)
factor(sym('4248'))
factor(sym('4549319348693'))
factor(sym('4549319348597'))

```

19.6 Two-dimensional graphs

The MATLAB function `fplot` (see Section 15.3) provides a tool to conveniently plot the graph of a function. Since it is, however, the name or handle of the function to be plotted

that is passed to `fplot`, the function must first be defined in an M-file (or else be a built-in function or anonymous function).

In the Symbolic Math Toolbox, `ezplot` lets you plot the graph of a function directly from its defining symbolic expression. For example, to plot a function of one variable try:

```
syms t x y
ezplot(sin(2*x))
ezplot(t + 3*sin(t))
ezplot(2*x/(x^2 - 1))
ezplot(1/(1 + 30*exp(-x)))
```

By default, the x -domain is $[-2\pi, 2\pi]$. This can be overridden by a second input variable, as with:

```
ezplot(x*sin(1/x), [-.2 .2])
```

You will often need to specify the x -domain and y -domain to zoom in on the relevant portion of the graph. Compare, for example,

```
ezplot(x*exp(-x))
ezplot(x*exp(-x), [-1 4])
```

`ezplot` attempts to make a reasonable choice for the y -axis. With the last figure, select **Edit ► Axes Properties** in the Figure window and modify the y -axis to start at -3 , and hit enter. Changing the x -axis in the Property Editor does not cause the function to be reevaluated, however.

To plot an implicitly defined function of two variables, try this:

```
ezplot(x^2 + y^2 - 1)
```

which plots the unit circle over the default x -domain and y -domain of $[-2\pi, 2\pi]$. Since this is too large for the unit circle, try this instead:

```
ezplot(x^2 + y^2 - 1, [-1 1 -1 1])
```

The first two entries in the second argument define the x -domain. The second two define the y -domain. If the y -domain is the same as the x -domain, then you only need to specify the x -domain (see the next example).

In both of the previous examples, you plotted a circle but it looks like an ellipse. This is because with auto-scaling, the x and y axes are not equal. Fix this by typing:

```
axis equal
```

To plot a parametrized function, provide two function arguments. Try this, which plots a cycloid over the domain -4 to 4 .

```
x = t-sin(t)
y = 1-cos(t)
ezplot(x,y, [-4*pi 4*pi])
```

The `ezpolar` function creates polar plots. Try creating a three-leaf rose and a hyperbolic spiral:

```
ezpolar(sin(3*t))
ezpolar(1/t, [1 10*pi])
```

Entering the command `funtool` (no input arguments) brings up three graphic figures, two of which will display graphs of functions and one containing a control panel. This function calculator lets you manipulate functions and their graphs for pedagogical demonstrations. Type `doc funtool` for details.

19.7 Three-dimensional surface graphs

MATLAB has several easy-to-use functions for creating three-dimensional surface graphs.

| | |
|-------------------------|------------------------------|
| <code>ezcontour</code> | 3-D contour plot |
| <code>ezcontourf</code> | 3-D filled contour plot |
| <code>ezmesh</code> | 3-D mesh plot |
| <code>ezmeshc</code> | 3-D mesh and contour plot |
| <code>ezsurf</code> | 3-D surface plot |
| <code>ezsurf c</code> | 3-D surface and contour plot |

Here is an interesting function to try:

```
syms x y
f = sin((x^2+y)/2)/(x^2-x+2)
ezsurf(f)
```

Try each of these plotting functions with this function f . For this function, `ezcontourf` gives more information than `ezcontour` because the function fluctuates across a single contour in several regions. The default domain for x and y is -2 to 2 . You can change this with an optional second parameter. Try:

```
ezsurf(f, [-4 4 -pi pi])
```

which defines the x -domain as -4 to 4 , and the y -domain as $-\pi$ to π . The appearance of the plots can be modified by the shading command after the figure is plotted (see Section 16.5).

Functions with discontinuities or singularities can cause difficulty for these graphing functions. Here is an example that is similar to the function f above,

```
f = sin(abs(sqrt(x^2+y)))/(x^2-x+2)
ezsurf(f)
```

Click the rotate button



in the Figure window, then click and drag the graph itself. The function touches the $z = 0$ plane along the curve

defined by $y = -x^2$, but the graph does not capture this property very well because the gradient is not defined along that curve. To plot this function accurately, you would need to define your own mesh points, compute the function numerically, and use `surf` or another numerical graphing function instead.

The four mesh and surface functions listed above can also plot parametrized surface functions. The first three arguments are the $x(s,t)$, $y(s,t)$, and $z(s,t)$ functions, and the last (optional) argument defines the domain. To create a symbolic seashell, start a new figure and define your symbolic variables:

```
figure(1) ; clf
syms u v x y z
```

Next, define x , y , and z , just as you did for the numeric seashell in Section 16.3 (do not use the `linspace` and `meshgrid` commands). The MATLAB statements are the same, except that now these variables are defined symbolically, not numerically. Plot the symbolic surface:

```
ezsurf(x,y,z,[0 2*pi])
```

Turn off the axis and set the shading, material, lighting, color, and viewpoint, just as in Sections 16.3 and 16.6.

19.8 Three-dimensional curves

Parameterized 3-D curves are plotted with `ezplot3`. Try this example, which combines a folium of Descartes in the x - y plane with a sinusoid in the z direction:

```
syms x y z t
x = 3*t / (1+t^3)
y = 3*t^2 / (1+t^3)
z = sin(t)
ezplot3(x,y,z)
```

The default domain for t is 0 to 2 . Here is an example of how to change it:

```
ezplot3(x,y,z,[-.9 10])
```

The `ezplot3` function can animate the plot so that you can observe how x , y , and z depend on t . Try both of these examples. The ball moves quickly over the first half of the curve but more slowly over the second half:

```
ezplot3(x,y,z,'animate')
ezplot3(x,y,z,[-.9 10], 'animate')
```

The 2-D curve plotting function `ezplot` cannot animate its plot, but you can do the same with `ezplot3`. Just give it a z argument of zero. Try:

```
syms z
z = 0
ezplot3(x,y,z,'animate')
```

and then rotate the graph so that you are viewing the x - y plane. Click the rotate button and drag the graph, or right-click the graph and select Go to X-Y view. Then click the Repeat button in the bottom left corner.

19.9 Symbolic matrix operations

This toolbox lets you represent matrices in symbolic form as well as numeric form. Given numeric matrix a , `sym(a)` converts a to a symbolic matrix. Try:

```
a = magic(3)
A = sym(a)
```

The function `double(A)` converts the symbolic matrix back to a numeric one.

Symbolic matrices can also be generated directly. Try, for example,

```

syms a b s
K = [a + b, a - b ; b - a, a + b]
G = [cos(s), sin(s); -sin(s), cos(s)]

```

Here G is a symbolic Givens rotation matrix.

Algebraic matrix operations with symbolic matrices are computed as you would in MATLAB:

| | |
|-----|-----------------------|
| K+G | matrix addition |
| K-G | matrix subtraction |
| K*G | matrix multiplication |
| K\G | left matrix division |
| K/G | right matrix division |
| G^2 | power |
| G.' | array transpose |
| G' | matrix transpose |

These operations are illustrated by the following, which use the matrices K and G generated above. The last expression demonstrates that G is orthogonal.

```

L = K^2
collect(L)
factor(L)
diff(L, a)
int(K, a)
J = K/G
simplify(J*G)
simplify(G*(G.'))

```

The initial result of the basic operations may not be in the form desired for your application; so it may require further processing with `simplify`, `collect`, `factor`, or `expand`. These functions, as well as `diff` and `int`, act entry-wise on a symbolic matrix.

19.10 Symbolic linear algebraic functions

In addition to the MATLAB matrix operators, some of the functions that are useful for symbolic computation include:

| | |
|-----------------------|------------------------------|
| <code>det</code> | determinant |
| <code>inv</code> | inverse |
| <code>null</code> | basis for null space |
| <code>colspace</code> | basis for column space |
| <code>eig</code> | eigenvalues and eigenvectors |
| <code>poly</code> | characteristic polynomial |
| <code>svd</code> | singular value decomposition |
| <code>jordan</code> | Jordan canonical form |

These functions take either symbolic or numeric matrices as inputs. Computations with symbolic rational matrices are carried out exactly. The `inv` and `det` functions should rarely be used for numeric matrices, but work well for symbolic matrices. Try, for example,

```
c = randi([0 9], 4)
D = sym(c)
A = inv(D)
inv(A)
inv(A) * A
det(A)
b = ones(4,1)
x = A\b
A*x
A^3
```

For the matrices `K` and `G` defined in the previous section, try:

```
inv(K)
simplify(inv(G))
p = poly(G)
simplify(p)
pretty(simple(solve(p)))
```



```
pretty(simple(eig(G)))
```

```
y = simple(svd(G))  
pretty(y)
```

```
syms s real  
r = simple(svd(G))  
syms s clear
```

Compare y and r . If you do not declare s as `real`, the `svd` of the 2-by-2 Givens rotation matrix does not demonstrate that the singular values are all equal to one.

A typical exercise in a linear algebra course is to determine those values of t so that, say,

$$A = \begin{bmatrix} t & 1 & 0 \\ 1 & t & 1 \\ 0 & 1 & t \end{bmatrix}$$

is singular. The following simple computation:

```
syms t  
A = [t 1 0 ; 1 t 1 ; 0 1 t]  
p = det(A)  
solve(p)
```

shows that this occurs for $t = 0$, $\sqrt{2}$, and $-\sqrt{2}$. See the next section (19.11) for the `solve` function.

The function `eig` attempts to compute the eigenvalues and eigenvectors in an exact closed form. Try, for example,

```
for n = 4:6  
    A = sym(magic(n))  
    [V, D] = eig(A)  
end
```

Except in special cases, however, the result is usually too complicated to be useful. Try, for example, executing:

```
A = sym(randi([0 9], 3))
[V, D] = eig(A)
pretty(V)
```

a few times. The eigenvectors V are not very pretty. For this reason, it is usually more efficient to do the computation in variable-precision arithmetic, as is illustrated by:

```
A = vpa(randi([0 9], 3))
[V, D] = eig(A)
```

The comments above regarding `eig` apply as well to the computation of the singular values of a matrix by `svd`, as can be observed by repeating some of the computations above using `svd` instead of `eig`.

19.11 Solving algebraic equations

For a symbolic expression S , the statement `solve(S)` will attempt to find the values of the symbolic variable for which the symbolic expression is zero. The `solve` function cannot solve all equations. It does well with low-degree polynomial equations, but can have difficulty with trigonometric or other transcendental equations. If an exact symbolic solution is found, you can convert it to a floating-point solution via `double`. If an exact symbolic solution cannot be found, then a variable precision one is computed. Here are three similar equations. The first returns a symbolic result, the second a numeric `vpa` result, and the last one fails.

```
syms x b
solve(2^x - b)
solve(2^x + 3^x - 1)
solve(2^x + 3^x - b)
```

If you have an expression that contains several symbolic variables, you can solve for a particular variable by

including it as an input argument in `solve`. The default variable solved for is `x`, or the one closest (alphabetically) to `x` if `x` is not a variable in the equation. Try this example:

```
syms x
f = x*cos(x) - 1
s = solve(f)
```

Here are some more examples:

```
Z = solve(x^2 + 2*x - 1)
pretty(Z)
```

```
syms x y z
f = x^2 + y^2 + z^2 + x*y*z
a = solve(f)
pretty(a)
simplify (subs (f, 'x', a))
```

```
b = solve(f, y)
pretty(b)
simplify (subs (f, 'y', b))
```

`a` is a solution in the variable `x`, and `b` is a solution in `y`. The inputs to `solve` can be quoted strings or symbolic expressions. To solve an equation whose right-hand side is not zero, use a quoted string or rearrange the equation:

```
X = solve('log(x) = x - 2')
X = solve(log(x) - x + 2)
vpa(X)
X = solve('2^x = x + 2')
X = solve(2^x - x - 2)
vpa(X)
```

This solves for the variable `a`:

```
solve('1 + (a+b)/(a-b) = b', 'a')
```

This solves the same for b, finding two solutions:

```
solve('1 + (a+b)/(a-b) = b', 'b')
```

The solution to the next example should be familiar. Try:

```
syms a b c x
solve(a*x^2 + b*x + c, x)
pretty(ans)
```

The function `solve` can also compute solutions of systems of general algebraic equations. To solve, for example, the nonlinear system below, it is convenient to first express the equations as strings.

```
S1 = 'x^2 + y^2 + z^2 = 2'
S2 = 'x + y = 1'
S3 = 'y + z = 1'
```

The solutions are then computed by:

```
[X, Y, Z] = solve(S1, S2, S3)
```

If you request the set of solutions in a single output with multiple unknowns, a `struct` is returned. Try

```
a = solve(S1, S2, S3)
a.x
a.y
a.z
```

If you alter S2 to:

```
S2 = 'x + y + z = 1'
```

then the solution computed by:

```
[X, Y, Z] = solve(S1, S2, S3)
```

will be given in terms of square roots. If you prefer solving symbolic expressions instead of strings, try

```

syms x y z
S1 = x^2 + y^2 + z^2 - 2
S2 = x + y - 1
S3 = y + z - 1
a = solve(S1, S2, S3)

```

The output of `solve` is in alphabetical order. For example, if you changed the name of `z` to `w` in these three equations the results would be returned in the order `[W,X,Y]`. The `solve` function can take quoted strings or symbolic expressions as input arguments, or a mixture of both.

19.12 Solving differential equations

The function `dsolve` solves ordinary differential equations. The symbolic differential operator is `D`:

```
Y = dsolve('Dy = x^2*y', 'x')
```

produces the solution $C2 \cdot \exp(x^3/3)$ to the differential equation $y' = x^2 y$, where $C2$ is some unspecified constant. The solution to an initial value problem can be computed by adding a second symbolic expression giving the initial condition.

```
Y = dsolve('Dy = x^2*y', 'y(0)=4', 'x')
```

Notice that in both examples above, the final input argument, `'x'`, is the independent variable of the differential equation. If no independent variable is supplied to `dsolve`, then it is assumed to be `t`. The higher order symbolic differential operators `D2`, `D3`, ... can be used to solve higher order equations. Try:

```

dsolve('D2y + y = 0')
dsolve('D2y + y = x^2', 'x')
dsolve('D2y + y = x^2', ...
      'y(0) = 4', 'Dy(0) = 1', 'x')

```

```
dsolve('D2y - Dy = 2*y')
dsolve('D2y + 6*Dy = 13*y')
dsolve('D3y - 3*Dy = 2*y')
pretty(ans)
```

Systems of differential equations can also be solved:

```
E1 = 'Dx = -2*x + y'
E2 = 'Dy = x - 2*y + z'
E3 = 'Dz = y - 2*z'
```

The solutions are then computed with:

```
[x, y, z] = dsolve(E1, E2, E3)
pretty(x)
pretty(y)
pretty(z)
```

You can explore further details with `doc dsolve`.

19.13 Further MuPAD access

In all of the examples you have worked through so far, you accessed the MuPAD symbolic engine through MATLAB commands. You can access more features in the Symbolic Math Toolbox via a powerful GUI interface called the MuPAD Notebook. Type `mupadwelcome` at the command line, and create a new Notebook. Once the GUI starts, you can enter commands directly into the MuPAD Notebook. The syntax of the MuPAD language is much like Pascal. It is very different than the MATLAB language and beyond the scope of this primer. For help, type `doc(symengine)` in the MATLAB Command Window (be sure to use the parentheses) or click the help button in the menu bar at the top of the MuPAD Notebook. For an extensive tutorial on MuPAD, click on the PDF documentation link in the MuPAD Help window, and read *The MuPAD Tutorial*.

20 Polynomials, Interpolation, and Integration

Polynomial functions are frequently used by numerical methods, and thus MATLAB provides several routines that operate on polynomials and piece-wise polynomials.

20.1 Representing polynomials

Polynomials are represented as vectors of their coefficients, so $f(x) = x^3 - 15x^2 - 24x + 360$ is simply

$$p = [1 \ -15 \ -24 \ 360]$$

The roots of this polynomial (15, $\sqrt{24}$, and $-\sqrt{24}$):

$$r = \text{roots}(p)$$

Given a vector of roots r , `poly(r)` constructs the coefficients of the polynomial with those roots. With a little bit of roundoff error, you should see the coefficients of the original polynomial. Try it.

The `poly` function also computes the characteristic polynomial of a matrix whose roots are the eigenvalues of the matrix. The polynomial $f(x)$ was chosen as the characteristic equation of the `magic(3)` matrix. Try:

```
A = magic(3)
s = poly(A)
roots(s)
eig(A)
f = poly(sym(A))
solve(f)
eig(sym(A))
```

20.2 Evaluating polynomials

You can evaluate a polynomial at one or more points with the `polyval` function.

```
x = -1:2 ;
y = polyval(p,x)
```

Compare `y` with $x.^3 - 15*x.^2 - 24*x + 360$. You can construct a symbolic polynomial from the coefficient vector `p` and back again:

```
syms x
f = poly2sym(p)
sym2poly(f)
```

20.3 Polynomial interpolation

Polynomials are useful as easier-to-compute approximations of more complicated functions, via a Taylor series expansion or by a low-degree best-fit polynomial using the `polyfit` function. The statement:

```
p = polyfit(x, y, n)
```

finds the best-fit `n`-degree polynomial that approximates the data points `x` and `y`. Try this example:

```
x = 0:.1:pi ;
y = sin(x) ;
p = polyfit(x, y, 5)
figure(1) ; clf
ezplot(@sin, [0 pi])
hold on
xx = 0:.001:pi ;
plot(xx, polyval(p,xx), 'r-')
```

Piecewise-polynomial interpolation is typically better than a single high-degree polynomial. Try this example:


```

n = 10
x = -5:.1:5 ;
y = 1 ./ (x.^2+1) ;
p = polyfit(x, y, n)
figure(2) ; clf
ezplot(@(x) 1 ./ (x.^2+1))
hold on
xx = -5:.01:5 ;
plot(xx, polyval(p,xx), 'r-')

```

As n increases, the error in the center improves but increases dramatically near the endpoints. The `spline` and `pchip` functions compute piecewise-cubic polynomials which are better for this problem. Try:

```

figure(3) ; clf
yy = spline(x, y, xx) ;
plot(xx, yy, 'g')

```

Alternatively, with two inputs, `spline` and `pchip` return a struct that contains the piecewise polynomial, which can be later evaluated with `ppval`. Try:

```

figure(4) ; clf
pp = spline(x, y)
yy = ppval(pp, xx) ;
plot(xx, yy, 'c')

```

The `spline` function computes the conventional cubic spline, with a continuous second derivative. In contrast, `pchip` returns a piecewise polynomial with a discontinuous second derivative, but it preserves the shape of the function better than `spline`. `pchip` and `spline` have the same input and output arguments; they just compute different piecewise cubic polynomials that interpolate the data.

Polynomial multiplication and division (convolution and deconvolution) are performed by the `conv` and `deconv` functions. MATLAB also has a built-in fast Fourier transform function, `fft`.

20.4 Numeric integration (quadrature)

The `quad` and `quadl` functions are the numeric equivalent of the symbolic `int` function, for computing a definite integral. Both rely on polynomial approximations of subintervals of the function being integrated. `quadl` is a higher-order method that can be more accurate. The syntax `quad(@f, a, b)` computes an approximate of the definite integral,

$$\int_a^b f(x) dx$$

Compare these examples:

```
quad(@(x) x.^5, 1, 2)
quad(@log, 1, 4)
quad(@(x) x .* exp(x), 0, 2)
quad(@(x) exp(-x.^2), 0, 1e6)
quad(@(x) sqrt(1 + x.^3), -1, 2)
quad(@(x) real(airy(x)), -3, 3)
```

with the same results from the Symbolic Math Toolbox:

```
int('x^5', 1, 2)
int('log(x)', 1, 4)
int('x * exp(x)', 0, 2)
int('exp(-x^2)', 0, inf)
int('sqrt(1 + x^3)', -1, 2)
int('real(airy(x))', -3, 3)
```

Symbolic integration (`int`) can find a simple closed-form solution to the first four examples, above. The fifth example is not in closed form, and the last example cannot be solved by `int` at all. It can only be computed numerically, with `quad`.

The function `f` provided to `quad` and `quadl` must operate on a vector `x` and return `f(x)` for each component of the

vector. An optional fourth argument to `quad` and `quadl` modifies the error tolerance. Double and triple integrals are evaluated by `dblquad` and `triplequad`. Array-valued functions are integrated with `quadv`.

21 Solving Equations

Solving equations is at the core of what MATLAB does. First, we will look back at the kinds of equations you have seen so far in the book. Next, in this chapter you will learn how MATLAB finds numerical solutions to nonlinear equations and systems of differential equations.

21.1 Symbolic equations

The Symbolic Math Toolbox can solve symbolic linear systems of equations using backslash (Section 19.9), nonlinear systems of equations using the `solve` function (Section 19.11), and systems of differential equations using `dsolve` (Section 19.12). The rest of MATLAB focuses on finding numeric solutions to equations, not symbolic.

21.2 Linear systems of equations

The pervasive and powerful backslash operator solves linear systems of equations of the form $A*x=b$ (Sections 3.3, 18.3, and 19.9). The expression $x=A\b$ handles the case when A is square or rectangular (under- or over-determined), full-rank or rank-deficient, full or sparse, numeric or symbolic, symmetric or unsymmetric, and real or complex. It efficiently handles triangular, permuted triangular, symmetric positive-definite, and Hessenberg matrices. When the matrix has specific known properties, the `linsolve` function can be faster (see Section 5.5, and a related Fortran code in Chapter 13).

21.3 Polynomial roots

Solving the function $f(x) = 0$ for the special case when f is a polynomial and x is a scalar is discussed in Section 20.1. The more general case is discussed in the next section.

21.4 Nonlinear equations

The `fzero` function finds a numerical solution to $f(x) = 0$ when f is a real function over the real domain (both x and $f(x)$ must be real scalars). This is useful when an analytic solution is not possible. You must supply either an initial guess, or two values of x for which the function differs in sign. Here is a simple example that computes $\sqrt{2}$.

```
fzero(@(x) x^2-2, 1)
```

The `fzero` function can only find an x for which $f(x)$ crosses the x -axis. If the sign of $f(x)$ does not differ on either side of x , the zero point x will not be found. Try this example. Create two anonymous functions (regular M-files can also be used):

```
fa = @(x) (x-2)^2  
fb = @(x) (x-2)^2 - 1e-12
```

The zero of `fa` cannot be found, and neither can a zero of `fb` be found if your initial guess is too far from the solution. Both of these examples will fail:

```
fzero(fa, 1)  
fzero(fb, 3)
```

Both functions can be easily solved with the Symbolic Math Toolbox. Note that `solve` correctly reports that 2 is a double root of $(x-2)^2$. Try:

```

syms x
solve((x-2)^2)
s = solve((x-2)^2-1e-12)
fb(s(1))
fb(s(2))

```

The zeros of fb can be found numerically only if you guess close enough, or if you provide two initial values of x for which fb differs in sign:

```

fzero(fb, 2)
format long
fzero(fb, [2 3])
fzero(fb, [1 2])

```

All of the functions used in the examples so far can be solved analytically. Here is one that cannot (also plot the function so that you can see where it crosses the x -axis):

```

f = @(x) real(airy(x))
figure(1) ; clf
ezplot(f)
solve('real(airy(x))')

```

The first zero is easy to compute numerically:

```

s = fzero(f, 0)
hold on
plot(s, f(s), 'ro')

```

The `fminbnd` function finds a local minimum of a function, given a fixed interval. This example looks for a minimum in the range -4 to 0.

```

xmin = fminbnd(f, -4, 0)
plot(xmin, f(xmin), 'ko')

```

To find a local maximum, simply find the minimum of $-f$.

```
g = @(x) -real(airy(x))
xmax = fminbnd(g, -5, -4)
plot(xmax, f(xmax), 'ko')
```

Now find the zero between these two values of x :

```
s = fzero(f, [xmax xmin])
plot(s, f(s), 'ro')
```

The `fminbnd` function can only find minima of real-valued functions of a real scalar. To find a local minimum of a scalar function of a real vector x , use `fminsearch` instead. It takes an initial guess for x rather than an interval. Try this example:

```
xmin = fminsearch(f, -6)
plot(xmin, f(xmin), 'kx')
```

21.5 Ordinary differential equations

The symbolic solution to the ordinary differential equation $y' = t^2y$ appears in Section 19.12. Here is the same ODE, with a specific initial value of $y(0) = 1$, along with its symbolic solution.

```
syms t y
Y = dsolve('Dy = t^2*y', 'y(0)=1', 't')
```

Not all ODEs can be solved analytically, so MATLAB provides a suite of numerical methods. The primary method for initial value problems is `ode45`. For an ODE of the form $y' = f(t, y)$, the basic usage is:

```
[tt,yy] = ode45(@f, tspan, y0)
```

where `@f` is a handle for a function `yprime=f(t,y)` that computes the derivative of y , `tspan` is the time span to compute the solution (a 2-element vector), and `y0` is the initial value of y . The variable `t` is a scalar, but `y` can be a

vector. The solution is a column vector `tt` and a matrix `yy`. At time `tt(i)` the numerical approximation to `y` is `yy(i,:)`.

To solve this ODE numerically, create an anonymous function:

```
f1 = @(t,y) t^2 * y
```

Now you can compute the numeric solution:

```
[tr,yr] = ode45(f1, [0 2], 1) ;
```

Compare it with the symbolic solution:

```
ts = 0:.05:2 ;  
ys = subs(Y, t, ts) ;  
figure(2) ; clf  
plot(ts,ys, 'r-', tr,yr, 'bx') ;  
legend('symbolic', 'numeric')  
ys = subs(Y, t, tr) ;  
[tr ys yr ys-yr]  
err = norm(ys-yr) / norm(ys)
```

To solve higher-order ODEs, you need to convert your ODE into a first-order system of ODEs. Consider the ODE $y'' + y = t^2$ with initial values $y(0) = 1$ and $y'(1) = 0$. The symbolic solution to this ODE appears in Section 19.12, but here is the solution with initial values specified:

```
Y = dsolve('D2y + y = t^2', ...  
          'y(0)=1', 'Dy(0)=0', 't')
```

Define $y_1 = y$ and $y_2 = y'$. The new system is $y_2' = t^2 - y_1$ and $y_1' = y_2$. Create an anonymous function:

```
f2 = @(t,y) [y(2) ; t^2-y(1)]
```

The function `f2` returns a 2-element column vector. The first entry is y_1' and the second is y_2' . We can now solve this ODE numerically:

```
[tr,yy] = ode45(f2, [0 2], [1 0]') ;  
yr = yy(:,1) ;
```

Note that `ode45` returns a 61-by-2 solution `yy`. Row `i` of `yy` contains the numerical approximation to y_1 and y_2 at time `tr(i)`. Compare the symbolic and numeric solutions using the same code for the previous ODE.

`ode45` can return a structure `s=ode45(...)` which can be used by `deval` to evaluate the numerical solution at any time `t` that you specify. There are seven other ODE solvers, able to handle stiff ODEs and for differential algebraic equations. Some can be more efficient, depending on the type of ODE you are trying to solve. Type `doc ode45` for more information.

21.6 Other differential equations

Delay differential equations (DDEs) are solved by `dde23`. The function `bvp4c` solves boundary value ODE problems. Finally, partial differential equations are solved with `pdepe` and `pdeval`. See the online help facility for more information on these ODE, DDE, and PDE solvers.

22 Displaying Results

The `format` command provides basic control over how your results are printed in the Command Window. Try this, for a trigonometric table with a few digits of precision:

```
format short  
x = [0:.1:pi]' ;  
f = {@sin, @cos, @tan, @cot} ;  
y = x ;  
for i = 1:length(f)  
    y = [y f{i}(x)] ;  
end
```



```
disp(y)
```

The `length` function gives the length of a vector or the largest dimension of an array (`length(f)` is 4 in this example). The cell array `f` is used in this example. Another way to construct `y` would be:

```
y = [x sin(x) cos(x) tan(x) cot(x)] ;
```

You can increase the number of digits printed with `format long`, but that does not allow you to define how many digits are printed. If you tried to add $\pi/2$ to the table, the `tan` column would contain a huge (erroneous) value that causes the rest of the digits in the table to be obscured. Try adding the statement `x=[x ; pi/2]` after `x` is first defined.

This problem is where `fprintf` is useful. If you know C, it acts just like the standard C `fprintf`, except that the reference to the file is optional in the MATLAB `fprintf`, and the MATLAB `fprintf` can print arrays. The basic syntax (like `printf` in C) is:

```
fprintf(format_string, arg1, arg2, ...)
```

The format string tells MATLAB how to print each argument (`arg1`, `arg2`, ...). It contains plain text, which is printed verbatim, plus special conversion codes that start with `'%'` (to print an argument) or `'\'` (to print a special character such as a newline, tab, or backslash). The basic syntax for a conversion code is `%W.Pc`, where `W` is the optional field width (the total number of characters used to represent the number), `P` is the optional precision (the number of digits to the right of the decimal point), and `c` is the conversion type. Both `W` and `P` are fixed integers. The dot before the `P` field is required only if `P` is specified. The most common conversion types are:

- d decimal (integer)
- e exponential notation (as in `2.3e+002`)

- f fixed-point notation
- g e or f, whichever is more compact
- s string

Special characters include `\n` for newline, `\t` for tab, and `\\` for backslash itself. Here is a simple example that prints with 8 digits past the decimal point, in a space of 12 characters:

```
fprintf('pi is %12.8f\n', pi)
```

Try changing the 12 to 14, and you will see how `fprintf` pads the string for `pi` to make it 14 characters wide. Note the last character is `'\n'`, which is a newline. If this were excluded, the next line of output would start at the end of this line. Sometimes that is what you want (see below for an example).

Unlike `printf` or `fprintf` in the C language, the MATLAB `fprintf` can print arrays. It accesses an array column by column, and reuses the format string as needed. This simple example prints the `magic(3)` array. It also gives you an example of how to print a backslash and a single quote:

```
A = magic(3)
fprintf('%4.2f %4.2f %4.2f\n', A')
b = (1:3)' ;
fprintf('A\b is [%g %g %g]'\n', A\b);
```

The array `A` is transposed in the first `fprintf`, because `fprintf` cycles through its data column by column, but each use of the format string prints a single line of text as one row of characters on the Command Window.

Fortunately it makes no difference for vectors:

```
fprintf('x is %d\n', 1:5)
fprintf('x is %d\n', (1:5)')
```

Here is a way of adding extra information to your display:

```

fprintf( ...
'row %d is %4.2f %4.2f %4.2f\n', ...
[(1:3)' A']')

```

Here is a revised trigonometric table using `fprintf` instead. A header has been added as well:

```

x = [0:.1:pi]' ;
f = {@sin, @cos, @tan, @cot} ;
y = x ;
fprintf('  x') ;
for i = 1:length(f)
    fprintf('    %s(x)', func2str(f{i}));
    y = [y f{i}(x)] ;
end
fprintf('\n') ;
fprintf( ...
'%3.2f %9.4f %9.4f %9.4f %9.4f\n', y');

```

`fprintf`, by default, prints to the Command Window. You can instead open a file, write to it with `fprintf`, and close the file. Add:

```

fid = fopen('mytable.txt', 'w') ;

```

to the beginning of the example. Add `fid` as the first argument to each `fprintf`. Finally, close the file at the end with the statement:

```

fclose(fid) ;

```

Your table is now in the file `mytable.txt`.

The `sprintf` function is just like `fprintf`, except that it sends its output to a string instead of the Command Window or a file. It is useful for plot titles and other annotation, as in:

```

title(sprintf('The result is %g', pi))

```

You cannot control the field width or precision with a variable as you can in the C `printf` or `fprintf`, but string concatenation along with `sprintf` or `num2str` can help here. Try:

```
for n = 1:16
    s = num2str(n) ;
    s = ['%2d digits: %.' s 'g\n'] ;
    fprintf(s, n, pi) ;
end
```

23 Cell Publishing

Cell publishing creates nicely formatted reports of MATLAB code, Command Window text output, figures, and graphics in HTML, LaTeX, PDF, or XML.

The term *cell publishing* has nothing to do with the cell array data type. In this context, a cell is a section of an M-file that corresponds to a section of your report. A cell starts with a cell divider, which is a comment with two percent signs at the beginning of a line, and ends either at the start of the next cell, or the end of the M-file. Cell publishing is normally done via scripts, not functions.

Create a new M-file with this 2-cell example. Give it the name `myfirstcell.m`.

```
%% Integrate a function
syms x
f = x^2
e = int(f)

%% Plot the results
figure(1)
ezplot(e)
```

Now publish the report to HTML, by selecting File ► Publish myfirstcell.m or by clicking the publish button:



The M-file is evaluated and the report is presented in HTML form in a new window (the MATLAB Web Browser). The report is also saved to a file with the same name as your M-file but with an `html` file type. It includes the cell titles (the text after the double `%%`), the code itself, the output of the code, and any figures generated. You can change this default behavior in the File ► Publish Configuration for myfirstcell.m menu option.

To run the M-file without publishing the results, simply click the run button, as usual, or select Cell ► Evaluate Entire File. Individual cells can also be evaluated, one at a time. To publish and view the results from the MATLAB command line, use these commands:

```
publish myfirstcell.m
web html/myfirstcell.html
```

Additional descriptive text can be added as plain comments (one `%`) after the cell divider but before any commands. The text can be marked in various styles (bold, monospaced, TeX equations, and bullet lists, for example). See the Cell ► Insert Text Markup ► ... menu for a complete list.

To add descriptive text without starting a new report section, start with a cell divider that has no title (a line containing just `%%`). This creates a new cell, but it appears in the same section of the report as the cell before it.

For a longer example of a report generated via cell publishing, download the `factorize` object discussed in Section 9.4, and look in the `Factorize/html` directory.

A Appendix: The MATLAB Top 500

Open the online Help window, select Help:MATLAB ►Functions and Help:Symbolic Math Toolbox ►Functions, and you will see a lengthy index of all functions in MATLAB and the Symbolic Math Toolbox. Many of these functions are needed only in special cases, however, or for very specific kinds of applications. This Appendix gives you a more manageable index, with a description of the 500 most frequently used functions, operators, and special characters in MATLAB and the Symbolic Math Toolbox, in the same outline as the Help ►Functions documentation. In this Appendix, a page reference in brackets refers to a discussion of the function in the main part of the book. Other page references refer to this Appendix.

B Desktop Tools and Development Environment

B.1 Command Window and History

clc clears the Command Window display, giving you an empty screen. [p. 6]

diary logs Command Window output to a file. `diary on` starts the log; `diary off` stops it. Flush the diary by turning it off and then on again. `diary(s)` uses the filename given by the string `s`. Default filename is `diary`. [p. 5]

format changes how numeric values are displayed in the Command Window. Try `format long` and `format short`. Has no effect on `fprintf`. [p. 6]

system executes a command in your operating system shell. Try `system('ls')` in UNIX. See also p. 191 for the `!` character. [p. 103]

B.2 Help for Using MATLAB

help prints the help text for a function in the Command Window. Try `help disp`, or `help function` for any other function. [p. 42]

web opens a web browser. Try `web www.mathworks.com`. Also useful for viewing HTML files created via cell publishing. [p. 164]

B.3 Workspace

clear deletes variables from workspace. `clear`, by itself, clears all variables. `clear x y` clears just `x` and `y`. `clear classes` clears object class definitions. [p. 10]

delete deletes a file or graphics object. [p. 8]

exist determines if a variable, file, folder, or class exists. `exist('x')` returns a value from 0 to 8, depending on what `x` is. A second parameter ('file', 'var', 'class', 'builtin', or 'dir') only looks for items of that type, and returns 1 if it exists, 0 otherwise. [p. 105]

which determines which function or file a word refers to, or whether there is a variable with that name. Try `i=3; which i -all`. [p. 71]

whos lists variables in the workspace. `whos` prints a list. `s=whos` returns a struct with information about each variable. [p. 9]

B.4 Managing Files

B.4.1 Search Path

addpath adds folders to the search path. Try `addpath(pwd)`.

path displays or changes the search path. [p. 43]

which determines what function or file a name refers to. See p. 166.

B.4.2 File Operations

cd changes the current folder (short for *change directory*). [p. 8]

copyfile makes a copy of a file.

delete deletes a file. [p. 8]

dir lists the files and folders in a folder. `dir` by itself lists the current folder. `dir('F')` or `dir F` lists the folder `F`. [p. 8]

isdir tests whether a string refers to a folder.

ls lists the contents of the current folder.

matlabroot lists the folder where MATLAB is installed.

mkdir creates a folder.

pwd returns the current folder as a string. [p. 8]

type displays the contents of a file. Try `type factorial`. [p. 8]

B.5 Programming Tools

computer returns information about your computer.

system executes an operating system command. See p. 166.

ispc tests if your computer is running Microsoft Windows.

ver displays the version of MATLAB and all Toolboxes.

version returns the MATLAB version number as a string.

C Data Import and Export

C.1 File Name Construction

fileparts extracts the components of a filename and its path.

filesep returns the file separator ('\\ ' on Microsoft Windows, '/' on Linux or Mac).

fullfile constructs the full filename (with its entire path) from a list of folders and a base filename.

C.2 File Opening, Loading, and Saving

load loads variables from a MAT-file. [p. 10]

open opens a file in the Editor, Workspace, or Figure Window.

save saves variables to a MAT-file. [p. 10]

uigetdir displays a dialog box for selecting a directory.

uigetfile displays a dialog box for accessing files.

uiputfile displays a dialog box for saving files.

C.3 Low-Level File I/O

fclose closes a file opened by `fopen`. [p. 162]

feof tests if the end-of-file has been reached.

fgetl reads a line from a text file, discarding newline characters.

fgets reads a line from a text file, keeping newline characters.

fopen opens a file. `f=fopen('foo', 'w')` opens the file 'foo' for writing, creating it if necessary. `f=fopen('foo', 'r')` opens the file 'foo' for reading. [p. 162]

fprintf displays numbers and strings (or prints them to a file) with tight control over how they are displayed. Try `fprintf('%10.1e\n', eps)`. [p. 160]

fread reads data from a binary file. With the file from the `fwrite` example below, try `f=fopen('a.bin','r');`
`C=fread(f,'double');`, and compare with `A(:)`.

fscanf reads formatted data from a text file, with a format string similar to the one used by `fprintf`.

fseek moves to a specified position in a file, where the next `fread` or `fwrite` will take place.

ftell returns the current position in a file, as the number of bytes from the beginning of the file.

fwrite writes binary data from a file. Try `A=rand(4);`
`f=fopen('a.bin','w');` `fwrite(f,A,'double');`
`fclose(f)`. Then try the `fread` example above.

C.4 Text Files

textscan reads formatted data from a text file or string.

type displays the contents of a file. Try `type factorial`. [p. 8]

C.5 Audio and Video

wavread reads an audio signal from a `.wav` file.

sound plays audio from a signal. Try `load handel;`
`sound(y,Fs)`.

C.6 Images

imread reads an image from a graphics file. Supported formats include TIFF, JPEG, GIF, PNG, BMP, ICO, and many others. Image processing typically uses `uint8`, `uint16`, and other compact integer data types. Use `image` to display an image.

imwrite writes an image to a graphics file.

D Mathematics

D.1 Arrays and Matrices

D.1.1 Basic Information

disp displays a MATLAB variable in the Command Window. This works for all data types in MATLAB (scalars, vectors, matrices, higher-dimensional arrays, strings, cell arrays, structs, and objects). Use `format` to control the detail displayed by `disp`. Use `fprintf` instead of `disp` for even more control. [p. 18]

display displays a MATLAB variable or expression in the Command Window, as if it was the result of a statement with no trailing semicolon.

isempty determines if a matrix has no entries. `isempty(A)` is true if `A` is an empty array with one or more dimension of zero size. Same as `min(size(A))==0`. [p. 23]

isequal tests two variables for equality, returning 1 if equal, 0 otherwise. `isequal(a,b)` compares two scalars, arrays, structs, cells, objects, or any other pair of MATLAB variables. [p. 52]

isfinite tests if a number is finite (not `+inf`, `-inf`, or `nan`).

isinf tests if a number is `+inf` or `-inf`.

islogical tests if a variable is `logical`. See p. 188.

isnan tests if a number is a NaN.

isnumeric tests if a variable has a numeric type. See p. 188.

isscalar tests if a variable is a scalar.

isvector tests if a variable is a row or column vector. A vector has size `n-by-1` or `1-by-n` with `n>=0`.

length returns the length of an array. `length(A)` is the number of entries along the largest dimension of `A`. It is `max(size(A))` if `A` is non-empty, or zero otherwise. [p. 160]

max finds the largest entries in an array. `max(x)` for a vector x returns a scalar. `max(A)` for a matrix returns a row vector of length `size(A,2)` with the largest entry in each column. For computing the maximum along other dimensions, use `max(A,[],d)` for dimension d . `C=max(A,B)` returns an array the same size as A and B , where each entry in C is the larger of the two corresponding entries in A and B . A second output returns the indices of the largest entries in A . [p. 25]

min finds the smallest entries in an array. The syntax of `min` is the same as `max`, above. [p. 33]

ndims returns the number of array dimensions. `ndims(x)` is always ≥ 2 . `ndims(rand(5,5,5))` is 3, for a 3-D array.

numel number of elements in an array. `numel(A)` is the same as `prod(size(A))`.

size returns the size of an array. `d=size(A)` returns d as a vector of length `ndims(A)` (normally of size 2), with the size of each dimension of A . Try `size(rand(3,4))`. `[m,n]=size(A)` returns the dimensions as scalars m and n . `size(A,2)` is the number of columns of a 2-D array A . [p. 23]

D.1.2 Operators

See 190. [See also pp. 14–16 for a discussion of matrix and entry-wise operators.]

D.1.3 Elementary Matrices and Arrays

diag extracts the diagonal of a matrix, or creates a diagonal matrix. `diag(A)` is the diagonal of a matrix A . `diag(A,k)` is the k th diagonal (use $k=-1$ for the subdiagonal). `diag(x)` for a vector x is a diagonal matrix. [p. 23]

eye returns an identity matrix. `eye(n)` is the n -by- n identity matrix. `eye(m,n)` or `eye([m n])` is an m -by- n matrix with ones on the diagonal and zeros elsewhere. [p. 24]

ind2sub converts linear indices to subscripts.

linspace creates a linearly spaced vector. `linspace(a,b)` is a row vector of 100 points between `a` and `b`. `linspace(a,b,n)` generates `n` points. [p. 20]

logspace creates a logarithmically spaced vector. `logspace(...)` is $10.^{\text{linspace}(...)}$, except that the default number of points is 50, not 100.

meshgrid creates `X`, `Y`, and `Z` arrays for 3-D plots. [p. 114]

ndgrid creates `X`, `Y`, and `Z` arrays for plots of N-D functions.

rand computes uniformly distributed pseudo-random numbers. `rand(n)` is an `n`-by-`n` array of random numbers. `rand(m,n)` is `m`-by-`n`. [p. 23]

randn computes normally distributed pseudo-random numbers. Same syntax as `rand`. [p. 23]

sub2ind converts subscripts to linear indices. If `k=find(A)` and `[i,j]=find(A)`, the `k=sub2ind(size(A),i,j)`.

ones returns a matrix of all ones. Same syntax as `zeros`, below. [p. 23]

zeros returns a matrix of all zeros. `zeros(n)` is an all-zero `n`-by-`n` matrix. `zeros(m,n)` is of size `m`-by-`n`. The inputs can also be given as a vector whose length is the dimension of the array. For higher dimensional arrays, simply use more input parameters. [p. 23]

D.1.4 Array Operations

bsxfun applies a binary function with singleton expansion. Try `C=bsxfun(@minus,A,mean(A));sum(C)`. [p. 32]

cross computes the cross product of two vectors of length 3.

cumprod computes the cumulative product. If `y=cumprod(x)`, then `y(k)` is `prod(x(1:k))`.

cumsum computes the cumulative sum. If `y=cumsum(x)`, then `y(k)` is `sum(x(1:k))`.

dot computes the dot product. For two column vectors, `dot(x,y)` is `x'*y`.

kron computes the Kronecker tensor product.

prod computes the products of the entries of an array. For a vector, `prod(x)` is the product of the entries. For a matrix, `prod(A)` is a row vector of the product of each column of `A`. `prod(A,2)` is a column vector of row products. [p. 25]

sum sums entries along one dimension. For a vector, `sum(x)` is the sum of the entries. For a matrix, `sum(A)` is a row vector of the sums of each column of `A`. `sum(A,2)` is a column vector of row sums. [p. 46]

tril extracts the lower triangular part of a matrix. `tril(A)` is the lower triangular part. `tril(A,k)` is all zero above the `k`th diagonal and equal to `A` elsewhere. [p. 121]

triu extracts the upper triangular part of a matrix. `triu(A)` is the upper triangular part. `triu(A,k)` is all zero below the `k`th diagonal and equal to `A` elsewhere. [p. 121]

D.1.5 Array Manipulation

cat concatenates arrays along a given dimension. `cat(1,A,B)` is the same as `[A;B]` and `cat(2,A,B)` is `[A,B]`. [p. 57]

circshift shifts the entries of a matrix.

diag extracts the diagonal of a matrix, or creates a diagonal matrix. See p. 171.

end specifies the end of an array dimension. `A(k,3:end)` is the `k`th row of `A`, excluding the first two entries. [p. 22]

fliplr flips a matrix along its columns. `fliplr(A)` is the same as `A(:,end:-1:1)`.

flipud flips a matrix along its rows. `flipud(A)` is the same as `A(end:-1:1,:)`.

permute rearranges the dimensions of an N-D array. `permute(A,[2 1])` for a 2-D array is the same as `A.'`

repmat replicates and tiles an array. `C=repmat(A,m,n)` creates a matrix `C` by replicating `A`, `m` times along the rows of `C`, and `n` times along the columns. [p. 32]

reshape reshapes an array. `C=reshape(A,m,n)` is m-by-n with entries taken column-wise from A. [p. 30]

rot90 rotates a matrix, like rotating an image.

sort sorts entries in an array. `sort(x)` sorts a row or column vector x. `sort(A)` sorts each column of A. `sort(A,2)` sorts each row. `[C,i]=sort(...)` returns the permutation i from the sort. [p. 60]

sortrows sorts the rows of a matrix. `sortrows(S)` for a character array is a dictionary sort. `sortrows(A)` for a numeric matrix sorts A in ascending order according to the first column, with ties broken by subsequent columns. A second argument changes which columns to use, and whether to sort in ascending or descending order.

squeeze removes singleton dimensions of N-D arrays. `squeeze(rand(3,1,4))` is a 3-by-4 matrix. Has no effect on 2-D arrays.

D.2 Linear Algebra

D.2.1 Matrix Analysis

cond computes the condition number of a matrix. `cond(A)` is the 2-norm condition number, $\|A\|_2\|A^{-1}\|_2$, or the ratio of the largest singular value over the smallest. `cond(A,p)` is $\|A\|_p\|A^{-1}\|_p$ for $p=1, 2, 'fro',$ or `inf`. [p. 61]

det computes the determinant of a matrix. Never use `det` to test for singularity; use `rank(A) < min(size(A))` instead.

norm computes the norm of a matrix. `norm(A)` is $\|A\|_2$, `norm(A,p)` is $\|A\|_p$ for $p=1, 2, inf,$ or `'fro'` (for Frobenius norm). [p. 68]

null computes a basis for the null space of a matrix.

rank computes the rank of a matrix. `rank(A)` is the number of linearly-independent rows or columns of A, equivalently the number of singular values of A that are not too tiny.

trace sums the diagonal entries of a matrix, `trace(A)` is `sum(diag(A))`.

D.2.2 Linear Equations

chol computes the Cholesky factorization of a symmetric positive definite matrix. $R = \text{chol}(A)$ is upper triangular, so that $R' * R = A$. $[R, k, P] = \text{chol}(A)$ returns a positive integer k and a permutation matrix P so that $R' * R = P' * A * P$. If $k < \text{size}(A, 1)$ then A is not positive definite. P is available for sparse A only; using it leads to a much sparser R . With a second input, 'vector', P is a permutation vector. [p. 27]

cond computes the condition number of a matrix. See p. 174.

inv computes A^{-1} . This is the most frequently abused function in MATLAB. Never compute $\text{inv}(A) * B$ or $B * \text{inv}(A)$. Instead, use $A \setminus B$ or B / A , respectively. To use something like the $\text{inv}(A) * B$ syntax, download the `factorize` package from the MATLAB File Exchange or from the web page for this book. With that package, $\text{inverse}(A) * B$ computes $\text{inv}(A) * B$ without actually computing the inverse. It uses a `chol`, `lu`, or `qr` factorization of A instead, and solves $A \setminus B$. In the rare case when you actually need a few entries of the inverse, use $S = \text{inverse}(A)$ and $S(1, 1)$ (for example).

lu computes the LU factorization. $[L, U, P] = \text{lu}(A)$ returns a lower triangular matrix L , an upper triangular matrix U , and a permutation matrix P so that $L * U = P * A$. A fourth output Q is available when A is sparse; using it leads to much sparser factors L and U . With two outputs $[L, U] = \text{lu}(A)$, L is a permuted triangular matrix. With a second input, 'vector', P and Q are permutation vectors. [pp. 58, 124]

pinv computes the Moore-Penrose pseudo-inverse.

qr computes the QR factorization. $[Q, R] = \text{qr}(A)$ returns a unitary matrix Q and an upper triangular matrix R so that $Q * R = A$. $[Q, R, E] = \text{qr}(A)$ computes $Q * R = A * E$ instead. If A is full, E is chosen so that $\text{abs}(\text{diag}(R))$ is monotonically decreasing. If A is sparse, E is chosen to reduce the number of nonzeros in R . $R = \text{qr}(A)$ returns only R .

D.2.3 Eigenvalues and Singular Values

eig computes the eigenvalues and eigenvectors of a matrix, or finds the generalized eigenvalues/eigenvectors. $d = \text{eig}(A)$ is a

vector of eigenvalues. $[V,D]=\text{eig}(A)$ gives $A*V=V*D$ where D is diagonal. $[V,D]=\text{eig}(A,B)$ gives $A*V=B*V*D$. For the sparse case, can only find eigenvalues for a sparse symmetric A . [p. 26]

poly constructs a polynomial from a set of specified roots, or constructs the characteristic polynomial of a matrix. [p. 150]

svd computes the singular value decomposition. $[U,S,V]=\text{svd}(A)$ computes two unitary matrices U and V (with left and right singular vectors) and a diagonal matrix S (containing the singular values) so that $U*S*V'=A$. The rank, cond, pinv, and null functions rely on svd. Does not work for sparse A .

D.2.4 Factorization

chol computes the Cholesky factorization. See p. 175.

lu computes the LU factorization. See p. 175.

qr computes the QR factorization. See p. 175.

svd computes the singular value decomposition. See above.

D.3 Elementary Math

D.3.1 Trigonometric

The 6 basic trigonometric functions operate entry-wise on each element of an array. [p. 24]

sin computes the sine in radians.

cos computes the cosine in radians.

tan computes the tangent in radians. $\tan(x) = \sin(x)/\cos(x)$.

sec computes the secant in radians. $\sec(x) = 1/\cos(x)$.

csc computes the cosecant in radians. $\csc(x) = 1/\sin(x)$.

cot computes the cotangent in radians. $\cot(x) = \cos(x)/\sin(x)$.

Each of the 6 functions above has 6 different variants. Append **d** for degrees instead of radians. Append **h** for the hyperbolic version. Prepend **a** for the inverse. All 36 functions are listed below.

| | | | | | |
|-----|------|------|------|-------|-------|
| sin | sind | sinh | asin | asind | asinh |
| cos | cosd | cosh | acos | acosd | acosh |
| tan | tand | tanh | atan | atand | atanh |
| sec | secd | sech | asec | asecd | asech |
| csc | cscd | csch | acsc | acscd | acsch |
| cot | cotd | coth | acot | acotd | acoth |

atan2 is the four-quadrant version of `atan`. Use `atan2(y, x)` instead of `atan(y/x)` to compute $\tan^{-1}(y/x)$.

D.3.2 Exponential

exp computes the exponential of entries in an array. `exp(x)` is e^x for the scalar `x`. [p. 106]

log computes the exponential of entries in an array. `log(x)` is $\log x$ for the scalar `x`. [p. 153]

log10 computes the base-10 logarithm. [p. 24]

log2 computes the base-2 logarithm, and dissects a number `x` into its mantissa `f` and integer exponent `e`, so that `x=f*2^e`. [p. 46]

sqrt `sqrt(A)` is the square root of each entry of `A`. [p. 24]

D.3.3 Complex

abs computes the absolute value of each entry in an array. [p. 34]

angle computes the phase angle of each entry in an array.

complex constructs a complex number from two real numbers. `complex(A, B)` is `A+1i*B`.

conj computes the complex conjugate of each entry in an array.

i returns the imaginary unit, `sqrt(-1)`. Often shadowed by the commonly used loop index `i`. Use `1i` instead. See also `j`. [p. 18]

imag returns the imaginary part of a number. [p. 63]

isreal tests if a variable is real.

real returns the real part of a complex number. [p. 119]

sign returns the sign of a number. $\text{sign}(x)$ is 1 if $x > 0$, zero if $x == 0$, and -1 if $x < 0$. [p. 39]

D.3.4 Rounding and Remainder

ceil rounds towards $+\infty$. $\text{ceil}(1.7)$ is 2 and $\text{ceil}(-1.7)$ is -1. [p. 24]

fix rounds towards zero. $C = \text{fix}(A)$ rounds each entry in A . $\text{fix}(1.7)$ is 1 and $\text{fix}(-1.7)$ is -1. The term dates back to the early days of Fortran, which has a function *ifix* that rounds a floating-point number to a *fixed*-point integer. [p. 20]

floor rounds towards $-\infty$. $\text{floor}(1.7)$ is 1 and $\text{floor}(-1.7)$ is -2. [p. 24]

mod computes the modulus after division. $m = \text{mod}(x, y)$ is the remainder after the integer division x/y , for the integer division conventionally used in discrete mathematics. [p. 47]

rem computes the remainder after division. $r = \text{rem}(x, y)$ is the remainder after the integer division x/y , for the integer division conventionally used in computer programming languages. [p. 24]

round rounds towards the nearest integer. [p. 24]

D.3.5 Discrete Math

factor computes the prime factors of a nonnegative integer. Try $\text{factor}(42)$, which is [2 3 7]. $\text{prod}(\text{factor}(n))$ is n . [p. 136]

factorial computes the factorial. $\text{factorial}(n)$ is $n!$, or $\text{prod}(1:n)$.

D.4 Polynomials

conv performs convolution and polynomial multiplication. Try $\text{conv}([1\ 3\ 2], [3\ -2\ 1])$, which gives [3 7 1 -1 2], since $(x^2 + 3x + 2)(3x^2 - 2x + 1) = 3x^4 + 7x^3 + x^2 - x + 2$. [p. 152]

poly constructs a polynomial from a set of specified roots, or constructs the characteristic polynomial of a matrix. Try `poly([1 2])`, which creates the polynomial $(x-1)(x-2) = x^2 - 3x + 2$, and returns the coefficients `[1 -3 2]`. [p. 150]

polyfit fits a polynomial to data. [p. 151]

polyval evaluates a polynomial. Try `polyval([1 -3 2], 7)`, which evaluates $p(7)$ where $p(x) = x^2 - 3x + 2$. [p. 151]

roots computes the roots of a polynomial. Try `roots([1 -3 2], 7)`, which returns the roots `[2; 1]`. [p. 150]

D.5 Interpolation and Computational Geometry

D.5.1 Interpolation

interp1 interpolates a 1-D function. Try `x=0:.1:2*pi; y=sin(x); interp1(x,y,pi)` for an interpolation of `sin()` using a coarse grid.

meshgrid creates X, Y, and Z arrays for 3-D plots. [p. 114]

ndgrid creates X, Y, and Z arrays for plots of N-D functions.

spline constructs a cubic spline interpolation. [p. 152]

D.5.2 Domain Generation

meshgrid creates X, Y, and Z arrays for 3-D plots. [p. 114]

ndgrid creates X, Y, and Z arrays for plots of N-D functions.

D.6 Nonlinear Numerical Methods

D.6.1 Ordinary Differential Equations

ode45 is the primary ODE solver in MATLAB. [p. 157]

odeset creates an options struct for ODE solvers.

D.6.2 Optimization

fminsearch finds the minimum of a function. Try `fminsearch(@cos,3)`, which finds a 6-digit approximation of π . [p. 157]

fzero finds a root of a continuous function. Try `fzero(@sin,3)`, which finds π . [p. 155]

optimset creates an options struct for optimization functions.

D.6.3 Numerical Integration (Quadrature)

quad performs numerical integration. Try `quad(@sin,0,pi)`, and compare with `syms x; int(sin(x),0,pi)`. [p. 153]

D.7 Specialized Math

besselj computes the Bessel function of the first kind, $J(z)$.

beta computes the Beta function, $B(x,y)$.

gamma computes the Gamma function, $\Gamma(a)$. For positive integers, $\Gamma(n) = (n-1)!$.

psi computes the psi (polygamma) function, $\psi_k(x)$.

D.8 Sparse Matrices

find finds indices and values of nonzeros. `i=find(A)` returns 1-D indices of all nonzeros in a full or sparse matrix A . `i=find(A,k)` returns just the first k entries; add the string 'last' as a third argument to get the last k . `[i,j,x]=find(A,...)` returns 2-D indices and values x , so that $x(k)=A(i(k),j(k))$ is the k th nonzero in A . [p. 28]

full converts a matrix to a full representation. [p. 120]

sparse builds a sparse matrix from a list of entries (via `S=sparse(i, j, x)`) or converts a full matrix to a sparse representation (via `S=sparse(A)`). `sparse(m, n)` is an all-zero m -by- n sparse matrix. You should normally not create a sparse matrix `S=sparse(A)` from a full matrix `A`. Use `S=sparse(i, j, x)` instead, which builds a sparse matrix from three vectors containing a list of nonzero entries. [p. 122]

nnz finds the number of nonzeros in a matrix (whether sparse or full). [p. 120]

randperm constructs a pseudo-random permutation. `randperm(n)` is a permutation of `1:n`.

D.9 Math Constants

eps determines the floating-point relative accuracy. `eps` is the smallest number so that `1+eps>eps`. `eps(x)` is the distance to the next floating-point number larger than `x`. On most computers, `eps` is 2^{-52} , or about 2.2×10^{-16} . [p. 39]

i returns the imaginary unit, `sqrt(-1)`. Often shadowed by the commonly used loop index `i`. Use `1i` instead. See also `j`. [p. 18]

inf is the IEEE representation of ∞ . Also appears as `Inf`. The syntax of `inf` is the same as `zeros`, `ones`, and `nan` (for creating a matrix of `Inf`'s). [p. 132]

nan is the IEEE representation of Not-a-Number (the result of dividing zero by zero, for example). Also appears as `NaN`. The syntax of `nan` is the same as `zeros`, `ones`, and `inf` (for creating a matrix of `NaN`'s). `NaN`'s propagate, since any computation with a `NaN` produces a `NaN`. Comparisons with `NaN` always return `0` (false). Thus, `x==x` is true for all numbers except for `x=nan`.

pi is a 16-digit approximation to π . [p. 6]

E Data Analysis

E.1 Basic Operations

cumprod computes the cumulative product. See p. 172.

cumsum computes the cumulative sum. See p. 172.

prod computes the products of the entries of an array. See p. 173.

sort sorts entries in an array. See p. 174.

sortrows sorts the rows of a matrix. See p. 174.

sum sums entries along one dimension. See p. 173.

E.2 Descriptive Statistics

cov computes the covariance matrix, or the variance of a vector.

max finds the largest entry in an array. See p. 171.

mean computes the mean. $\text{mean}(x)$ is the mean of a vector x . $\text{mean}(A)$ for a matrix is a row vector with the mean of each column of A . $\text{mean}(A, 2)$ is the mean of each row. [p. 25]

median computes the median. $\text{median}(x)$ is the median of a x . $\text{median}(A)$ for a matrix is a row vector with the median of each column of A . $\text{median}(A, 2)$ is the median of each row. [p. 25]

min finds the smallest entry in an array. See p. 171.

mode computes the mode. $\text{mode}(x)$ is the mode of a vector x . $\text{mode}(A)$ for a matrix is a row vector with the mode of each column of A . $\text{mode}(A, 2)$ is the mode of each row. [p. 25]

std computes the standard deviation. $\text{std}(x)$ is the standard deviation of a vector x . $\text{std}(A)$ for a matrix is a row vector with the standard variation of each column of A . [p. 25]

var computes the variance. $\text{var}(x)$ is the variance of a vector x . $\text{var}(A)$ for a matrix is a row vector with the variance of each column of A . [p. 25]

E.3 Filtering and Convolution

conv performs convolution and polynomial multiplication. See p. 178.

conv2 performs 2-D convolution.

filter applies a digital filter to a data sequence.

E.4 Interpolation and Regression

interp1 interpolates a 1-D function. See p. 179.

polyfit fits a polynomial to data. [p. 151]

polyval evaluates a polynomial. [p. 151]

E.5 Fourier Transforms

abs computes the absolute value of each entry in an array. [p. 34]

angle computes the phase angle of each entry in an array.

fft computes the discrete Fourier transform (DFT). $\text{fft}(x)$ is the DFT of the vector x . $\text{fft}(A)$ for a matrix computes the DFT of each column.

fft2 computes the 2-D DFT.

fftshift centers the spectrum by shifting the zero-frequency component.

ifft computes the inverse DFT. If $y = \text{fft}(x)$ for a vector x , the $x = \text{ifft}(y)$.

ifft2 computes the inverse 2-D DFT.

E.6 Derivatives and Integrals

diff computes differences between adjacent entries. $\text{diff}((1:5).^2)$ is $[3\ 5\ 7\ 9]$. [p. 129]

gradient computes the numerical gradient.

F Programming and Data Types

F.1 Data Types

F.1.1 Numeric Types

cat concatenate arrays. See p. 173.

class returns the class of a variable as a string. `class(eye(2))` is 'double', `class(@log)` is 'function_handle', and `class('s')` is 'char', for example.

find returns the indices and values of nonzeros in a matrix. See p. 180.

isa tests whether a variable is from a given class (`isa(x, 'double')`, for example). See p. 188.

isequal tests two variables for equality. See p. 170.

isfinite tests if a number is finite (not `+inf`, `-inf`, or `nan`).

isinf tests if a number is `+inf` or `-inf`.

isnan tests if a number is a NaN.

isnumeric tests if a variable has a numeric type. See p. 188.

isreal tests if a variable is real (as opposed to complex).

isscalar tests if a variable is a scalar.

isvector tests if a variable is a vector. See p. 170.

permute rearranges the dimensions of an N-D array. See p. 173.

reshape reshapes an array. See p. 174.

squeeze removes singleton dimensions. See p. 174.

zeros returns an array of all zeros. See p. 172.

F.1.2 Characters and Strings

cellstr creates a cell array of strings from a character array. If S is m -by- n , then $C = \text{cellstr}(S)$ is a cell array of length m , with each entry a row of S .

char converts an array of integers to a character array. [p. 101]

eval executes MATLAB expressions or statements from a string. See p. 198.

findstr Finds strings within another string. Use `strfind` instead.

regexp searches a string for a *regular expression*, which is a kind string that can match many strings. For example, to search for a digit followed by a colon, try `s = datestr(now), regexp(s, '[0-9]:')`.

sprintf creates a formatted string of other numbers and strings, with tight control over how they are displayed. [p. 162]

sscanf reads formatted data from a string, with a format string similar to the one used by `fscanf`.

strcat concatenates strings horizontally. See p. 192.

strcmp compares strings. See p. 193.

strcmpi is a case-insensitive version of `strcmp`. See p. 193.

strfind determines if one string is a substring of another string. Try `strfind('look in this book', 'oo')`, which gives `[2 15]`.

strmatch determines if one string is a prefix of another. See p. 194.

strrep finds and replaces substrings. `strrep(s, a, b)` replaces all occurrences of the substring a with b in the string s .

strtrim removes leading and trailing spaces.

F.1.3 Structures

class determines the class of a variable. See p. 184.

deal distributes inputs to outputs. Useful for assigning fields of a struct array from a cell array. If `s` is a 1-by-4 struct array, try `c={1,2,3,4}; [s.f]=deal(c{:})`, which is the same as `for i=1:4, s(i).f=c{i}; end`.

fieldnames returns a cell array of strings with the name of each member of a struct or object.

getfield gets one or more fields from a struct. `getfield(x, 'y')` is the same as `x.y`.

isa tests whether a variable is from a given class (`isa(s, 'struct')`, for example). See p. 188.

isequal tests two structs for equality. The order of members of each struct does not matter. See p. 170.

isfield checks for a member of a struct. `isfield(x, 's')` is true if `x.s` is a member of the struct `x`. Use `isfield(x, c)` where `c` is a cell array to check for multiple fields.

isscalar tests if a variable is a scalar. A 1-by-1 struct is considered scalar, even if it contains multiple members or array members.

isstruct tests if a variable is a struct. See p. 188.

isvector tests if a variable is a vector. See p. 170.

rmfield removes fields from a struct.

setfield sets fields in a struct.

struct creates a struct array. Try `x.a=1; x.b='s'`, which can also be done with `x=struct('a',1,'b','s')`. [p. 54]

struct2cell converts a struct to a cell array. See p. 187.

F.1.4 Cell Arrays

cell constructs an empty cell array. `cell(m,n)` is a m -by- n cell array, with every entry equal to the empty array (`[]`). [p. 53]

cell2mat constructs a matrix from a cell array of matrices. See p. 190.

cellfun applies a function to each entry of a cell array.

cellstr creates a cell array of strings from a character array. See p. 185.

class determines the class of a variable. See p. 184.

deal distributes inputs to outputs. See p. 186.

isa tests whether a variable is from a given class (`isa(c, 'cell')`, for example). See p. 188.

iscell tests if a variable is a cell array. See p. 188.

iscellstr tests if a variable is a cell array of strings.

isequal tests two cell arrays for equality. See p. 170.

isscalar tests if a variable is a scalar. A 1-by-1 cell array is a scalar, even if it contains an array. Try `isscalar({rand(4)})`.

isvector tests if a variable is a vector. See p. 170.

num2cell converts a numeric array into a cell array, placing each entry in its own cell. See p. 190.

struct2cell converts a struct to a cell array, placing each field in its own cell.

F.1.5 Function Handles

class determines the class of a variable. See p. 184.

feval evaluates a function via a function handle. `feval(@f,x)` is the same as `f(x)` for the function handle `@f`. `feval` remains in MATLAB for historical reasons.

isa tests whether a variable is from a given class (`isa(f, 'function_handle')`, for example). See p. 188.

isequal tests two function handles for equality. See p. 170.

str2func constructs a function handle from a string. `str2func('f')` is the same as `@f`. [p. 68]

F.1.6 Data Type Identification

isa tests whether a variable is from a given class. `isa(x, 'struct')` is true if `x` is a struct, for example. Classes include `logical`, `char`, `numeric` (integer or floating-point), `integer`, `float`, `int8` (and variants), `single`, `double`, `cell`, `struct`, `function_handle`, and any MATLAB or Java class.

iscell tests if a variable is a cell array. Same as `isa(x, 'cell')`.

iscellstr tests if a variable is a cell array of strings.

ischar tests if a variable is a string (a `char` array). Same as `isa(x, 'char')`. [p. 70]

isfield checks for a member of a struct. See p. 186.

islogical tests if a variable is `logical`. Same as `isa(x, 'logical')`.

isnumeric tests if a variable has a numeric type (integer or floating-point). Same as `isa(x, 'numeric')`.

isreal tests if a variable is real (as opposed to complex).

isstruct tests if a variable is a struct. Same as `isa(x, 'struct')`.

whos lists variables in the workspace. See p. 166.

F.2 Data Type Conversion

F.2.1 Numeric

double converts numbers to IEEE double precision. [p. 56]

int16 converts numbers to 16-bit signed integers.

int32 converts numbers to 32-bit signed integers.

single converts numbers to IEEE single precision.

Computations in `single` are less accurate than `double`, but can be faster. [p. 56]

uint8 converts numbers to 8-bit unsigned integers. Often used for images. [p. 56]

uint16 converts numbers to 16-bit unsigned integers.

F.2.2 String to Numeric

hex2dec converts a string with a hexadecimal number to a number. `hex2dec('1F')` is 31.

str2double converts a string to a single number. `str2double('3.14')` is the number 3.14. `str2double(c)` with a cell array of strings converts each entry in `c`. [p. 19]

str2num converts a string to one or more numbers, via `eval`. Use `str2double` to avoid side-effects of functions in the string. [p. 19]

F.2.3 Numeric to String

char converts an array of integers to a character array. [p. 101]

dec2bin converts a number to a string, in binary.

int2str converts an integer to a formatted string, for display. Try `int2str(magic(5))`.

mat2str converts an integer to a formatted string, for display or evaluation. Try `mat2str(magic(5))`.

num2str converts a numeric array to a string, for display. `num2str(A)` uses the default format `%11.4g` (a width of 11, with 4 digits displayed). `num2str(pi,8)` displays 8 digits of π , and `num2str(A,s)` uses the format string `s` (like `fprintf`). [p. 163]

F.2.4 Other Conversions

cell2mat constructs a matrix from a cell array of matrices. Try `A=magic(5)` and `C=num2cell(A)`. Then `cell2mat(C)` reconstructs `A`. For the 2-D case, the matrices in each row of the cell array (`C{i, :}`) must all have the same number of rows, and the matrices in each column (`C{:, j}`) must all have the same number of columns.

datestr converts the result from `clock` or `now` into a string. See p. 196.

logical converts an array to logical. See p. 195.

num2cell converts a numeric array into a cell array, placing each entry in its own cell. Try `A=magic(5); C=num2cell(A)`, which creates a cell array `C` so that `C{i, j}=A(i, j)`.

str2func constructs a function handle from a string. See p. 188.

struct2cell converts a struct to a cell array. See p. 187.

F.3 Operators and Special Characters

[See pp. 14–16, 33, 123, and 142 for a discussion of each operator.]

F.3.1 Arithmetic Operators

| | |
|-------------------|--|
| <code>A+B</code> | adds two matrices, or a matrix and a scalar |
| <code>A-B</code> | subtracts two matrices, or a matrix and a scalar |
| <code>-A</code> | negates the matrix <code>A</code> |
| <code>A*B</code> | multiplies two matrices, or a matrix and a scalar |
| <code>B/A</code> | solves $XA = B$. Use in place of <code>B*inv(A)</code> |
| <code>A\B</code> | solves $AX = B$. Use in place of <code>inv(A)*B</code> |
| <code>A^B</code> | <code>A</code> to the power <code>B</code> . <code>A</code> or <code>B</code> must be a scalar |
| <code>A.*B</code> | element-wise array multiplication |
| <code>A./B</code> | element-wise array right division |
| <code>A.\B</code> | element-wise array left division |
| <code>A.^B</code> | element-wise array power |
| <code>A'</code> | matrix transpose (complex conjugate, if complex) |
| <code>A.'</code> | array transpose (not complex conjugate) |

F.3.2 Relational Operators

| | |
|------|--------------------------|
| A<B | less than |
| A<=B | less than or equal to |
| A>B | greater than |
| A=>B | greater than or equal to |
| A==B | equal to |
| A~=B | not equal to |

F.3.3 Logical Operators

| | |
|------|---|
| A&&B | short-circuit logical <i>and</i> (scalars only) |
| A B | short-circuit logical <i>or</i> (scalars only) |
| A&B | logical <i>and</i> |
| A B | logical <i>or</i> |
| ~A | logical <i>not</i> |

F.3.4 Special Characters

| | | |
|-------|---|--------------|
| = | assignment | [p. 3] |
| : | colon operator | [pp. 19–22] |
| () | input parameters, precedence, subscript | [pp. 5, 13] |
| [] | output parameters, construct array | [pp. 4, 26] |
| {} | construct and subscript cell array | [p. 53] |
| 3.14 | decimal point | [p. 4] |
| A.B | member of struct or object | [pp. 53, 58] |
| A.(B) | dynamic member of struct or object | [p. 55] |
| .. | parent folder | [p. 8] |
| ... | continue statement on next line | [p. 4] |
| , | array rows, parameters, statements | [pp. 4, 13] |
| ; | array columns, terminate statement | [pp. 4, 13] |
| % | comment | [p. 42] |
| %% | code cell | [p. 43] |
| %{ | start block comment | [p. 43] |
| %} | end block comment | [p. 43] |
| ! | system command | [p. 103] |
| 's' | character string | [p. 18] |
| @ | object class folder, function handle | [pp. 61, 67] |
| ~ | unused argument | [p. 42] |
| < | inheritance | [p. 62] |

F.4 Strings

F.4.1 String Creation

blanks creates a string of blanks.

cellstr creates a cell array of strings from a character array.
See p. 185.

char converts an array of integers to a character array. [p. 101]

sprintf creates a formatted string. See p. 185.

strcat concatenates strings horizontally. `strcat(s1,s2)` is like `[s1,s2]`, except that `strcat` removes trailing spaces.

F.4.2 String Identification

isa tests whether a variable is from a given class (`isa(s,'char')`, for example). See p. 188.

iscellstr tests if a variable is a cell array of strings.

ischar tests if a variable is a string (a char array). Same as `isa(x,'char')`. [p. 70]

isscalar tests if a variable is a scalar. Try `isscalar('a')` and `isscalar('ab')`.

isspace tests if a variable is whitespace (space, tab, return, ...).

isvector tests if a variable is a vector. See p. 170.

F.4.3 String Manipulation

deblank removes trailing blanks from the end of a string. `deblank(c)` for a cell array of strings operates on all strings in `c`.

lower converts a string to lower case. `lower(c)` for a cell array of strings `c` operates on all the strings in `c`.

strrep finds and replaces substrings. See p. 185.

strtrim removes leading and trailing spaces.

upper converts a string to upper case. `upper(c)` for a cell array of strings `c` operations on all the strings in `c`.

F.4.4 String Parsing

findstr Finds strings within another string. Use `strfind` instead.

regexp searches a string for a regular expression. See p. 185.

regexprep finds and replaces a substring using a regular expression.

sscanf reads formatted data from a string, with a format string similar to the one used by `fprintf`.

strfind determines if one string is a substring of another string. See p. 185.

strtok extracts tokens from a string. Try `[t,r]=strtok('this is a string')`, which gives the token `t='this'` and the remainder `r=' is a string'`.

F.4.5 String Evaluation

eval executes MATLAB expressions or statements from a string. Avoid whenever possible. See p. 198.

evalin evaluates MATLAB expressions or statements from a string in the workspace of the caller, or in the base workspace. Avoid whenever possible. See p. 198.

F.4.6 String Comparison

strcmp compares strings. `strcmp(a,b)` is 1 if they are identical, 0 otherwise. To compare multiple strings, one or both arguments can be a cell array of strings. [p. 19]

strcmpi is a case-insensitive version of `strcmp`. [p. 19]

strmatch determines if one string is a prefix of another. `strmatch(s, c)` finds the strings in the character array or cell array of strings `c` that have the string `s` as a prefix. With a third argument 'exact', only exact matches are sought.

strncmp compares the first `n` characters of strings. Otherwise identical to `strcmp`.

strncmpi is a case-insensitive version of `strncmp`.

F.5 Bit-Wise Operations

Bit-wise operations can be performed only on unsigned integers, or arrays of unsigned integers.

bitand computes a bitwise *and*.

bitcmp computes a bitwise *not*.

bitget extracts a bit. `bitget(A, 1)` is 1 if `A` is odd.

bitor computes a bitwise *or*.

bitset sets a bit.

bitshift shifts bits left or right.

bitxor computes a bitwise *xor*.

F.6 Logical Operations

all is the \forall logical quantifier. `all(x)` is true if all entries in the vector `x` are nonzero. `all(A)` for a matrix computes a result for each column. [p. 51]

and is the logical *and*. `and(A, B)` is `(A&B)`. [p. 16]

any is the \exists logical quantifier. `any(x)` is true if any entries in the vector `x` are nonzero. `any(A)` for a matrix computes a result for each column. [p. 51]

false returns logical 0, for false, or an array of logical 0's. Its syntax is essentially the same as `zeros`. [p. 17]

find returns the indices and values of nonzeros in a matrix. See p. 180.

logical converts an array to logical. `logical(A)` is $(A \neq 0)$. [p. 31]

not is the logical *not*. `not(A)` is $(\sim A)$. [p. 16]

or is the logical *or*. `or(A,B)` is $(A|B)$. [p. 16]

true returns logical 1, for true, or an array of logical 1's. Its syntax is essentially the same as `ones`. [p. 17]

xor is the logical *xor*. `xor(A,B)` is $(A|B) \& (\sim(A \& B))$, which is true if either A or B are true, but not both. [p. 16]

F.7 Relational Operations

eq : equal. `eq(A,B)` is $(A==B)$. [p. 33]

ge : greater than or equal. `ge(A,B)` is $(A>=B)$. [p. 33]

gt : greater than. `gt(A,B)` is $(A>B)$. [p. 33]

le : less than or equal to. `le(A,B)` is $(A<=B)$. [p. 33]

lt : less than. `lt(A,B)` is $(A<B)$. [p. 33]

ne : not equal. `ne(A,B)` is $(A \neq B)$. [p. 33]

F.8 Set Operations

Sets are represented as vectors of numbers, characters, or cell arrays of strings.

intersect computes the intersection of two sets. [p. 55]

ismember tests if an element is a member of a set. [p. 55]

setdiff computes the set difference of two sets. [p. 55]

union computes the union of two sets. [p. 55]

unique finds the unique elements of a set. [p. 55]

F.9 Date and Time Operations

clock returns the current time as a date vector ([year month day hour minute seconds]). The seconds term has a fractional part; all others are integers.

cputime returns the total CPU time (in seconds) used by MATLAB since the application started. Not recommended for performance evaluation, since it can wrap around when the internal representation overflows. [p. 73]

date returns today's date as a string (excluding the hours, minutes, and seconds).

datenum converts a date string to a serial date number. `datenum` is the inverse of `datestr`. Try `datenum(date)` and `datenum('1-Jan-0000')`.

datestr converts the result from `clock` or `now` into a string. Try `datestr(now)`.

etime returns the elapsed time in seconds between two date vectors (as returned by `clock`).

now returns the current time as a serial date number (the number of days since December 31, 2BC, in the Gregorian calendar).

tic starts a stopwatch timer. Find the elapsed time of a statement with `tic; statement; toc`. For multiple timers, use `s=tic` with `t=toc(s)`, where `t` is the time since `s` was started. [p. 73]

toc reads a stopwatch timer. `t=toc` gives the time since the last `tic`. [p. 73]

F.10 Programming in MATLAB

F.10.1 Functions and Scripts

echo controls the display of statements as they are executed.

end defines the last line of a block of code. See p. 200.

function is the first line of any M-file function. `function [a,b]=f(x,y)` declares a function with two inputs `x` and `y` and two outputs `a` and `b`. [p. 39]

input prompts the user for keyboard input. [p. 72]

inputname returns the name of an input parameter to a function, as a string.

mfilename returns the filename of the currently-running function.

nargchk checks if the number of input arguments is valid.

nargin is the number of input arguments passed to a function. [p. 41]

nargout is the number of output arguments expected from a function. `nargout` is zero if the result is `ans`. [p. 41]

nargoutchk checks if the number of output arguments is valid.

varargin specifies a variable input argument list. In the function statement of an M-file, use `varargin` as the last input argument to collect an arbitrary number of arguments. These are placed in a cell array of the same name. [p. 41]

varargout specifies a variable output argument list. In the function statement of an M-file, use `varargout` as the last output argument. Then inside the function, assign outputs to `varargout{1}`, `varargout{2}`, and so on. [p. 42]

F.10.2 Evaluation

ans returns the result of the most recently evaluated MATLAB expression that was not an assignment. [p. 4]

builtin executes a built-in function, ignoring overloading and shadowing. Try `i=3; builtin('i')` and then `which i -all`.

cellfun applies a function to each entry of a cell array.

echo controls the display of statements as they are executed.

eval executes MATLAB expressions or statements from a string. Try `eval('x=4')`. Avoid using `eval` whenever possible. `eval` can have nasty side effects that are difficult to avoid.

evalin evaluates MATLAB expressions or statements from a string in the workspace of the caller, or in the base workspace (what you see in the Workspace window). For example, `evalin('base','x')` returns the value of `x` in the base workspace. Like `eval`, avoid whenever possible.

feval evaluates a function via a function handle. See p. 187.

pause pauses the Command Window output. Hit any key on the keyboard to continue. `pause(3)` pauses for 3 seconds. [p. 72]

run runs a script that need not be on the current path.

F.10.3 Timer

timer creates a timer object, which calls a function `f(t,e,s)` at given intervals (`t` is the timer object, `e` is the event, and `s` is optional). Try `t=timer('TimerFcn',@(t,e)why,'Period',1,'ExecutionMode','fixedRate')`. Then `start(t)` creates a timer that calls `why` once each second.

delete deletes a timer, with `delete(t)`.

start starts a timer. Try `start(t)`.

stop stops a timer. Try `stop(t)`.

F.10.4 Variables and Functions in Memory

ans returns the result of the most recently evaluated MATLAB expression that was not an assignment. [p. 4]

assignin assigns a value to a variable in the base workspace or caller workspace. Avoid if possible, since it can lead to hard-to-debug code. See also `evalin` (p. 198).

global declares variables as global. Global variables can be accessed from any function without the need to pass them as arguments. Try not to use `global`, since it can lead to hard-to-debug code. [p. 39]

persistent defines a variable local to a function, which is retained between subsequent calls to that function.

F.10.5 Control Flow

for loops across a block of statements terminated with `end`. `for i=a, statements, end` iterates across the statements, setting the loop index `i` to one column of `a` at a time. Try `for i=1:5, i, end` and `for i=eye(3), i, end`. [p. 44]

if defines a conditional statement. Use with `else`, `elseif`, and `end`. `if(A)` for a matrix `A` is true only if *all* entries are nonzero. Try `if(x<0), s=-1, elseif(x>0), s=1, else, s=0, end`, which is `s=sign(x)` for the scalar `x`. [p. 47]

elseif is a part of a conditional statement. The `elseif(expression)` is tested only when the `if` test is false. [p. 48]

else is a part of a conditional statement. The `else` part is executed when the `if` test and any `elseif` tests are all false. [p. 48]

return is the normal return from a function. A function also returns normally after executing the last statement in the function. Unlike many programming languages, the `return` statement in MATLAB does not accept any input arguments. [p. 40]

switch selects among cases, depending on a single expression. Each case is defined by a `case` statement. [p. 48]

case defines a block of statements for one case of a `switch` statement. The statements after `case(e)` are triggered if the `switch` expression `s` is equal to `e`. The statements after `case{e1, e2}` are triggered if `s` is equal to `e1` or `e2`. [p. 48]

otherwise defines a part of a `switch` statement. If no case in a `switch` statement matches the expression, the `otherwise` block of statements is executed. [p. 48]

while executes a block of statements. `while(e)` tests the expression `e` each iteration. If the expression `e` is false, the loop terminates. Try `x=2^10, while(x>1), x=x/2, end`. [p. 46]

break terminates a `for` or `while` loop. Statements after the `break` are not executed. [p. 47]

continue skips the remaining statements in a `for` or `while` loop, and goes to the next iteration of the loop. [p. 47]

error abnormally terminates a script or function. `error(msg)` prints the `msg` string and terminates the current function. An error may instead be caught via a `try/catch` statement. [p. 71]

try executes a block of code and recovers from errors. If an error occurs, the `try` block of code is terminated and the `catch` block (if any) is executed. [p. 49]

catch defines the second part of a `try/catch` statement. If an error occurs, the `try` part is terminated and the statements after the `catch` part are executed. An optional argument (`catch ME`) gives information about the error. [p. 49]

end defines the last line of a `for`, `while`, `if`, `switch`, or `try/catch` block of code. In a `classdef` file, `end` defines the last line of the `classdef`, `properties`, `methods`, and `events` blocks of code. Also defines the last line of a function, but this is required only if an M-file contains more than one function, or if the function is in a `classdef` file. [p. 44]

goto does not exist in MATLAB because it leads to unmanageable code. Use `break` to exit a loop. Use `continue` to skip a loop iteration. Place code in a function and use `return`. Use `try/catch` to handle errors and special conditions. [p. 232]

F.10.6 Error Handling

error abnormally terminates a script or function (see above).

rethrow reissues a previously-caught error. [p. 49]

try executes code and recovers from errors (see above).

catch is the second part of a `try/catch` statement (see above).

warning issues a warning. `warning('oops')` displays the warning but does not terminate the function. [p. 72]

F.10.7 MEX Programming

mex compiles a C/C++ or Fortran `mexFunction`. [p. 87]

G Object-Oriented Programming

G.1 Classes and Objects

class determines the class of a variable. See p. 184.

classdef is a statement that defines a class, with properties and methods. The class definition is terminated by an end statement. [p. 57]

exist determines if a class or object exists. See p. 166.

methods is both a function and a keyword. The function `methods(A)` lists the public methods of a class or object A. As a keyword, the `methods` statement defines the set of methods for a class, terminated by an end statement. [p. 59]

properties is both a function and a keyword. The function `properties(A)` lists the public properties of a class or object A. As a keyword, the `properties` statement defines the set of properties for a class, terminated by an end statement. [p. 58]

subsref is the method for subscripted references to objects.

G.2 Handle Classes

delete deletes a handle object.

findobj finds a graphics object with specific properties.

get returns the properties of an object. See p. 205.

handle is the superclass for all handle classes, including Handle Graphics. [p. 66]

set lists or sets the properties of an object. `set(H)` lists the properties that can be modified via `set(H, 'Property', value)`. See p. 205.

H Graphics

H.1 Basic Plots and Graphs

box displays or hides the boundary of a plot.

hold controls how new data is plotted. With `hold on`, a `plot` command overlays the new plot onto the old one. With `hold off` (the default), a new `plot` erases the old. [p. 111]

line creates a line object (a low-level version of `plot`).

loglog draws a logarithmic 2-D line plot, with logarithmic x - y axes. Otherwise identical to `plot`.

plot draws a 2-D line plot. `plot(Y)` plots the columns of a real matrix Y versus the row index. With multiple arguments, `plot(x, y)` plots the vector x versus y . `plot(x1, y1, x2, y2, ...)` plots multiple lines on a single figure. Adding a string after each pair sets the line color and type. [p. 106]

plot3 draws a 3-D line plot. [p. 113]

semilogx draws a semi-logarithmic 2-D line plot, with a logarithmic x -axis and a linear y -axis. Otherwise identical to `plot`.

semilogy draws a semi-logarithmic 2-D line plot, with a linear x -axis and a logarithmic y -axis. Otherwise identical to `plot`.

subplot creates a tiled array of plots in a single figure. `subplot(2, 3, 1)` creates a 2-by-3 tiling of 6 plots, and sets the first one (in the (1,1) position) as the current plot. [p. 112]

H.2 Plotting Tools

rotate3d rotates the 3-D view using the mouse. [p. 117]

zoom zooms into or out of a plot. [p. 107]

H.3 Annotating Plots

legend adds a legend to a plot. If three plot commands have been drawn on a figure, use `legend('s1', 's2', 's3')`, where each string describes each plot. Add `'Location', 'SouthEast'` as the last two arguments to place the legend in the bottom right corner (for example). [p. 111]

rectangle draws a rectangle.

title sets the title of a plot. [p. 109]

xlabel sets the label for the x-axis. [p. 109]

ylabel sets the label for the y-axis. [p. 109]

zlabel sets the label for the z-axis, for a 3-D plot. [p. 113]

H.4 Specialized Plotting

area displays an area plot, which is just like a 2-D line plot except that the area below the curve is filled in with a color.

bar draws a bar graph. Try `bar(sin(0:.1:pi))`.

contour draws a contour plot.

stem plots a discrete sequence of data.

hist draws a histogram plot. `hist(y)` uses 10 bins; `hist(y,20)` uses 20. `hist(y,x)` uses bins centered at the points in `x`.

histc counts the elements in each bin of a histogram (without actually drawing the plot). The bins are defined differently than `hist`, however. `histc(y,x)` defines the bins by their edges, where `y(i)` is in the `k`th bin if `x(k) <= y(i) <= x(k+1)`.

fill draws colored polygons.

slice draws a volumetric slice plot. [p. 116]

getframe captures a movie frame.

H.5 Bit-Mapped Images

image displays an image. [p. 119]

imagesc scales and displays an image, so that the full color range is used.

imread reads an image from a graphics file. See p. 169.

imwrite writes an image to a graphics file.

H.6 Printing

orient defines hard-copy landscape/portrait orientation.

print prints a figure.

saveas saves a figure to a file.

H.7 Handle Graphics

H.7.1 Graphics Object Identification

delete deletes a graphics object.

findall finds all graphics objects.

findobj finds a graphics object with specific properties.

gca returns the current axis. [p. 118]

gcbf returns the figure handle of a callback function. [p. 118]

gcbo returns the object handle of a callback function.

gco returns the current graphics object.

ishandle tests if a variable is a Handle Graphics handle.

get gets the properties of a Handle Graphics object. Use `get(H)` to print all properties, and `s=get(H)` to return the result as a struct. With the example below (for `set`), try `get(gca, 'XTick')`, which returns a vector of the tick marks on the x -axis. [p. 118]

set sets the properties of a Handle Graphics object. Use `set(H)` for a list of properties to set. Try creating a figure (`plot(rand(5))`, for example). Then use `set(gcf)`, `set(gca)`, and `set(gco)` to see the properties that can be modified by `set`. Try `set(gca, 'XTick', 1:5)` to change the tick marks on the x -axis, and `set(gca, 'XGrid', 'on')` to turn on the grid lines on the x -axis. [p. 118]

H.7.2 Object Creation

axes creates a graphics object for the axes of a plot.

figure creates a new figure, or sets the current figure to be a previous-created one. The current figure is where all commands such as `plot`, `title`, and `xlabel`, place their results. `figure(3)` makes Figure 3 the current figure, creating it if necessary. [p. 107]

image displays an image. [p. 119]

light creates a light at a specified location. [p. 117]

line creates a line object (a low-level version of `plot`).

patch creates one or more filled polygons.

rectangle draws a rectangle.

surface is a low-level function for creating a surface object.

text adds text to a plot. `text(x, y, s)` adds the string `s` to the plot at position `(x, y)`. [p. 109]

uicontextmenu creates a context menu, for right-clicking an object.

H.7.3 Figure Windows

clf clears a figure. `clf` clears the current figure. `clf(2)` clears Figure 2. [p. 111]

close closes a figure. `close` closes the current figure. `close(2)` closes Figure 2. [p. 111]

closereq is called by default when a figure is closed.

drawnow executes all pending plotting operations. [p. 108]

gcf returns the current figure. [p. 107]

saveas saves a figure to a file.

H.7.4 Axes Operations

axis controls axis scaling for plots. `axis auto` is the default. `axis([xmin xmax ymin ymax])` specifies the limits of the x and y axes. For a 3-D plot, use a vector of length 6. `axis tight` fits the axes to the data. [p. 110]

box displays or hides the boundary of a plot.

cla clears the current axes.

gca returns the current axis. [p. 118]

grid controls the grid lines of a plot. `grid on` turns on the grid; `grid off` turns it off. [p. 109]

ishold tests if `hold` is on or off. See p. 202.

I 3-D Visualization

I.1 Surface and Mesh Plots

I.1.1 Surface and Mesh Creation

hidden removes or reveals hidden lines in a mesh plot.

mesh draws a 3-D mesh plot. Try `mesh(peaks)`. [p. 114]

peaks is an example function for surface plots. `peaks` returns a matrix of function evaluations $f(x, y)$ over a uniformly distributed set of x - y points, for a certain function f . [p. 117]

surf creates a 3-D surface plot. See the cover of this book for an example. Try `surf(mesh)`. [p. 114]

surface is a low-level function for creating a surface object.

I.1.2 Domain Generation

meshgrid creates X, Y, and Z arrays for 3-D plots. [p. 114]

I.1.3 Color Operations

colorbar adds a color legend to a plot. [p. 117]

colormap sets or returns the colormap for an image. [p. 117]

shading sets color shading properties for 3-D plot. [p. 116]

I.2 View Control

I.2.1 Camera Viewpoint

view specifies the viewpoint for a 3-D plot. [p. 117]

I.2.2 Aspect Ratio and Axis Limits

xlim sets or queries the x -axis limits.

ylim sets or queries the y -axis limits.

zlim sets or queries the z -axis limits.

I.2.3 Object Manipulation

reset resets the properties of a graphics object to their defaults.

rotate3d rotates the 3-D view using the mouse. [p. 117]

zoom zooms into or out of a plot. [p. 107]

I.3 Lighting

light creates a light at a specified location. [p. 117]

lighting specifies the lighting algorithm (`flat`, `gouraud`, `phong`, or `none`). [p. 117]

I.4 Volume Visualization

slice draws a volumetric slice plot, which plots slices of data from a 3-D volume. [p. 116]

J GUI Development

J.1 Predefined Dialog Boxes

errorDlg displays an error dialog. Try `errorDlg('ack!')`.

inputDlg displays an input dialog. Try `inputDlg('x:')`.

msgBox displays a message box. Try `msgBox('done')`.

questDlg displays a question box. Try `questDlg('go?')`.

uigetDir displays a dialog box for selecting a directory.

uigetfile displays a dialog box for accessing files.

uiputfile displays a dialog box for saving files.

waitbar displays a wait bar. Try `h=waitbar(0,'working');`
and then `for x=0:.1:1, waitbar(x,h), pause(1), end.`

warnDlg displays a warning dialog.

J.2 User Interface Deployment

guidata stores or retrieves GUI data.

guihandles returns all handles in a figure.

movegui moves a GUI figure to a specified screen location.

openfig opens a figure from a `.fig` file.

J.3 User Interface Development

getappdata gets the value of application-defined GUI data.

getpref gets the current setting of a preference.

ginput gets graphical input from the mouse or cursor.

guidata stores or retrieves GUI data.

isappdata tests if application-defined data exists.

rmappdata removes application-defined data from an object.

setappdata sets the value of application-defined GUI data.

waitfor pauses until a specified condition occurs.

waitforbuttonpress pauses for the user input.

J.4 User Interface Objects

menu creates a GUI menu.

uicontextmenu creates a (right-click) context menu.

uicontrol creates a user interface object. [p. 118]

uimenu creates a user-interface menu.

uipanel creates a panel for grouping components.

J.5 Objects from Callbacks

findall finds all graphics objects.

findobj finds a graphics object with specific properties.

gcbf returns the figure handle of a callback function. [p. 118]

gcbo returns the object handle of a callback function.

J.6 Program Execution

uiresume resumes execution after `uiwait`. Typically used in a callback function. [p. 118]

uiwait pauses execution until `uiresume` is called. [p. 118]

K External Interfaces

K.1 Shared Libraries

calllib calls an external function in a shared library.

K.2 Java

import imports a Java package for use in MATLAB. [p. 100]

K.3 Component Object Model and ActiveX

actxserver creates a COM server on Microsoft Windows.

delete deletes a COM server.

invoke invokes a method on a COM server.

L Symbolic Math Toolbox

L.1 Calculus

diff performs symbolic differentiation. Try
`syms x; diff(x^2)`. [p. 127]

int performs symbolic indefinite or definite integration. Try
`syms x; int(x^2)`; and `int(x,0,1)`. [p. 129]

limit computes the limit of a symbolic expression. [p. 131]

L.2 Linear Algebra

det computes the determinant of a symbolic matrix. [p. 144]

diag extracts the diagonal of a symbolic matrix, or creates a symbolic diagonal matrix. See p. 171.

eig computes the eigenvalues and eigenvectors of a symbolic matrix, or finds the generalized eigenvalues/eigenvectors. [p. 143]

inv computes the inverse of a symbolic matrix. Does not suffer the inaccuracy of `inv(A)` for a numerical matrix A. [p. 143]

null computes a basis for the null space of a symbolic matrix.

poly constructs a polynomial from a set of specified roots, or constructs the characteristic polynomial of a matrix. [p. 143]

rank computes the rank of a symbolic matrix.

svd computes the singular value decomposition of a symbolic matrix. [p. 143]

tril extracts the lower triangular part of a symbolic matrix. See p. 173.

triu extracts the upper triangular part of a symbolic matrix. See p. 173.

L.3 Simplification

factor factors a symbolic expression into a product of subexpressions, or factors a numeric or symbolic nonnegative integer into its prime factors. [p. 135]

subs substitutes one symbolic expression into another. `subs(s, 2)` replaces the default variable (typically x) with the value 2. `subs(s, y, z+1)` replaces y with $z+1$ in the expression s . All occurrences are replaced. [p. 133]

L.4 Special Functions

zeta computes the Riemann zeta function, $\zeta(x)$, or its derivatives.

L.5 Conversions

double converts a symbolic expression to a numeric one (in IEEE double precision). [p. 131]

L.6 Basic Operations

ceil rounds a symbolic expression towards $+\infty$. See p. 178.

conj computes the complex conjugate of each entry in a symbolic array.

eq tests if two symbolic expressions are equal. `eq(A, B)` is $(A==B)$. See p. 195.

fix rounds a symbolic expression towards zero. See p. 178.

floor rounds a symbolic expression towards $-\infty$.

imag returns the imaginary part of a symbolic expression.

log10 computes the base-10 logarithm of a symbolic expression.

log2 computes the base-2 logarithm of a symbolic expression.

mod computes the remainder after symbolic division. See p. 178.

real returns the real part of a symbolic expression. [p. 153]

round rounds a symbolic expression towards the nearest integer.

size returns the size of a symbolic array. See p. 171.

sort sorts entries in a symbolic array, as if they were text entries.
`sort([z 1 y x])` is `[1, x, y, z]`.

sym creates a symbolic number or variable. `sym(A)` where `A` is a numeric matrix constructs a symbolic representation of the matrix. A second argument controls how the numbers are converted ('f' for floating-point, 'r' for rational, and 'd' for decimal). `sym('x')` creates a symbolic variable. A second argument controls what kind of symbolic variable is created ('real' if `x` is real, 'positive' if `x` is real and positive, and 'clear' if there are no restrictions on `x`).

syms creates symbolic numbers and variables. `syms x y` is short for `x=sym('x'); y=sym('y')`. [p. 126]

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